

FORM L-2-A : Profit & Loss

Insurer : DHFL Pramerica Life Insurance Company Limited
Registration No. 140 ; Date of Registration with the IRDAI: June 27, 2008
Profit & Loss Account For the quarter Ended September 30, 2016

Shareholders' Account (Non-technical Account)

(Rs.'000)

Particulars	Schedule	FOR THE QUARTER ENDED ON SEPTEMBER 2016	UP TO THE QUARTER ENDED ON SEPTEMBER 2016	FOR THE QUARTER ENDED ON SEPTEMBER 2015	UP TO THE QUARTER ENDED ON SEPTEMBER 2015
Amounts transferred from/to the Policyholders Account (Technical Account)		482,990	822,391	420,273	627,811
Income From Investments					
(a) Interest, Dividends & Rent – Gross		147,589	293,545	142,624	284,073
(b) Profit on sale/redemption of investments		109,709	142,527	22,888	30,402
(c) (Loss on sale/ redemption of investments)		0	(2,246)	(892)	(1,525)
(d) Amortisation of discount/(premium)		(4,201)	(7,482)	(248)	(1,799)
Other Income (To be specified)		-	-	-	-
TOTAL (A)		736,087	1,248,735	584,645	938,962
Expense other than those directly related to the insurance business:		24,224	46,076	15,379	31,923
Bad debts written off		-	-	-	-
Transfer to Policyholders' fund		592,562	977,981	424,327	685,127
Provisions (Other than taxation)					
(a) For diminution in the value of investments (Net)		-	-	-	-
(b) Provision for doubtful debts		-	-	-	-
(c) Others (to be specified)		-	-	-	-
TOTAL (B)		616,786	1,024,057	439,706	717,050
Profit/ (Loss) before tax		119,301	224,678	144,939	221,912
Provision for Taxation		-	-	-	-
Current Tax Credit/(Charge)		-	-	-	-
Deferred Tax Credit / (Charge)		(15,360)	(28,927)	(18,661)	(28,571)
Profit / (Loss) after tax		103,941	195,750	126,278	193,341
APPROPRIATIONS					
(a) Balance at the beginning of the year.		-	(4,152,944)	-	(4,661,381)
(b) Interim dividends paid during the year		-	-	-	-
(c) Proposed final dividend		-	-	-	-
(d) Dividend distribution on tax		-	-	-	-
(e) Transfer from reserves/ other accounts - Funds For Future Appropriation		-	-	-	-
Profit carried -----to the Balance Sheet		103,942	(3,957,193)	126,278	(4,594,318)

FORM L-3-A : Balance Sheet

Insurer : DHFL Pramerica Life Insurance Company Limited

Registration No. 140 ; Date of Registration with the IRDAI: June 27, 2008

Balance Sheet As At September 30, 2016

(Rs.'000)

Particulars	Schedule	As at September 30, 2016	As at September 30, 2015
SOURCES OF FUNDS			
<i>SHAREHOLDERS' FUNDS:</i>			
SHARE CAPITAL	L-8,L-9	3,740,619	3,740,619
SHARE APPLICATION MONEY		-	-
RESERVES AND SURPLUS	L-10	8,329,217	8,329,217
CREDIT/[DEBIT] FAIR VALUE CHANGE ACCOUNT		(12,777)	(21,937)
Sub-Total		12,057,059	12,047,899
BORROWINGS	L-11	-	-
<i>POLICYHOLDERS' FUNDS:</i>			
CREDIT/[DEBIT] FAIR VALUE CHANGE ACCOUNT		8	14
POLICY LIABILITIES		12,746,404	7,964,546
INSURANCE RESERVES		-	-
PROVISION FOR LINKED LIABILITIES		2,241,042	2,369,159
Sub-Total		14,987,454	10,333,719
FUNDS FOR FUTURE APPROPRIATIONS		-	-
TOTAL		27,044,513	22,381,618
APPLICATION OF FUNDS			
<i>INVESTMENTS</i>			
Shareholders'	L-12	7,663,290	7,152,139
Policyholders'	L-13	12,651,204	7,982,846
ASSETS HELD TO COVER LINKED LIABILITIES	L-14	2,241,042	2,369,159
LOANS	L-15	11,147	2,247
FIXED ASSETS	L-16	470,959	387,170
DEFERRED TAX ASSETS		586,136	661,628
<i>CURRENT ASSETS</i>			
Cash and Bank Balances	L-17	336,575	205,510
Advances and Other Assets	L-18	1,522,778	1,041,770
Sub-Total (A)		1,859,353	1,247,280
<i>CURRENT LIABILITIES</i>			
PROVISIONS	L-19	2,308,440	1,827,023
	L-20	87,371	61,868
Sub-Total (B)		2,395,811	1,888,891
NET CURRENT ASSETS (C) = (A – B)		(536,458)	(641,611)
MISCELLANEOUS EXPENDITURE (to the extent not written off or adjusted)	L-21	-	-
DEBIT BALANCE IN PROFIT & LOSS ACCOUNT (Shareholders' Account)		3,957,193	4,468,040
Debit Balance of Revenue Account		-	-
TOTAL		27,044,513	22,381,618

CONTINGENT LIABILITIES

(Rs.'000)

Particulars		As at September 30, 2016	As at September 30, 2015
Partly paid-up investments		-	-
Claims, other than against policies, not acknowledged as debts by the company		4,108	3,675
Underwriting commitments outstanding (in respect of shares and securities)		-	-
Guarantees given by or on behalf of the Company		-	-
Statutory demands/ liabilities in dispute, not provided for		70,553	62,158
Reinsurance obligations to the extent not provided for in accounts		-	-
Other-		-	-
Statutory Bonus (retrospective amendment stayed by Karnataka and Kerala High Courts)		8,115	-
TOTAL		82,776	65,833

FORM L-4 : PREMIUM SCHEDULE

Insurer : DHFL Pramerica Life Insurance Company Limited

Registration No. 140 ; Date of Registration with the IRDAI: June 27, 2008

(Rs.'000)

S.No.	Particulars	FOR THE QUARTER ENDED ON SEPTEMBER 2016								FOR THE PERIOD ENDED ON SEPTEMBER 2016								
		Non Participating (Non-Linked)				Participating (Non-Linked)	Non Participating (Linked)			Total	Non Participating (Non-Linked)				Participating (Non-Linked)	Non Participating (Linked)		Total
		Individual Life	Group Life	Group Health	Individual Health	Individual Life	Individual Life	Individual Pension	Individual Life		Group Life	Group Health	Individual Health	Individual Life	Individual Life	Individual Pension		
1	First year premiums	320,905	-	-	447	11,832	10,752	-	343,935	589,638	-	-	1,233	55,068	23,162	-	669,102	
2	Renewal Premiums	424,407	-	-	-	133,976	43,979	5,039	607,402	768,570	-	-	-	198,885	83,606	8,643	1,059,704	
3	Single Premiums	186	1,562,288	1,545	1,411	-	102,612	-	1,668,042	186	2,708,577	1,545	1,695	-	119,057	-	2,831,059	
	TOTAL PREMIUM	745,497	1,562,288	1,545	1,858	145,808	157,344	5,039	2,619,380	1,358,394	2,708,577	1,545	2,928	253,953	225,825	8,643	4,559,865	

(Rs.'000)

S.No.	Particulars	FOR THE QUARTER ENDED ON SEPTEMBER 2015								FOR THE PERIOD ENDED ON SEPTEMBER 2015								
		Non Participating (Non-Linked)				Participating (Non-Linked)	Non Participating (Linked)			Total	Non Participating (Non-Linked)				Participating (Non-Linked)	Non Participating (Linked)		Total
		Individual Life	Group Life	Group Health	Individual Health	Individual Life	Individual Life	Individual Pension	Individual Life		Group Life	Group Health	Individual Health	Individual Life	Individual Life	Individual Pension		
1	First year premiums	195,494	129,755	-	-	138,507	31,115	-	494,872	364,101	226,938	-	-	293,250	59,992	12	944,293	
2	Renewal Premiums	322,681	30,501	-	-	78,595	48,764	6,522	487,063	574,890	51,292	-	-	90,623	98,335	12,125	827,265	
3	Single Premiums	-	1,129,223	-	-	-	29,275	-	1,158,498	-	2,236,186	-	-	-	42,727	1	2,278,914	
	TOTAL PREMIUM	518,175	1,289,479	-	-	217,102	109,155	6,522	2,140,433	938,991	2,514,416	-	-	383,873	201,054	12,138	4,050,472	

FORM L-5 : COMMISSION SCHEDULE

Insurer : DHFL Pramerica Life Insurance Company Limited
Registration No. 140 ; Date of Registration with the IRDAI: June 27, 2008

(Rs.'000)

Particulars	FOR THE QUARTER ENDED ON SEPTEMBER 2016								FOR THE PERIOD ENDED ON SEPTEMBER 2016								
	Non Participating (Non-Linked)				Participating (Non-Linked)	Non Participating (Linked)			Total	Non Participating (Non-Linked)				Participating (Non-Linked)	Non Participating (Linked)		Total
	Individual Life	Group Life	Group Health	Individual Health	Individual Life	Individual Life	Individual Pension	Individual Life		Group Life	Group Health	Individual Health	Individual Life	Individual Life	Individual Pension		
Commission paid																	
Direct – First year premiums	37,573	-	-	-	2,333	298	-	40,203	67,448	-	-	-	7,991	745	-	76,184	
- Renewal premiums	6,652	(0)	-	-	5,149	225	-	12,026	10,613	(0)	-	-	6,767	397	-	17,778	
- Single premiums	-	479	-	-	-	1,660	-	2,139	-	643	-	-	-	1,832	-	2,475	
Total (A)	44,225	479	-	-	7,481	2,184	-	54,369	78,061	643	-	-	14,758	2,974	-	96,436	
Add: Commission on Re-insurance Accepted	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Less: Commission on Re-insurance Ceded	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Net Commission	44,225	479	-	-	7,481	2,184	-	54,369	78,061	643	-	-	14,758	2,974	-	96,436	
Break-up of the expenses (Gross) incurred to procure business to be furnished as per details indicated below:																	
Agents	30,009	-	-	-	6,971	1,479	-	38,458	57,175	-	-	-	13,283	2,256	-	72,714	
Brokers	253	479	-	-	419	13	-	1,163	296	643	-	-	(67)	21	-	894	
Corporate Agency	13,347	-	-	-	(500)	688	-	13,536	19,938	-	-	-	945	693	-	21,576	
Referral	525	-	-	-	519	4	-	1,048	536	-	-	-	519	4	-	1,058	
Others (pl. specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Bancassurance	90	-	-	-	73	-	-	163	116	-	-	-	78	-	-	194	
TOTAL (B)	44,225	479	-	-	7,481	2,184	-	54,368	78,061	643	-	-	14,758	2,975	-	96,436	

(Rs.'000)

Particulars	FOR THE QUARTER ENDED ON SEPTEMBER 2015								FOR THE PERIOD ENDED ON SEPTEMBER 2015								
	Non Participating (Non-Linked)				Participating (Non-Linked)	Non Participating (Linked)			Total	Non Participating (Non-Linked)				Participating (Non-Linked)	Non Participating (Linked)		Total
	Individual Life	Group Life	Group Health	Individual Health	Individual Life	Individual Life	Individual Pension	Individual Life		Group Life	Group Health	Individual Health	Individual Life	Individual Life	Individual Pension		
Commission paid																	
Direct – First year premiums	26,332	69	-	-	22,335	1,140	-	49,877	51,465	69	-	-	48,248	2,281	3	102,066	
- Renewal premiums	4,632	-	-	-	3,178	145	-	7,954	7,327	-	-	-	4,747	297	2	12,373	
- Single premiums	-	-	-	-	-	324	-	324	-	-	-	-	-	532	-	532	
Total (A)	30,964	69	-	-	25,513	1,608	-	58,155	58,792	69	-	-	52,995	3,110	5	114,971	
Add: Commission on Re-insurance Accepted	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Less: Commission on Re-insurance Ceded	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Net Commission	30,964	69	-	-	25,513	1,608	-	58,155	58,792	69	-	-	52,995	3,110	5	114,971	
Break-up of the expenses (Gross) incurred to procure business to be furnished as per details indicated below:																	
Agents	19,925	-	-	-	5,655	1,584	-	27,164	37,504	-	-	-	11,852	3,037	5	52,398	
Brokers	5,021	69	-	-	7,184	20	-	12,293	8,790	69	-	-	13,267	57	-	22,183	
Corporate Agency	5,967	-	-	-	12,622	5	-	18,594	12,282	-	-	-	27,682	16	-	39,980	
Referral	9	-	-	-	50	-	-	59	43	-	-	-	177	-	-	220	
Others (pl. specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Bancassurance	43	-	-	-	1	-	-	44	173	-	-	-	17	-	-	190	
TOTAL (B)	30,965	69	-	-	25,513	1,608	-	58,154	58,792	69	-	-	52,995	3,110	5	114,971	

FORM L-6 : OPERATING EXPENSES SCHEDULE

Insurer : DHFL Pramerica Life Insurance Company Limited
Registration No. 140 ; Date of Registration with the IRDAI: June 27, 2008

(Rs.'000)

S.No.	Particulars	FOR THE QUARTER ENDED ON SEPTEMBER 30, 2016								UPTO THE PERIOD ENDED ON SEPTEMBER 30, 2016								
		Non Participating (Non-Linked)				Participating (Non-Linked)	Non Participating (Linked)			Total	Non Participating (Non-Linked)				Participating (Non-Linked)	Non Participating (Linked)		Total
		Individual Life	Group Life	Group Health	Individual Health	Individual Life	Individual Life	Individual Pension	Individual Life		Group Life	Group Health	Individual Health	Individual Life	Individual Life	Individual Pension		
1	Employees remuneration and welfare benefits	376,059	54,101	226	1,285	40,114	32,209	492	504,486	739,063	106,119	226	2,501	102,499	47,086	731	998,225	
2	Travel, conveyance and vehicle running expenses	14,760	1,065	11	57	1,916	1,302	24	19,135	27,609	3,365	11	101	4,161	1,790	35	37,072	
3	Training expenses (including Agent advisors)	4,421	5,802	2	17	571	392	7	11,212	8,384	10,444	2	31	1,263	543	10	20,677	
4	Rent, rates & taxes	18,946	7,421	22	73	2,448	1,683	31	30,624	35,890	14,301	22	131	5,409	2,327	45	58,125	
5	Repairs & Maintenance	12,938	4,922	9	49	1,681	1,140	21	20,760	24,162	8,830	9	88	3,642	1,567	30	38,328	
6	Printing and stationery	871	548	-	3	105	85	1	1,613	1,939	814	-	7	292	126	2	3,180	
7	Communication expenses	5,141	1,947	4	20	553	580	10	8,255	14,323	4,598	4	52	2,158	929	18	22,082	
8	Legal, professional and consultancy charges	48,216	14,628	-	92	2,132	3,119	-	68,187	83,341	29,209	-	198	7,783	4,956	-	125,487	
9	Medical fees	(1,315)	723	-	(5)	(230)	(50)	-	(877)	6	951	-	-	1	-	-	958	
10	Auditors' fees, expenses etc :	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	(a) as auditor	423	182	-	2	54	39	1	701	854	358	-	3	129	55	1	1,400	
	(b) as adviser	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	(i) Taxation matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	(ii) Insurance matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	(iii) Management services; and	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	(c) out of pocket expense	(44)	77	-	-	(9)	(2)	-	22	22	104	-	-	3	1	-	130	
	(d) in any other capacity	117	657	-	-	18	8	-	800	142	665	-	-	22	9	-	838	
11	Advertisement and publicity	81,254	89,158	-	166	4,753	5,133	-	180,464	123,255	136,747	-	293	11,511	7,330	-	279,136	
12	Interest and bank charges	1,540	636	-	6	195	141	3	2,521	3,064	1,127	-	11	461	199	4	4,866	
13	Investment related charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
14	Postage and courier cost	1,890	3,171	1	8	201	216	4	5,491	5,386	3,861	1	20	812	349	7	10,436	
15	Sales Promotion expenses	58,975	12,468	-	113	2,752	3,801	-	78,109	99,805	42,533	-	237	9,321	5,936	-	157,832	
16	Information technology expenses	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
17	Recruitment (including Agent advisors)	5,816	1,467	2	22	797	465	8	8,577	9,100	4,070	2	33	1,371	590	11	15,177	
18	Electricity ,water and utilities	5,006	1,637	1	19	664	425	8	7,760	8,784	2,685	1	32	1,324	569	11	13,406	
19	Policy issuance and servicing costs	9,619	33,265	549	(247)	(301)	642	-	43,527	35,312	43,320	549	1,696	3,478	1,988	-	86,343	
20	(Profit)/Loss on fluctuation in foreign exchange	108	35	-	-	2	5	-	150	209	100	-	1	32	12	-	354	
21	(Profit)/Loss on fixed assets	26	17	-	-	8	2	-	53	(6)	(3)	-	-	(1)	-	-	(10)	
22	Service Tax expense	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
23	Other miscellaneous expenses	9,844	2,316	4	5	258	328	4	12,759	18,484	6,855	4	34	1,781	795	14	27,967	
24	Depreciation	19,844	8,629	26	77	2,543	1,788	32	32,939	38,501	16,135	26	141	5,803	2,497	48	63,151	
	TOTAL	674,455	244,872	857	1,762	61,225	53,451	646	1,037,268	1,277,629	437,188	857	5,610	163,255	79,654	967	1,965,160	

(Rs.'000)

S.No.	Particulars	FOR THE QUARTER ENDED ON SEPTEMBER 30, 2015								UPTO THE PERIOD ENDED ON SEPTEMBER 30, 2015								
		Non Participating (Non-Linked)				Participating (Non-Linked)	Non Participating (Linked)			Total	Non Participating (Non-Linked)				Participating (Non-Linked)	Non Participating (Linked)		Total
		Individual Life	Group Life	Group Health	Individual Health	Individual Life	Individual Life	Individual Pension	Individual Life		Group Life	Group Health	Individual Health	Individual Life	Individual Life	Individual Pension		
1	Employees remuneration and welfare benefits	273,071	(26,061)	-	-	139,470	27,022	566	414,068	428,327	117,836	-	-	225,332	41,877	1,054	814,426	
2	Travel, conveyance and vehicle running expenses	10,701	(797)	-	-	4,800	859	27	15,590	18,741	6,154	-	-	8,649	1,491	57	35,092	
3	Training expenses (including Agent advisors)	7,639	7,447	-	-	3,450	612	20	19,168	12,016	11,231	-	-	5,546	956	36	29,785	
4	Rent, rates & taxes	10,102	7,654	-	-	4,462	814	22	23,054	21,704	17,684	-	-	10,017	1,727	66	51,198	
5	Repairs & Maintenance	12,254	8,931	-	-	5,520	982	31	27,718	20,117	15,729	-	-	9,284	1,601	61	46,792	
6	Printing and stationery	1,132	227	-	-	509	91	3	1,962	1,955	938	-	-	903	156	6	3,958	
7	Communication expenses	5,455	1,493	-	-	2,457	438	14	9,857	9,019	4,574	-	-	4,163	718	27	18,501	
8	Legal, professional and consultancy charges	13,396	9,500	-	-	10,098	2,348	1	35,343	19,544	17,080	-	-	15,741	3,450	1	55,816	
9	Medical fees	945	253	-	-	416	76	-	1,690	2,116	253	-	-	977	168	-	3,514	
10	Auditors' fees, expenses etc :	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	(a) as auditor	279	223	-	-	125	23	1	651	547	455	-	-	253	44	2	1,301	
	(b) as adviser	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	(i) Taxation matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	(ii) Insurance matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	(iii) Management services; and	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	(c) out of pocket expense	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	(d) in any other capacity	40	33	-	-	18	3	-	94	74	62	-	-	34	6	-	176	
11	Advertisement and publicity	35,383	95,796	-	-	24,771	6,154	-	162,104	68,544	136,682	-	-	55,206	12,098	2	272,532	
12	Interest and bank charges	1,599	789	-	-	720	129	4	3,241	2,597	1,652	-	-	1,198	207	8	5,662	
13	Investment related charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
14	Postage and courier cost	3,340	(485)	-	-	1,501	268	8	4,632	5,688	1,545	-	-	2,625	453	17	10,328	
15	Sales Promotion expenses	22,866	(5,360)	-	-	16,416	3,975	-	37,897	41,035	16,729	-	-	33,050	7,186	1	98,001	
16	Information technology expenses	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
17	Recruitment (including Agent advisors)	3,588	500	-	-	1,614	287	9	5,998	6,037	2,617	-	-	2,786	480	18	11,938	
18	Electricity ,water and utilities	2,430	1,102	-	-	1,085	196	6	4,819	4,506	2,897	-	-	2,079	359	14	9,855	
19	Policy issuance and servicing costs	30,563	(13,784)	-	-	15,758	4,297	-	36,834	47,633	16,904	-	-	24,638	5,543	-	94,718	
20	(Profit)/Loss on fluctuation in foreign exchange	3	3	-	-	1	-	-	7	4	4	-	-	2	-	-	10	
21	(Profit)/Loss on fixed assets	1	(1)	-	-	-	1	-	1	32	26	-	-	15	3	-	76	
22	Service Tax expense	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
23	Other miscellaneous expenses	(104)	4,159	-	-	(491)	(81)	(8)	3,475	411	9,808	-	-	620	93	-	10,932	
24	Depreciation	7,456	6,074	-	-	3,375	597	20	17,522	11,255	9,358	-	-	5,194	896	34	26,737	
	TOTAL	442,139	97,696	-	-	236,075	49,091	724	825,725	721,902	390,218	-	-	408,312	79,512	1,404	1,601,348	

FORM L-7 : BENEFITS PAID SCHEDULE

Insurer : DHFL Pramerica Life Insurance Company Limited
 Registration No. 140 ; Date of Registration with the IRDAI: June 27, 2008

(Rs.'000)

Particulars	FOR THE QUARTER ENDED ON SEPTEMBER 30, 2016								UP TO THE QUARTER ENDED ON SEPTEMBER 30, 2016								
	Non Participating (Non-Linked)				Participating (Non-Linked)	Non Participating (Linked)			Total	Non Participating (Non-Linked)				Participating (Non-Linked)	Non Participating (Linked)		Total
	Individual Life	Group Life	Group Health	Individual Health	Individual Life	Individual Life	Individual Pension	Individual Life		Group Life	Group Health	Individual Health	Individual Life	Individual Life	Individual Pension		
1. Insurance Claims																	
(a) Claims by Death,	13,614	219,304	-	125	3,385	6,485	186	243,099	35,295	414,199	-	125	8,535	9,593	244	467,991	
(b) Claims by Maturity,	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(c) Annuities/Pension payment,	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d) Other Benefits																	
Surrenders/Withdrawals	93,688	65,924	-	-	1,874	182,989	19,942	364,418	146,865	138,158	-	-	2,734	353,866	40,368	681,992	
Survival	5,264	-	-	-	4,375	-	-	9,640	12,566	-	-	-	12,001	-	-	24,567	
Riders	-	-	-	-	-	-	-	-	51	-	-	-	-	-	-	51	
Claim Investigation Fees	9	265	-	-	176	13	-	462	295	367	-	-	269	20	-	951	
Investment Income to Policy holders on unclaimed amounts	2,361	4	-	-	-	0	-	2,365	3,919	4	-	-	2	9	1	3,936	
Interest on Unclaimed amounts	-	-	-	-	0	-	-	0	-	-	-	-	1	-	-	1	
Total paid	114,936	285,498	-	125	9,809	189,487	20,128	619,984	198,991	552,728	-	125	23,542	363,489	40,613	1,179,488	
2. (Amount ceded in reinsurance):																	
(a) Claims by Death,	(4,329)	(81,046)	-	(50)	(1,053)	(81)	-	(86,560)	(11,500)	(169,403)	-	(50)	(1,484)	(446)	-	(182,883)	
(b) Claims by Maturity,	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(c) Annuities/Pension payment,	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d) Other Benefits	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Total ceded	(4,329)	(81,046)	-	(50)	(1,053)	(81)	-	(86,560)	(11,500)	(169,403)	-	(50)	(1,484)	(446)	-	(182,883)	
3. Amount accepted in reinsurance:																	
(a) Claims by Death,	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(b) Claims by Maturity,	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(c) Annuities/Pension payment,	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d) Periodical Benefit	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(e) Health	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(f) any other (please specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
TOTAL	110,606	204,452	-	75	8,756	189,406	20,128	533,424	187,492	383,325	-	75	22,057	363,043	40,613	996,605	

Particulars	FOR THE QUARTER ENDED ON SEPTEMBER 30, 2015								UP TO THE QUARTER ENDED ON SEPTEMBER 30, 2015								
	Non Participating (Non-Linked)				Participating (Non-Linked)	Non Participating (Linked)			Total	Non Participating (Non-Linked)				Participating (Non-Linked)	Non Participating (Linked)		Total
	Individual Life	Group Life	Group Health	Individual Health	Individual Life	Individual Life	Individual Pension	Individual Life		Group Life	Group Health	Individual Health	Individual Life	Individual Life	Individual Pension		
1. Insurance Claims																	
(a) Claims by Death,	4,062	114,717	-	-	1,096	1,689	149	121,713	28,194	228,590	-	-	1,737	5,562	936	265,019	
(b) Claims by Maturity,	4,823	-	-	-	2,313	-	-	7,135	7,751	-	-	-	2,364	(100)	-	10,015	
(c) Annuities/Pension payment,	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d) Other Benefits																	
Surrenders/Withdrawals	52,393	32,689	-	-	-	91,761	57,648	234,491	120,194	52,324	-	-	-	173,631	103,315	449,464	
Survival	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Riders	(500)	-	-	-	-	-	-	(500)	-	-	-	-	-	-	-	-	
Claim Investigation Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Investment Income to Policy holders on unclaimed amounts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Interest on Unclaimed amounts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Total paid	60,778	147,406	-	-	3,409	93,450	57,797	362,840	156,139	280,914	-	-	4,101	179,093	104,251	724,498	
2. (Amount ceded in reinsurance):																	
(a) Claims by Death,	2,272	(50,614)	-	-	733	(147)	-	(47,756)	(8,544)	(80,944)	-	-	280	(751)	-	(89,959)	
(b) Claims by Maturity,	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(c) Annuities/Pension payment,	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d) Other Benefits	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Total ceded	2,272	(50,614)	-	-	733	(147)	-	(47,756)	(8,544)	(80,944)	-	-	280	(751)	-	(89,959)	
3. Amount accepted in reinsurance:																	
(a) Claims by Death,	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(b) Claims by Maturity,	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(c) Annuities/Pension payment,	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d) Periodical Benefit	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(e) Health	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(f) any other (please specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
TOTAL	63,050	96,792	-	-	4,141	93,303	57,797	315,084	147,595	199,970	-	-	4,381	178,342	104,251	634,539	

FORM L-8 : SHARE CAPITAL SCHEDULE

Insurer : DHFL Pramerica Life Insurance Company Limited

Registration No. 140 ; Date of Registration with the IRDAI: June 27, 2008

(Rs.'000)

S.No.	Particulars	As at September 30, 2016	As at September 30, 2015
1	Authorised Capital 2,000,000,000 Equity Shares (Previous Year: 2,000,000,000 Equity Shares)of Rs 10 each	20,000,000	20,000,000
2	Issued Capital 374,061,867 Equity Shares (Previous Year: 374,061,867 Equity Shares) of Rs 10/- each	3,740,619	3,740,619
3	Subscribed Capital 374,061,867 Equity Shares (Previous Year: 374,061,867 Equity Shares) of Rs 10/- each	3,740,619	3,740,619
4	Called-up Capital 374,061,867 Equity Shares (Previous Year: 374,061,867 Equity Shares) of Rs 10/- each Less : Calls unpaid Add : Shares forfeited (Amount originally paid up) Less : Par value of Equity Shares bought back Less : Preliminary Expenses Expenses including commission or brokerage on Underwriting or subscription of shares	3,740,619	3,740,619
	TOTAL	3,740,619	3,740,619

FORM L-9 : PATTERN OF SHAREHOLDING SCHEDULE
[As certified by the Management]

Insurer : DHFL Pramerica Life Insurance Company Limited

Registration No. 140 ; Date of Registration with the IRDAI: June 27, 2008

Shareholder	As at September 30,2016		As at September 30, 2015	
	Number of Shares	% of Holding	Number of Shares	% of Holding
Promoters				
Indian	276,805,782	74%	276,805,782	74%
Foreign	97,256,085	26%	97,256,085	26%
Others				
TOTAL	374,061,867	100%	374,061,867	100%

FORM L-10-RESERVES AND SURPLUS SCHEDULE

Insurer : DHFL Pramerica Life Insurance Company Limited

Registration No. 140 ; Date of Registration with the IRDAI: June 27, 2008

(Rs.'000)

S.No.	Particulars	As at September 30, 2016	As at September 30, 2015
1	Capital Reserve	-	-
2	Capital Redemption Reserve	-	-
3	Share Premium	8,329,217	8,329,217
4	Revaluation Reserve	-	-
5	General Reserves	-	-
	Less: Debit balance in Profit and Loss Account, if any	-	-
	Less: Amount utilized for Buy-back	-	-
6	Catastrophe Reserve	-	-
7	Other Reserves (to be specified)	-	-
8	Balance of profit in Profit and Loss Account	-	-
	TOTAL	8,329,217	8,329,217

FORM L-11-BORROWINGS SCHEDULE

Insurer : DHFL Pramerica Life Insurance Company Limited

Registration No. 140 ; Date of Registration with the IRDAI: June 27, 2008

(Rs.'000)

S.No.	Particulars	As at September 30, 2016	As at September 30, 2015
1	Debentures/ Bonds	-	-
2	Banks	-	-
3	Financial Institutions	-	-
4	Others (to be specified)	-	-
	TOTAL	-	-

FORM L-12-INVESTMENTS SHAREHOLDERS SCHEDULE

Insurer : DHFL Pramerica Life Insurance Company Limited

Registration No. 140 ; Date of Registration with the IRDAI: June 27, 2008

(Rs.'000)

S.No.	Particulars	As at September 30, 2016	As at September 30, 2015
	LONG TERM INVESTMENTS		
1	Government securities and Government guaranteed bonds including Treasury Bills	4,318,225	4,051,699
2	Other Approved Securities	269,900	365,304
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	227,766	214,012
	(bb) Preference	-	-
	(b) Mutual Funds	-	-
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	874,629	474,030
	(e) Other Securities (to be specified)	-	-
	Commercial Paper / Certificate of Deposits	-	-
	Deposits with Bank	4,000	4,000
	(f) Subsidiaries	-	-
	Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	1,633,822	1,809,328
5	Other than Approved Investments	1,287	13,766
	SHORT TERM INVESTMENTS		
1	Government securities and Government guaranteed bonds including Treasury Bills	13,661	-
2	Other Approved Securities	-	-
3	Other Investments	-	-
	(a) Shares	-	-
	(a) Shares	-	-
	(aa) Equity	310,000	-
	(bb) Preference	-	-
	(b) Mutual Funds	-	170,000
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	-	-
	(e) Other Securities (to be specified)	-	-
	(f) Subsidiaries	-	-
	Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	10,000	50,000
5	Other than Approved Investments	0	0
	TOTAL	7,663,289	7,152,139

FORM L-13-INVESTMENTS POLICYHOLDERS SCHEDULE

Insurer : DHFL Pramerica Life Insurance Company Limited
 Registration No. 140 ; Date of Registration with the IRDAI: June 27, 2008

(Rs.'000)

S.No.	Particulars	As at September 30, 2016							As at September 30, 2015								
		Non Participating (Non-Linked)				Participating (Non-Linked)	Non Participating (Linked)		Total	Non Participating (Non-Linked)				Participating (Non-Linked)	Non Participating (Non-Linked)		Total
		Individual Life	Group Life	Group Health	Individual Health	Individual Life	Individual Life	Individual Pension		Individual Life	Group Life	Group Health	Individual Health	Individual Life	Individual Life	Individual Pension	
LONG TERM INVESTMENTS																	
1	Government securities and Government guaranteed bonds including Treasury Bills	2,630,881	3,504,624	-	10,225	565,174	23,975	2,405	6,737,284	1,669,425	2,171,686	-	-	318,516	19,700	1,285	4,180,612
2	Other Approved Securities	10,012	90,753	-	-	-	-	-	100,765	10,014	70,808	-	-	-	-	-	80,822
3	(a) Shares	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	(aa) Equity	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	(bb) Preference	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	(b) Mutual Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	(c) Derivative Instruments	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	(d) Debentures/ Bonds	574,729	962,527	-	-	160,676	4,647	-	1,702,579	395,294	615,511	-	-	113,977	9,155	-	1,133,937
	(e) Other Securities (to be specified)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Commercial Paper / Certificate of Deposits	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Deposits with Bank	2,000	-	-	-	-	-	500	2,500	2,000	20,000	-	-	-	-	500	22,500
	(f) Subsidiaries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	(g) Investment Properties-Real Estate	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	(h) Policy Loans	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
4	Investments in Infrastructure and Social Sector	1,632,249	2,052,923	-	-	303,243	10,000	-	3,998,415	957,592	1,210,161	-	-	147,096	6,000	-	2,320,849
5	Other than Approved Investments	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
SHORT TERM INVESTMENTS																	
1	Government securities and Government guaranteed bonds including Treasury Bills	(0)	23,248	-	-	-	-	0	23,248	(0)	73,712	-	-	-	-	-	73,712
2	Other Approved Securities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	(a) Shares	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	(aa) Equity	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	(bb) Preference	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	(b) Mutual Funds	(0)	10,008	-	405	5,800	200	-	16,412	26,100	74,013	-	-	8,301	(0)	-	108,414
	(c) Derivative Instruments	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	(d) Debentures/ Bonds	-	-	-	-	-	-	-	-	17,000	-	-	-	-	-	-	17,000
	(e) Other Securities (to be specified)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Deposits with Bank	-	20,000	-	-	-	-	-	20,000	-	45,000	-	-	-	-	-	45,000
	(f) Subsidiaries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	(g) Investment Properties-Real Estate	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
4	Investments in Infrastructure and Social Sector	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
5	Other than Approved Investments	-	50,000	-	-	-	-	-	50,000	-	-	-	-	-	-	-	-
TOTAL		4,849,871	6,714,083	-	10,629	1,034,893	38,821	2,905	12,651,203	3,077,425	4,280,891	-	-	587,890	34,855	1,785	7,982,846

FORM L-14-ASSETS HELD TO COVER LINKED LIABILITIES SCHEDULE

Insurer : DHFL Pramerica Life Insurance Company Limited

Registration No. 140 ; Date of Registration with the IRDAI: June 27, 2008

(Rs.'000)

S.No.	Particulars	As at September 30, 2016			As at September 30, 2015		
		Individual Life	Individual Pension	Total	Individual Life	Individual Pension	Total
	LONG TERM INVESTMENTS						
1	Government securities and Government guaranteed bonds including Treasury Bills	295,241	11,638	306,879	213,423	13,014	226,437
2	Other Approved Securities	2,045	-	2,045	2,039	-	2,039
3	Other Investments						
	(a) Shares						
	(aa) Equity	1,082,845	130,157	1,213,002	1,044,583	205,613	1,250,196
	(bb) Preference	-	-	-	-	-	-
	(b) Mutual Funds	-	-	-	-	-	-
	(c) Derivative Instruments	-	-	-	-	-	-
	(d) Debentures/ Bonds	193,594	12,149	205,743	206,382	20,234	226,616
	(e) Other Securities - Fixed Deposits	6,500	-	6,500	3,000	3,500	6,500
	(f) Subsidiaries	-	-	-	-	-	-
	(g) Investment Properties-Real Estate	-	-	-	-	-	-
4	Investments in Infrastructure and Social Sector	86,567	10,046	96,613	151,018	16,744	167,762
5	Other than Approved Investments	17,328	1,913	19,241	6,523	1,539	8,062
	SHORT TERM INVESTMENTS						
1	Government securities and Government guaranteed bonds including Treasury Bills	268,256	-	268,256	310,840	669	311,509
2	Other Approved Securities	-	-	-	-	-	-
3	(a) Shares						
	(aa) Equity	-	-	-	-	-	-
	(bb) Preference	-	-	-	-	-	-
	(b) Mutual Funds	37,044	900	37,944	29,243	1,301	30,544
	(c) Derivative Instruments	-	-	-	-	-	-
	(d) Debentures/ Bonds	46,865	-	46,865	8,190	-	8,190
	(e) Other Securities (to be specified)	-	-	-	-	-	-
	Deposit with Bank	29,700	-	29,700	129,500	-	129,500
	(f) Subsidiaries	-	-	-	-	-	-
	(g) Investment Properties-Real Estate	-	-	-	-	-	-
4	Investments in Infrastructure and Social Sector	8,087	2,022	10,109	2,516	-	2,516
5	Other than Approved Investments	-	-	-	-	-	-
	NET CURRENT ASSETS						
	Bank Balances	2,686	497	3,183	2,377	482	2,859
	Income accrued on investments	23,142	1,111	24,253	28,046	3,518	31,564
	Other Receivable	11,127	-	11,127	-	-	-
	Payables for purchase of Securities	-	-	-	(5,888)	(847)	(6,735)
	FMC Payable	(2,387)	(222)	(2,609)	(2,277)	(341)	(2,618)
	Other Payables	(33,104)	(4,705)	(37,809)	(18,581)	(7,201)	(25,782)
	TOTAL	2,075,536	165,506	2,241,042	2,110,934	258,225	2,369,159

FORM L-15 : LOANS SCHEDULE

Insurer : DHFL Pramerica Life Insurance Company Limited

Registration No. 140 ; Date of Registration with the IRDAI: June 27, 2008

(Rs.'000)

S.No.	Particulars	As at September 30, 2016	As at September 30, 2015
1	SECURITY-WISE CLASSIFICATION	-	-
	<i>Secured</i>	-	-
	(a) On mortgage of property	-	-
	(aa) In India	-	-
	(bb) Outside India	-	-
	(b) On Shares, Bonds, Govt. Securities, etc.	-	-
	(c) Loans against policies	11,147	2,247
	(d) Others (to be specified)	-	-
	<i>Unsecured</i>	-	-
	TOTAL	11,147	2,247
2	BORROWER-WISE CLASSIFICATION	-	-
	(a) Central and State Governments	-	-
	(b) Banks and Financial Institutions	-	-
	(c) Subsidiaries	-	-
	(d) Companies	-	-
	(e) Loans against policies	11,147	2,247
	(f) Others (to be specified)	-	-
	TOTAL	11,147	2,247
3	PERFORMANCE-WISE CLASSIFICATION	-	-
	(a) Loans classified as standard	-	-
	(aa) In India	11,147	2,247
	(bb) Outside India	-	-
	(b) Non-standard loans less provisions	-	-
	(aa) In India	-	-
	(bb) Outside India	-	-
	TOTAL	11,147	2,247
4	MATURITY-WISE CLASSIFICATION	-	-
	(a) Short Term	-	-
	(b) Long Term	11,147	2,247
	TOTAL	11,147	2,247

FORM L-16 : FIXED ASSETS SCHEDULE

Insurer : DHFL Pramerica Life Insurance Company Limited
 Registration No. 140 ; Date of Registration with the IRDAI: June 27, 2008

(Rs.'000)

Particulars	Cost/ Gross Block				Depreciation				Net Block	
	Opening	Additions	Deductions	Closing	Opening	During The Period	On Sales/ Adjustments	Closing	As at September 30, 2016	As at September 30, 2015
Goodwill	-	-	-	-	-	-	-	-	-	-
Intangibles - Software	192,134	242,137	-	434,271	83,382	40,562	-	123,944	310,327	106,828
Land-Freehold	-	-	-	-	-	-	-	-	-	-
Leasehold Improvements	83,897	2,198	1,187	84,908	37,306	6,672	1,108	42,870	42,038	41,637
Buildings	-	-	-	-	-	-	-	-	-	-
Furniture & Fittings	16,188	406	312	16,282	13,075	1,002	312	13,765	2,517	3,286
Information Technology Equipment	136,193	6,151	40	142,304	69,754	13,222	35	82,941	59,363	66,626
Vehicles	-	-	-	-	-	-	-	-	-	-
Office Equipment	25,313	2,486	1,313	26,486	18,589	1,692	1,196	19,085	7,401	3,345
Others (Specify nature)	-	-	-	-	-	-	-	-	-	-
TOTAL	453,725	253,378	2,852	704,251	222,106	63,150	2,651	282,605	421,646	221,722
Work in progress	-	-	-	-	-	-	-	-	49,313	165,448
Grand Total	453,725	253,378	2,852	704,251	222,106	63,150	2,651	282,605	470,959	387,170
PREVIOUS YEAR	214,575	244,342	5,192	453,725	162,325	64,710	4,929	222,106	462,605	330,388

FORM L-17 : CASH AND BANK BALANCE SCHEDULE

Insurer : DHFL Pramerica Life Insurance Company Limited

Registration No. 140 ; Date of Registration with the IRDAI: June 27, 2008

(Rs.'000)

S.No.	Particulars	As at September 30, 2016	As at September 30, 2015
1	Cash (including cheques, drafts and stamps)	231,737	123,118
2	Bank Balances		
	(a) Deposit Accounts	-	-
	(aa) Short-term (due within 12 months of the date of Balance Sheet)	-	-
	(bb) Others (under Lien)	100	100
	(b) Current Accounts	104,738	82,292
	(c) Others (to be specified)	-	-
3	Money at Call and Short Notice		
	(a) With Banks	-	-
	(b) With other Institutions	-	-
4	Others (to be specified)	-	-
	TOTAL	336,575	205,510
	Balances with non-scheduled banks included in 2 and 3 above	-	-
	CASH & BANK BALANCES		
1	In India	336,575	205,510
2	Outside India	-	-
	TOTAL	336,575	205,510

FORM L-18-ADVANCE AND OTHER ASSETS SCHEDULE

Insurer : DHFL Pramerica Life Insurance Company Limited

Registration No. 140 ; Date of Registration with the IRDAI: June 27, 2008

(Rs.'000)

S.No.	Particulars	As at September 30, 2016	As at September 30, 2015
	ADVANCES		
1	Reserve deposits with ceding companies	-	-
2	Application money for investments	-	-
3	Prepayments	133,893	53,008
4	Advances to Directors/Officers	-	-
5	Advance tax paid and taxes deducted at source (Net of provision for taxation)	10	10
6	Others (to be specified)	-	-
	Security Deposits	65,723	55,929
	Deposit -Others	3,405	2,492
	Advances to employees for travel, etc.	3,305	849
	TOTAL (A)	206,336	112,288
	OTHER ASSETS		
1	Income accrued on investments	500,982	397,655
2	Outstanding Premiums	167,399	142,341
3	Agents' Balances	16,354	7,764
4	Foreign Agencies Balances	-	-
5	Due from other entities carrying on insurance business (including reinsures)	273,885	139,518
6	Due from subsidiaries/ holding company	-	-
7	Deposit with Reserve Bank of India [Pursuant to section 7 of Insurance Act, 1938]	-	-
8	Others (to be specified)	-	-
	Seed Capital Contribution towards Unit Linked Funds	37,809	25,782
	Investment held to meet policyholder unclaimed	256,136	-
	Service Tax Unutilized Credit	75,084	39,885
	Due from Affiliates	-	-
	Other Dues	5,180	1,553
	Receivable towards non-par non linked funds	1,473	-
	Receivable from clearing firm	-	182,053
	Receivable from ex employees	7,500	7,646
	Agents' Balances - provision for doubtful amounts	(11,600)	(6,494)
	Receivable from ex employees- provision	(7,500)	(3,823)
	Provision on Vendor Advances	(6,260)	(4,398)
	TOTAL (B)	1,316,442	929,482
	TOTAL (A+B)	1,522,778	1,041,770

FORM L-19-CURRENT LIABILITIES SCHEDULE

Insurer : DHFL Pramerica Life Insurance Company Limited

Registration No. 140 ; Date of Registration with the IRDAI: June 27, 2008

(Rs.'000)

S.No.	Particulars	As at September 30, 2016	As at September 30, 2015
1	Agents' Balances	28,208	26,807
2	Balances due to other insurance companies	329,260	168,151
3	Deposits held on re-insurance ceded	-	-
4	Premiums received in advance	21,962	15,858
5	Unallocated premium	766,773	501,522
6	Sundry creditors	231,116	213,590
7	Due to subsidiaries/ holding company	-	-
8	Claims Outstanding	58,318	82,304
9	Annuities Due	-	-
10	Due to Officers/ Directors	100	100
11	Due to unit linked fund	7,971	
12	Policy holders Unclaimed	189,012	109,858
11	Others (to be specified)		
	-Proposal / Policyholder deposits	5,115	4,490
	-Withholding Tax Deducted at Source	34,869	11,978
	-Accrued Expenses	516,091	608,763
	-Other Statutory liabilities	14,714	12,596
	- Due to Non- par non linked funds	1,473	-
	-Lease Equalistion Reserve	6,250	5,350
	- Service Tax Liability	97,208	65,656
	TOTAL	2,308,440	1,827,023

FORM L-20-PROVISIONS SCHEDULE

Insurer : DHFL Pramerica Life Insurance Company Limited

Registration No. 140 ; Date of Registration with the IRDAI: June 27, 2008

(Rs.'000)

S.No.	Particulars	As at September 30, 2016	As at September 30, 2015
1	For taxation (less payments and taxes deducted at source)	-	-
2	For proposed dividends	-	-
3	For dividend distribution tax	-	-
4	Others (to be specified)	-	-
	- Provision for Gratuity	44,933	35,739
	- Provision for Leave Encashment	42,438	26,129
	TOTAL	87,371	61,868

FORM L-21-MISCELLANEOUS EXPENDITURE SCHEDULE
(To the extent not written off or adjusted)

Insurer : DHFL Pramerica Life Insurance Company Limited

Registration No. 140 ; Date of Registration with the IRDAI: June 27, 2008

(Rs.'000)

S.No.	Particulars	As at September 30, 2016	As at September 30, 2015
1	Discount Allowed in issue of shares/ debentures	-	-
2	Others (to be specified)	-	-
	TOTAL	-	-

FORM L-22 : Analytical Ratios*

Insurer: DHFL Pramerica Life Insurance Company Limited
 Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

Date: 30-Sep-16

S.No.	Particular	For the Quarter Ended Sept 2016	Upto the Quarter Sept 2016	For the Quarter Ended Sept 2015	Upto the Quarter Ended Sept 2015
1	New business premium income growth rate - segment wise	22%	9%	38%	44%
	Non Par Individual Life - Non Linked	64%	62%	45%	49%
	Non Par Group Life	24%	10%	43%	50%
	Non Par Group Health-Non Linked	100%	100%		
	Non Par Individual Health - Non Linked	100%	100%		
	Participating Individual Life	-91%	-81%	-15%	-12%
	Non Par Individual Life - Linked	88%	38%	382%	3717%
2	Net Retention Ratio	94%	95%	97%	97%
3	Expense of Management to Gross Direct Premium Ratio	42%	45%	41%	43%
4	Commission Ratio (Gross commission paid to Gross Premium)	2%	2%	3%	3%
5	Ratio of policy holder's liabilities to shareholder's funds	185%	185%	136%	136%
6	Growth rate of shareholders' fund	7%	7%	6%	6%
7	Ratio of surplus to policyholders' liability	3%	5%	4%	6%
8	Change in net worth ('000)	520,007	520,007	455,733	455,733
9	Profit after tax/Total Income	3.11%	3.37%	5%	4%
10	(Total real estate + loans)/(Cash & invested assets)	NA	NA	0%	0%
11	Total investments/(Capital + Surplus)	187%	187%	145%	145%
12	Total affiliated investments/(Capital+ Surplus)	5.06%	5.06%	0.21%	0.21%
13 *	Investment Yield (Gross and Net)				
	A. With realised Gain				
	Policyholders' Funds:				
	Non-Linked:				
	1. PAR	9.86%	9.20%	8.67%	8.67%
	2. Non-PAR*	12.59%	10.73%	8.99%	8.94%
	Linked:				
	4. Non-PAR	9.78%	9.51%	-3.84%	-3.74%
	Shareholders' Funds	13.80%	11.68%	8.31%	8.39%
	A. Without realised Gain				
	Policyholders' Funds:				
	Non-Linked:				
	1. PAR	9.86%	9.20%	8.67%	8.67%
	2. Non-PAR*	12.59%	10.73%	8.99%	8.94%
	Linked:				
	4. Non-PAR	19.66%	20.11%	-3.84%	-3.74%
	Shareholders' Funds	13.87%	12.15%	8.31%	8.39%

FORM L-22 : Analytical Ratios*

Insurer: DHFL Pramerica Life Insurance Company Limited
Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

Date: 30-Sep-16

S.No.	Particular	For the Quarter Ended Sept 2016	Upto the Quarter Sept 2016	For the Quarter Ended Sept 2015	Upto the Quarter Ended Sept 2015
14	Conservation Ratio	62%	60%	59%	59%
15	Persistence Ratio				
	Persistence Ratio by Premium				
	For 13th month+	60%	60%	51%	51%
	For 25th month+	44%	44%	37%	37%
	For 37th month+	31%	31%	31%	31%
	For 49th Month+	28%	28%	36%	36%
	for 61st month+	24%	24%	11%	11%
	Persistence Ratio by Policy				
	For 13th month+	54%	54%	45%	45%
	For 25th month+	37%	37%	28%	28%
	For 37th month+	21%	21%	24%	24%
	For 49th Month+	20%	20%	24%	24%
	for 61st month+	18%	18%	10%	10%
16	NPA Ratio				
	Gross NPA Ratio	-	-	-	-
	Net NPA Ratio	-	-	-	-

+ Non Reducing Balance

Equity Holding Pattern for Life Insurers

(Rs in Lakhs)

Particulars	For the Quarter ended September 30 2016	For the Quarter ended September 30 2015
1 (a) No. of shares	374,061,867	374,061,867
2 (b) Percentage of shareholding (Indian / Foreign)	285%	285%
3 (c) %of Government holding (in case of public sector insurance companies)	-	-
4 (a) Basic and diluted EPS before extraordinary items (net of tax expense) for the period (not to be annualized)	0.52	0.18
5 (b) Basic and diluted EPS after extraordinary items (net of tax expense) for the period (not to be annualized)	0.52	0.18
6 (iv) Book value per share (Rs)	21.65	19.98

FORM L - 24 Valuation of net liabilities

Insurer: DHFL Pramerica Life Insurance Company Limited

Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

(Rs in Lakhs)

S.No.	Particular	As at 30 September 2016	As at 30 September 2015
1	Linked		
a	Life	20,918	21,298
b	General Annuity		
c	Pension	1,679	2,601
d	Health		
2	Non-Linked		
a	Life	127,233	79,438
b	General Annuity		-
c	Pension		-
d	Health	44	-

FORM L-25- (i) : Geographical Distribution Channel - Individuals

Insurer: DHFL Pramerica Life Insurance Company Limited
Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

FOR THE QTR ENDED SEPTEMBER 30th, 2016

(Rs in Crore)

Geographical Distribution of Total Business- Individuals													
S.No.	State / Union Territory	Rural				Urban				Total Business			
		No. of Policies	No. of Lives	Premium (Rs crore)	Sum Assured (Rs crore)	No. of Policies	No. of Lives	Premium (Rs crore)	Sum Assured (Rs crore)	No. of Policies	No. of Lives	Premium (Rs crore)	Sum Assured (Rs crore)
1	Andhra Pradesh	50	49	0.07	1.26	242	241	0.90	6.88	292	290	0.98	8.14
2	Arunachal Pradesh	-	-	0.01	-	-	-	0.02	-	-	-	0.04	-
3	Assam	94	93	0.13	1.47	384	390	0.89	6.04	478	483	1.02	7.51
4	Bihar	(1)	(1)	0.04	(0.02)	56	58	0.12	0.15	55	57	0.16	0.13
5	Chattisgarh	58	58	0.05	1.12	159	159	0.28	3.54	217	217	0.34	4.66
6	Goa	-	-	-	-	(2)	(2)	(0.01)	(0.10)	(2)	(2)	(0.01)	(0.10)
7	Gujarat	39	39	0.06	1.67	565	566	2.32	16.77	604	605	2.37	18.44
8	Haryana	142	141	0.79	3.26	814	835	3.51	24.97	956	976	4.30	28.23
9	Himachal Pradesh	28	28	0.10	0.54	508	506	1.15	11.19	536	534	1.25	11.73
10	Jammu & Kashmir	193	192	1.51	4.07	1,084	1,083	3.96	23.11	1,277	1,275	5.47	27.17
11	Jharkhand	41	39	0.09	1.01	174	173	0.25	3.54	215	212	0.33	4.55
12	Karnataka	142	141	0.16	3.20	298	300	1.01	9.35	440	441	1.17	12.55
13	Kerala	3	3	0.03	(0.01)	52	52	0.24	1.23	55	55	0.27	1.22
14	Madhya Pradesh	122	121	0.15	3.20	613	608	2.33	18.23	735	729	2.48	21.43
15	Maharashtra	117	116	0.27	3.23	1,214	1,242	4.56	44.70	1,331	1,358	4.83	47.93
16	Manipur	-	-	0.00	-	(1)	(1)	0.10	(0.05)	(1)	(1)	0.10	(0.05)
17	Meghalaya	-	-	0.01	-	2	2	0.11	0.01	2	2	0.12	0.01
18	Mirzoram	-	-	-	-	-	-	0.00	-	-	-	0.00	-
19	Nagaland	9	9	0.01	0.30	182	182	0.27	3.85	191	191	0.28	4.14
20	Orissa	120	119	0.20	1.93	709	719	0.98	8.51	829	838	1.17	10.44
21	Punjab	175	169	0.38	10.08	1,940	1,939	5.53	47.79	2,115	2,108	5.91	57.88
22	Rajasthan	99	97	0.46	2.82	960	958	2.71	19.95	1,059	1,055	3.17	22.77
23	Sikkim	-	-	0.00	-	(1)	(1)	0.02	(0.01)	(1)	(1)	0.02	(0.01)
24	Tamil Nadu	6	5	0.04	0.95	157	166	0.54	7.14	163	171	0.58	8.09
25	Telangana	-	-	0.01	-	27	32	0.05	0.02	27	32	0.06	0.02
26	Tripura	-	-	0.00	-	-	-	0.01	-	-	-	0.01	-
27	Uttar Pradesh	495	494	0.62	10.78	1,636	1,635	5.26	38.81	2,131	2,129	5.88	49.59
28	UttrKhand	134	134	0.20	2.62	458	457	1.27	7.71	592	591	1.48	10.33
29	West Bengal	60	59	0.11	1.37	577	576	1.52	14.65	637	635	1.62	16.02
30	Andaman & Nicobar Islands	-	-	0.02	-	-	-	0.01	-	-	-	0.03	-
31	Chandigarh	97	96	0.11	2.10	211	213	0.39	9.41	308	309	0.49	11.51
32	Dadra & Nagrahaveli	-	-	-	-	-	-	-	-	-	-	-	-
33	Daman & Diu	-	-	-	-	2	2	0.00	0.01	2	2	0.00	0.01
34	Delhi	9	9	0.04	(0.13)	323	464	(0.06)	2.07	332	473	(0.02)	1.94
35	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	-
36	Puducherry	-	-	-	-	(1)	(1)	(0.01)	(0.06)	(1)	(1)	(0.01)	(0.06)
	COMPANY TOTAL	2,232	2,210	5.67	56.81	13,342	13,553	40.21	329.39	15,574	15,763	45.89	386.20

FORM L-25- (ii) : Geographical Distribution Channel - GROUP

Insurer: DHFL Pramerica Life Insurance Company Limited

FOR THE QTR ENDED SEPTEMBER 30th, 2016

Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

(Rs in Crore)

Geographical Distribution of Total Business- GROUP													
S.No.	State / Union Territory	Rural				Urban				Total Business			
		No. of Policies	No. of Lives	Premium (Rs crore)	Sum Assured (Rs crore)	No. of Policies	No. of Lives	Premium (Rs crore)	Sum Assured (Rs crore)	No. of Policies	No. of Lives	Premium (Rs crore)	Sum Assured (Rs crore)
1	Andhra Pradesh	-	114,647	2.76	573.24	-	38	0.00	0.72	-	114,685	2.76	573.96
2	Arunachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-
3	Assam	3	11,669	0.16	32.47	-	-	-	-	3	11,669	0.16	32.47
4	Bihar	-	-	-	-	-	-	-	-	-	-	-	-
5	Chattisgarh	-	-	-	-	-	-	-	-	-	-	-	-
6	Goa	-	-	-	-	-	-	-	-	-	-	-	-
7	Gujarat	1	7,514	0.09	14.59	-	-	-	-	1	7,514	0.09	14.59
8	Haryana	-	-	-	-	15	310,149	4.78	957.09	15	310,149	4.78	957.09
9	Himachal Pradesh	3	2,891	0.11	17.72	-	2,197	0.78	110.50	3	5,088	0.89	128.22
10	Jammu & Kashmir	-	-	-	-	-	-	-	-	-	-	-	-
11	Jharkhand	-	-	-	-	-	-	-	-	-	-	-	-
12	Karnataka	5	30,924	0.34	51.36	15	49,621	4.09	1,318.06	20	80,545	4.43	1,369.42
13	Kerala	-	-	-	-	18	613,913	10.88	1,267.81	18	613,913	10.88	1,267.81
14	Madhya Pradesh	2	44,535	1.47	445.35	2	62,927	2.16	625.60	4	107,462	3.63	1,070.95
15	Maharashtra	1	1,271	0.14	3.47	7	38,875	116.14	4,059.75	8	40,146	116.27	4,063.22
16	Manipur	-	-	-	-	-	-	-	-	-	-	-	-
17	Meghalaya	-	-	-	-	-	-	-	-	-	-	-	-
18	Mirzoram	-	-	-	-	-	-	-	-	-	-	-	-
19	Nagaland	-	-	-	-	-	-	-	-	-	-	-	-
20	Orissa	6	64,617	0.73	144.52	3	8,195	0.35	98.47	9	72,812	1.08	242.99
21	Punjab	-	-	-	-	1	475	0.06	95.00	1	475	0.06	95.00
22	Rajasthan	-	-	-	-	1	181	0.01	1.43	1	181	0.01	1.43
23	Sikkim	-	-	-	-	-	-	-	-	-	-	-	-
24	Tamil Nadu	4	127,743	1.56	427.86	7	596,926	4.89	1,381.26	11	724,669	6.45	1,809.12
25	Telangana	-	-	-	-	-	-	-	-	-	-	-	-
26	Tripura	-	-	-	-	-	-	-	-	-	-	-	-
27	Uttar Pradesh	16	191,633	1.86	485.90	4	4,990	0.09	12.18	20	196,623	1.95	498.08
28	UttraKhand	2	369	0.01	1.73	2	1,562	0.15	14.25	4	1,931	0.16	15.98
29	West Bengal	6	38,554	0.20	70.32	-	13	0.01	0.38	6	38,567	0.21	70.69
30	Andaman & Nicobar Islands	-	-	-	-	-	-	-	-	-	-	-	-
31	Chandigarh	-	-	-	-	-	-	-	-	-	-	-	-
32	Dadra & Nagrahaveli	-	-	-	-	-	-	-	-	-	-	-	-
33	Daman & Diu	-	-	-	-	-	-	-	-	-	-	-	-
34	Delhi	14	145,263	2.48	465.31	2	747	0.08	111.87	16	146,010	2.56	577.18
35	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	-
36	Puducherry	-	-	-	-	-	-	-	-	-	-	-	-
	COMPANY TOTAL	63	781,630	11.91	2,733.83	77	1,690,809	144.47	10,054.36	140	2,472,439	156.38	12,788.19

FORM L-25- (i) : Geographical Distribution Channel - Individuals

Insurer: DHFL Pramerica Life Insurance Company Limited
 Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

UP TO THE QTR ENDED SEPTEMBER 30th, 2016

(Rs in Crore)

Geographical Distribution of Total Business- Individuals													
S.No.	State / Union Territory	Rural(Group)				Urban(Group)				Total Business(Group)			
		No. of Policies	No. of Lives	Premium (Rs crore)	Sum Assured (Rs crore)	No. of Policies	No. of Lives	Premium (Rs crore)	Sum Assured (Rs crore)	No. of Policies	No. of Lives	Premium (Rs crore)	Sum Assured (Rs crore)
1	Andhra Pradesh	87	86	0.12	2.03	405	403	1.46	11.05	492	489	1.58	13.08
2	Arunachal Pradesh	-	-	0.02	-	-	-	0.05	-	-	-	0.08	-
3	Assam	144	143	0.21	2.54	702	712	1.53	11.13	846	855	1.74	13.67
4	Bihar	8	8	0.09	0.12	67	69	0.23	0.14	75	77	0.32	0.26
5	Chattisgarh	106	106	0.08	2.19	275	275	0.53	5.81	381	381	0.61	8.00
6	Goa	-	-	-	-	(2)	(2)	(0.00)	(0.10)	(2)	(2)	(0.00)	(0.10)
7	Gujarat	64	64	0.15	6.18	980	985	4.09	34.08	1,044	1,049	4.24	40.26
8	Haryana	333	331	1.48	6.45	1,429	1,578	4.80	43.63	1,762	1,909	6.28	50.09
9	Himachal Pradesh	107	106	0.22	2.26	830	828	1.79	18.30	937	934	2.00	20.57
10	Jammu & Kashmir	644	643	2.85	13.30	1,804	1,792	7.71	40.14	2,448	2,435	10.56	53.44
11	Jharkhand	79	77	0.15	1.94	308	308	0.39	6.57	387	385	0.55	8.51
12	Karnataka	220	219	0.21	4.41	592	611	1.84	18.00	812	830	2.05	22.41
13	Kerala	6	6	0.04	0.08	108	109	0.54	3.15	114	115	0.59	3.24
14	Madhya Pradesh	133	132	0.15	3.08	1,219	1,210	3.88	30.42	1,352	1,342	4.03	33.51
15	Maharashtra	142	141	0.32	3.70	2,105	2,160	7.29	73.26	2,247	2,301	7.60	76.95
16	Manipur	-	-	0.00	-	2	4	0.19	(0.03)	2	4	0.19	(0.03)
17	Meghalaya	-	-	0.02	-	1	1	0.22	(0.03)	1	1	0.25	(0.03)
18	Mirzoram	-	-	-	-	-	-	0.00	-	-	-	0.00	-
19	Nagaland	9	9	0.02	0.30	318	319	0.46	6.17	327	328	0.48	6.47
20	Orissa	508	507	0.78	7.01	840	845	1.21	10.15	1,348	1,352	1.99	17.16
21	Punjab	303	294	0.58	13.86	3,643	3,617	9.37	82.11	3,946	3,911	9.94	95.96
22	Rajasthan	566	560	0.83	11.80	1,497	1,490	5.22	31.77	2,063	2,050	6.05	43.57
23	Sikkim	-	-	0.00	-	(1)	(1)	0.04	(0.01)	(1)	(1)	0.04	(0.01)
24	Tamil Nadu	5	4	0.02	0.79	270	277	1.02	12.91	275	281	1.05	13.70
25	Telangana	-	-	0.03	-	50	58	0.21	0.27	50	58	0.24	0.27
26	Tripura	-	-	0.00	-	-	-	0.01	-	-	-	0.02	-
27	Uttar Pradesh	875	871	0.91	17.76	2,622	2,635	8.53	58.69	3,497	3,506	9.44	76.44
28	UttraKhand	191	191	0.30	3.52	792	786	2.47	12.46	983	977	2.77	15.98
29	West Bengal	174	173	0.27	2.70	1,017	1,014	2.76	26.12	1,191	1,187	3.03	28.82
30	Andaman & Nicobar Islands	-	-	0.03	-	-	-	0.02	-	-	-	0.05	-
31	Chandigarh	180	178	0.26	4.24	380	395	0.68	21.14	560	573	0.94	25.38
32	Dadra & Nagrahaveli	-	-	-	-	-	-	-	-	-	-	-	-
33	Daman & Diu	-	-	-	-	2	2	0.00	0.01	2	2	0.00	0.01
34	Delhi	20	20	0.14	0.27	640	891	0.66	14.38	660	911	0.81	14.66
35	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	-
36	Puducherry	-	-	-	-	(1)	(1)	(0.01)	(0.06)	(1)	(1)	(0.01)	(0.06)
COMPANY TOTAL		4,904	4,869	10.29	110.54	22,894	23,370	69.20	571.63	27,798	28,239	79.49	682.17

FORM L-25- (ii) : Geographical Distribution Channel - GROUP

Insurer: DHFL Pramerica Life Insurance Company Limited

UP TO THE QTR ENDED SEPTEMBER 30th, 2016

Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

(Rs in Crore)

Geographical Distribution of Total Business- GROUP													
Sl.No.	State / Union Territory	Rural(Group)				Urban(Group)				Total Business(Group)			
		No. of Policies	No. of Lives	Premium (Rs crore)	Sum Assured (Rs crore)	No. of Policies	No. of Lives	Premium (Rs crore)	Sum Assured (Rs crore)	No. of Policies	No. of Lives	Premium (Rs crore)	Sum Assured (Rs crore)
1	Andhra Pradesh	8	204,525	3.62	831.95	1	270	0.01	10.23	9	204,795	3.63	842.17
2	Arunachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-
3	Assam	3	11,669	0.16	32.47	-	-	-	-	3	11,669	0.16	32.47
4	Bihar	1	1,300	0.01	2.17	-	-	-	-	1	1,300	0.01	2.17
5	Chattisgarh	-	-	-	-	-	-	-	-	-	-	-	-
6	Goa	-	-	-	-	-	-	-	-	-	-	-	-
7	Gujarat	1	7,514	0.09	14.59	-	-	-	-	1	7,514	0.09	14.59
8	Haryana	-	-	-	-	28	648,985	10.30	2,359.74	28	648,985	10.30	2,359.74
9	Himachal Pradesh	5	11,349	0.44	51.59	-	2,840	1.41	143.24	5	14,189	1.85	194.83
10	Jammu & Kashmir	-	-	-	-	-	-	-	-	-	-	-	-
11	Jharkhand	-	-	-	-	-	-	-	-	-	-	-	-
12	Karnataka	7	33,606	0.37	58.16	15	50,629	6.60	1,442.85	22	84,235	6.97	1,501.01
13	Kerala	-	-	-	-	29	1,263,961	18.61	2,687.58	29	1,263,961	18.61	2,687.58
14	Madhya Pradesh	2	44,535	1.47	445.35	4	63,243	2.19	628.36	6	107,778	3.66	1,073.71
15	Maharashtra	1	1,271	0.14	3.47	9	59,022	203.66	6,550.77	10	60,293	203.80	6,554.24
16	Manipur	-	-	-	-	-	-	-	-	-	-	-	-
17	Meghalaya	-	-	-	-	-	-	-	-	-	-	-	-
18	Mirzoram	-	-	-	-	-	-	-	-	-	-	-	-
19	Nagaland	-	-	-	-	-	-	-	-	-	-	-	-
20	Orissa	6	64,617	0.73	144.52	3	8,215	0.42	99.73	9	72,832	1.15	244.25
21	Punjab	-	-	-	-	1	475	0.06	95.00	1	475	0.06	95.00
22	Rajasthan	-	-	-	-	1	181	0.01	1.43	1	181	0.01	1.43
23	Sikkim	-	-	-	-	-	-	-	-	-	-	-	-
24	Tamil Nadu	6	233,483	3.28	878.34	12	1,110,818	9.14	2,504.16	18	1,344,301	12.42	3,382.51
25	Telangana	-	-	-	-	2	226	0.01	9.15	2	226	0.01	9.15
26	Tripura	-	-	-	-	-	-	-	-	-	-	-	-
27	Uttar Pradesh	31	367,005	3.29	885.59	10	37,026	0.64	279.81	41	404,031	3.93	1,165.40
28	UttraKhand	4	1,461	0.03	7.19	4	2,773	0.28	29.92	8	4,234	0.31	37.11
29	West Bengal	6	38,554	0.20	70.32	-	29	0.02	0.78	6	38,583	0.22	71.10
30	Andaman & Nicobar Islands	-	-	-	-	-	-	-	-	-	-	-	-
31	Chandigarh	-	-	-	-	-	-	-	-	-	-	-	-
32	Dadra & Nagrahaveli	-	-	-	-	-	-	-	-	-	-	-	-
33	Daman & Diu	-	-	-	-	-	-	-	-	-	-	-	-
34	Delhi	24	234,628	3.74	690.85	3	1,078	0.10	137.92	27	235,706	3.84	828.77
35	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	-
36	Puducherry	-	-	-	-	-	-	-	-	-	-	-	-
	COMPANY TOTAL	105	1,255,517	17.57	4,116.55	122	3,249,771	253.44	16,980.66	227	4,505,288	271.01	21,097.22

FORM L-26-INVESTMENT ASSETS(LIFE INSURERS)

PART - A

Insurer: DHFL Pramerica Life Insurance Company Limited
 Registration No. 140; Date of Registration with the IRDAI: June 27, 2008
 Statement as on :30th September 2016
 Statement of Investment Assets (Life Insurers)
 (Business within India)
 Periodicity of Submission : Quarterly

(Rs in Lakhs)

Total Application as per Balance Sheet (A)		270,445	Reconciliation of Investment Assets		
Add (B)			Total Investment Assets (as per Balance Sheet)		225,854
Provisions	Sch-14	874	Balance Sheet Value of:		
Current Liabilities	Sch-13	23,462	A. Life Fund		141,688
		24,336	B. Pension & General Annuity Fund		61,755
Less (C)			C. Unit Linked Funds		22,410
Debit Balance in P & L A/c		39,572			225,854
Deferred tax asset		5,861			
Loans	Sch-09	111			
Adv & Other Assets	Sch-12	15,308			
Cash & Bank Balance	Sch-11	3,366			
Fixed Assets	Sch-10	4,710			
Misc Exp Not Written Off	Sch-15	-			
		68,928			
Funds available for Investments		225,854			

NON - LINKED BUSINESS

A. LIFE FUND	% as per Reg	SH		PH		Book Value (SH+PH)	Actual % (g)=[(f)-(a)]	FVC Amount (h)	Total Fund (i=a+f+h)	Market Value (j)	
		Balance	FRSM*	UL-Non Unit Res	PAR						NON PAR
		(a)	(b)	(c)	(d)						(e)
1 Central Govt. Security	Not Less than 25%	-	43,319	264	5,652	28,947	78,182	55.1%	0	78,182	83,596
2 Central Govt. Sec, State Govt Sec or Other Approved Securities (incl (i) above)	Not Less than 50%	-	46,018	264	5,652	29,454	81,387	57.4%	0	81,387	86,959
3 Investment Subject to Exposure Norms											
a. Housing & Infrastructure											
i) Approved Investments	Not Less than 15%	-	19,895	125	4,072	19,972	44,064	31.1%	3	44,061	46,734
ii) Other Investments		-	8	-	-	-	8	0.0%	0	7	7
b. i) Approved Investments	Not exceeding 35%	-	10,830	28	625	4,565	16,048	11.3%	119	15,929	16,456
ii) "Other Investments" not to exceed 15%		-	309	-	-	-	309	0.2%	5	304	304
Total Life Fund	100%	-	77,059	417	10,349	53,990	141,816	100.0%	128	141,688	150,461

B. PENSION AND GENERAL ANNUITY FUND	% as per Reg	PH		Book Value	Actual %	FVC Amount	Total Fund	Market Value
		PAR	NON PAR					
1 Central Govt. Security	Not Less than 20%	-	32,742	32,742	53.0%	0	32,742	34,081
2 Central Govt Sec, State Govt Sec or Other Approved Securities (incl (i) above)	Not Less than 40%	-	33,244	33,244	53.8%	0	33,244	34,650
3 Balance in Approved investment	Not Exceeding 60%	-	28,511	28,511	46.2%	0	28,511	30,166
Total Pension, General Annuity Fund	100%	-	61,755	61,755	100.0%	0	61,755	64,816

LINKED BUSINESS

C. LINKED FUNDS	% as per Reg	PH		Total Fund	Actual %
		PAR	NON PAR		
1 Approved Investment	Not Less than 75%	-	22,218	22,218	99.1%
2 Other Investments	Not More than 25%	-	192	192	0.9%
Total Linked Insurance Fund	100%	-	22,410	22,410	100.0%

CERTIFICATION:

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date : 17th October 2016

Signature : _____
 Full Name : **Alok Mehrotra**
 Designation : **Chief Financial Officer**

Note:

(+) FRMS refers to 'Funds representing Solvency Margin'
 Funds beyond Solvency Margin shall have a separate Custody Account.
 Other Investments' are as permitted under Section 27A(2) and 27B(3) of Insurance Act, 1938
 Pattern of Investment will apply only to Shareholders (SH) funds representing FRSM ("F")
 Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate custody account
 In life fund, reconciliation with the schedules have been provided separately
 Adjustment of seed capital amounting to Rs. 0.23 Crs has been done in Schedule 12 & schedule 13 respectively and shown as investments in the shareholders portfolio.

FORM L-27-UNIT LINKED BUSINESS

Insurer: DHFL Pramerica Life Insurance Company Limited
 Registration No. 140; Date of Registration with the IRDAI: June 27, 2008
 Statement as on :30th September 2016
 Periodicity of Submission : Quarterly

PART - B

[Link to item 'C' of Form 3A \(Part A\)](#)

(Rs in Lakhs)

PARTICULARS	Debt Fund	Balanced Fund	Growth Fund	Large Cap Equity Fund	Pension Debt Fund	Pension Balanced Fund	Pension Growth Fund	Pension Dynamic Equity Fund	Liquid Fund	Discontinued Policy Fund	Total of All Funds
	ULIF00127/08/08FIX EDIFUND140	ULIF00227/08/08BAL ANCFUND140	ULIF00327/08/08GR OWTHFUND140	ULIF00427/08/08LAR CAPFUND140	ULIF00509/02/09PEN DEBFUND140	ULIF00609/02/09PEN BALFUND140	ULIF00709/02/09PEN GROFUND140	ULIF00809/02/09PEN DYEFUND140	ULIF00920/01/11LIQ UIDFUND140	ULIF01024/02/11DISC ONFUND140	
Opening Balance (Market Value)	2,388.5	2,961.5	3,966.7	7,802.0	159.8	155.9	209.4	1,192.9	39.6	3,224.7	22,100.9
Add:Inflow during the Quarter	1,840.5	152.8	249.2	317.8	21.7	1.2	1.0	5.5	0.5	23.3	2,613.6
Increase / (Decrease) Value of Inv [Net]	110.1	147.0	199.1	408.5	7.1	7.7	10.8	65.4	0.8	50.1	1,006.5
Less:Outflow during the Quarter	1,511.8	134.5	297.2	784.7	2.1	18.7	28.3	134.2	2.5	396.5	3,310.5
Total Investible Funds (Market value)	2,827.4	3,126.7	4,117.6	7,743.5	186.5	146.1	192.9	1,129.6	38.4	2,901.6	22,410.4

Investment of Unit Fund	Debt Fund		Balanced Fund		Growth Fund		Large Cap Equity Fund		Pension Debt Fund		Pension Balanced Fund		Pension Growth Fund		Pension Dynamic Equity Fund		Liquid Fund		Discontinued Policy Fund		Total of All Funds	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																						
Government Bonds	1,507.94	53%	838.11	27%	606.36	15%	-	0%	52.42	28%	35.68	24%	28.28	15%	-	0%	58.57	152%	2,623.98	90%	5,751.34	25.66%
State Government securities	20.45	1%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	20.45	0.09%
Corporate Bonds	605.38	21%	645.24	21%	470.20	11%	-	0%	67.50	36%	22.00	15%	10.97	6%	-	0%	-	0%	-	0%	1,821.29	8.13%
Infrastructure Bonds	516.94	18%	614.58	20%	498.80	12%	-	0%	64.71	35%	42.89	29%	34.10	18%	-	0%	-	0%	-	0%	1,772.02	7.91%
Equity	-	0%	922.00	29%	2,343.30	57%	7,563.15	98%	-	0%	46.22	32%	118.92	62%	1,136.43	101%	-	0%	-	0%	12,130.03	54.13%
Money Market	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0.00%
Mutual Funds	6.00	0%	33.00	1%	57.00	1%	253.39	3%	-	0%	-	0%	-	0%	9.00	1%	-	0%	21.04	1%	379.43	1.69%
Deposit with banks	12.00	0%	20.00	1%	33.00	1%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	297.00	10%	362.00	1.62%
Sub Total (A)	2,668.71	94%	3,072.92	98%	4,008.66	97%	7,816.54	101%	184.63	99%	146.80	100%	192.27	100%	1,145.43	101%	58.57	152%	2,942.02	101%	22,236.55	99.22%
Current Assets:																						
Accrued Interest	78.4	3%	69.4	2%	70.2	2%	-	0%	5.7	3%	2.6	2%	2.7	1%	(0.0)	0%	-	0%	12.3	0%	241.3	1.08%
Dividend Receivable	-	0%	0.1	0%	0.2	0%	0.8	0%	-	0%	0.0	0%	0.0	0%	0.1	0%	-	0%	-	0%	1.3	0.01%
Bank Balance	3.6	0%	4.7	0%	5.9	0%	10.6	0%	0.6	0%	0.9	1%	1.0	1%	2.4	0%	0.5	1%	1.6	0%	31.8	0.14%
Receivable for Sale of Investments	-	0%	3.2	0%	8.2	0%	20.1	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	31.5	0.14%
Other Current Assets (for Investments)	79.7	3%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	79.7	0.36%
Less: Current Liabilities																						
Payable for Investments	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0.00%
Fund Mgmt Charges Payable	(3.0)	0%	(4.0)	0%	(5.2)	0%	(10.2)	0%	(0.2)	0%	(0.2)	0%	(0.3)	0%	(1.6)	0%	(0.1)	0%	(1.4)	0%	(26.1)	-0.12%
Other Current Liabilities (for Investments)	-	0%	(38.6)	-1%	(5.9)	0%	(213.2)	-3%	(4.2)	-2%	(4.9)	-3%	(4.6)	-2%	(33.3)	-3%	(20.5)	-53%	(52.9)	-2%	(378.1)	-1.69%
Sub Total (B)	158.7	6%	34.9	1%	73.5	2%	(191.9)	-2%	1.9	1%	(1.6)	-1%	(1.2)	-1%	(32.3)	-3%	(20.1)	-52%	(40.4)	-1%	(18.5)	-0.08%
Other Investments (<=25%)																						
Corporate Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.00%
Infrastructure Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.00%
Equity	-	-	18.9	0.0	35.5	0.0	118.9	0.0	-	-	0.9	0.0	1.8	0.0	16.5	0.0	-	-	-	-	192.4	0.86%
Mutual funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.00%
Money Market	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.00%
Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.00%
Sub Total (C)	-	-	18.9	0.0	35.5	0.0	118.9	0.0	-	-	0.9	0.0	1.8	0.0	16.5	0.0	-	-	-	-	192.4	0.86%
Total (A + B + C)	2,827.4	100%	3,126.7	100%	4,117.6	100%	7,743.5	100%	186.5	100%	146.1	100%	192.9	100%	1,129.6	100%	38.4	100%	2,901.6	100%	22,410.4	100.00%

Date : 17th October 2016

- Note:
- The aggregate of all the above Segregated Unit-Fundsshould tally with item C of FORM 3A (Part A), for both Par & Non Par Business
 - Details of Item 12 of FORM LB 2 of IRDA (Actuarial Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B)
 - Other Investments' are as permitted under Sec 27A(2) and 27B(3)

Signature: _____
 Full name: Alok Mehrotra
 Designation: Chief Financial Officer

FORM L-28-ULIP-NAV

PART - C

Insurer: DHFL Pramerica Life Insurance Company Limited
 Registration No. 140; Date of Registration with the IRDAI: June 27, 2008
 Statement as on :30th September 2016
 Periodicity of Submission : Quarterly

Link to FORM 3A (Part B)

(Rs in Lakhs)

No	Name of the Scheme	SFIN	Date of Launch	Assets Held on the above date	NAV as on the above date	NAV as per LB 2	Previous Qtr NAV	2nd Previous Qtr NAV	3rd Previous Qtr NAV	4th Previous Qtr NAV	Annualised Return/Yield	3 Year Rolling CAGR	Highest NAV since inception
1	Debt Fund	ULIF00127/08/08FIXEDI FUND140	27-Aug-08	2,827	18.6427	18.6427	17.8541	17.4660	17.0413	16.8958	10.34%	10.89%	18.6699
2	Balanced Fund	ULIF00227/08/08BALAN CFUND140	27-Aug-08	3,127	20.9752	20.9752	19.9839	19.2787	19.0279	18.9657	10.60%	12.15%	21.1087
3	Growth Fund	ULIF00327/08/08GROW THFUND140	27-Aug-08	4,118	21.9343	21.9343	20.8808	19.8576	19.8705	19.9344	10.03%	13.28%	22.3806
4	Large Cap Equity Fund	ULIF00427/08/08LARCA PFUND140	27-Aug-08	7,744	23.0349	23.0349	21.8882	20.4589	20.7875	20.9988	9.70%	14.37%	23.9081
5	Pension Debt Fund	ULIF00509/02/09PENDE BFUND140	9-Feb-09	187	17.3397	17.3397	16.6186	16.2947	15.9182	15.7205	10.30%	10.75%	17.3577
6	Pension Balanced Fund	ULIF00609/02/09PENBA LFUND140	9-Feb-09	146	20.6374	20.6374	19.6790	18.9902	18.7470	18.6844	10.45%	12.17%	20.8087
7	Pension Growth Fund	ULIF00709/02/09PENG ROFUND140	9-Feb-09	193	25.9791	25.9791	24.7180	23.4639	23.4390	23.4693	10.69%	13.42%	26.5517
8	Pension Dynamic Equity Fund	ULIF00809/02/09PENDY EFUND140	9-Feb-09	1,130	27.4527	27.4527	26.0668	24.4104	24.8254	25.0580	9.56%	14.38%	28.4980
9	Liquid Fund	ULIF00920/01/11LIQUID FUND140	20-Jan-11	38	14.5850	14.5850	14.3893	14.1866	13.9815	13.7690	5.93%	6.84%	14.5850
10	Discontinued Policy Fund	ULIF01024/02/11DISCO NFUND140	24-Feb-11	2,902	15.3273	15.3273	15.0790	14.8290	14.5738	14.3102	7.11%	7.81%	15.3273
		Total		22,410									

CERTIFICATION

Certified that the performance of all segregated funds have been placed and reviewed by the Board. All information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date : 17th October 2016

Note:

1. * NAV should reflect the published NAV on the reporting date.

Signature: _____

Full name: **Alok Mehrotra**Designation: **Chief Financial Officer**

PERIODIC DISCLOSURES

FORM L-29 : Detail regarding debt securities

Insurer: DHFL Pramerica Life Insurance Company Limited

Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

Periodicity of Submission : Quarterly

Date: 30-Sep-16

(Rs in Lakhs)

Detail Regarding debt securities								
Particulars	MARKET VALUE				Book Value			
	As At 30th Sep'16	% Total	As At 30th Sep'16	% Total	As At 30th Sep'15	% Total	As At 30th Sep'15	% Total
Break down by credit rating								
AAA rated	47103.82	33%	36,139.75	33%	44345.87	33%	34,855.26	33%
AA or better	10280.03	7%	5,355.75	5%	9837.23	7%	5,159.47	5%
Rated below AA but above A	0.00	0%	304.85	0%	0.00	0%	300.00	0%
Rated below A but above B	0.00	0%	0.00	0%	0.00	0%	0.00	0%
Any other-Sovereign Securities	86958.79	60%	67,565.15	62%	81386.92	60%	65,479.74	62%
	144342.64	100%	109,365.49	100%	135570.01	100%	105,794.46	100%
BREAKDOWN BY RESIDUAL MATURITY								
Up to 1 year	978.23	0.68%	880.49	1%	969.09	0.71%	869.73	1%
more than 1 year and upto 3 years	4815.48	3.34%	3,247.12	3%	4,699.15	3.47%	3,214.47	3%
More than 3 years and up to 7 years	24599.95	17.04%	21,105.64	19%	23,219.17	17.13%	20,500.32	19%
More than 7 years and up to 10 years	44729.10	30.99%	28,848.67	26%	42,903.03	31.65%	27,971.76	26%
More than 10 years and up to 15 years	32492.05	22.51%	19,670.71	18%	30,520.82	22.51%	19,145.29	18%
More than 15 years and up to 20 years	7784.94	5.39%	15,427.90	14%	7,190.39	5.30%	14,774.62	14%
Above 20 years	28942.88	20.05%	20,184.97	18%	26,068.37	19.23%	19,318.28	18%
	144,342.64	100.00%	109,365.49	100%	135,570.01	100.00%	105,794.46	100%
Breakdown by type of the issuer								
a. Central Government	83,595.91	58%	63,565.57	58%	78,181.68	58%	61,520.07	58%
b. State Government	3,362.88	2%	3,999.58	4%	3,205.24	2%	3,959.67	4%
c. Corporate Securities	57,383.85	40%	41,800.35	38%	54,183.09	40%	40,314.73	38%
	144,342.64	100%	109,365.49	100%	135,570.01	100%	105,794.46	100%

Note

1. In case of a debt instrument is rated by more than one agency, then the lowest rating will be taken for the purpose of classification.
2. The detail of ULIP and Non-ULIP will be given separately.
3. Market value of the securities will be in accordance with the valuation method specified by the Authority under Accounting/ Investment regulations.

PERIODIC DISCLOSURES

FORM L-29 : Detail regarding debt securities

Insurer: DHFL Pramerica Life Insurance Company Limited

Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

Date: 30-Sep-16

Periodicity of Submission : Quarterly

(Rs in Lakhs)

Detail Regarding debt securities								
Particulars	MARKET VALUE				Book Value			
	As At 30th Sep'16	% Total	As At 30th Sep'16	% Total	As At 30th Sep'15	% Total	As At 30th Sep'15	% Total
Break down by credit rating								
AAA rated	24511.13	38%	14,245.43	35%	23175.18	38%	13,766.71	35%
AA or better	5654.43	9%	4,132.94	10%	5336.19	9%	3,970.00	10%
Rated below AA but above A	0.00	0%	0.00	0%	0.00	0%	0.00	0%
Rated below A but above B	0.00	0%	0.00	0%	0.00	0%	0.00	0%
Any other-Sovereign Securities	34649.95	53%	22,154.85	55%	33243.90	54%	21,504.36	55%
	64815.50	100%	40,533.22	100%	61755.28	100%	39,241.07	100%

BREAKDOWN BY RESIDUALMATURITY

Up to 1 year	0.00	0.00%	0.00	0%	0.00	0.00%	0.00	0%
more than 1 year and upto 3 years	1756.41	2.71%	0.00	0%	1,670.00	2.70%	0.00	0%
More than 3 years and up to 7 years	9539.24	14.72%	12,102.88	30%	9,020.88	14.61%	11,698.07	30%
More than 7 years and up to 10 years	23332.44	36.00%	11,066.78	27%	22,246.76	36.02%	10,584.90	27%
More than 10 years and up to 15 years	12454.52	19.22%	7,202.80	18%	11,914.42	19.29%	7,007.22	18%
More than 15 years and up to 20 years	7132.48	11.00%	5,033.38	12%	6,841.80	11.08%	4,927.02	13%
Above 20 years	10600.41	16.35%	5,127.37	13%	10,061.42	16.29%	5,023.86	13%
	64,815.50	100.00%	40,533.22	100%	61,755.28	100.00%	39,241.07	100%

Breakdown by type of the issuer

a. Central Government	34,081.30	53%	21,603.26	53%	32,742.50	53%	21,002.76	54%
b. State Government	568.65	1%	551.59	1%	501.41	1%	501.60	1%
c. Corporate Securities	30,165.56	47%	18,378.37	45%	28,511.38	46%	17,736.71	45%
	64,815.50	100%	40,533.22	100%	61,755.28	100%	39,241.07	100%

Note

1. In case of a debt instrument is rated by more than one agency, then the lowest rating will be taken for the purpose of classification.
2. The detail of ULIP and Non-ULIP will be given separately.
3. Market value of the securities will be in accordance with the valuation method specified by the Authority under Accounting/ Investment regulations.

PERIODIC DISCLOSURES

FORM L-29 : Detail regarding debt securities

Insurer: DHFL Pramerica Life Insurance Company Limited

Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

Periodicity of Submission : Quarterly

Date: 30-Sep-16

(Rs in Lakhs)

Detail Regarding debt securities								
Particulars	MARKET VALUE				Book Value			
	As At 30th Sep'16	% Total	As At 30th Sep'16	% Total	As At 30th Sep'15	% Total	As At 30th Sep'15	% Total
Break down by credit rating								
AAA rated	2521.55	27%	2,913.68	45%	2387.40	26%	2,809.65	45%
AA or better	1071.75	11%	933.90	14%	984.35	11%	839.05	13%
Rated below AA but above A	0.00	0%	203.23	3%	0.00	0%	200.45	3%
Rated below A but above B	0.00	0%	0.00	0%	0.00	0%	0.00	0%
Any other-Sovereign Securities	5771.79	62%	2,402.78	37%	5651.29	63%	2,367.34	38%
	9365.09	100%	6,453.60	100%	9023.03	100%	6,216.49	100%

BREAKDOWN BY RESIDUALMATURITY

Up to 1 year	3252.29	34.73%	225.07	3%	3,250.14	36.02%	221.28	4%
more than 1 year and upto 3 years	826.86	8.83%	707.00	11%	799.59	8.86%	659.76	11%
More than 3 years and up to 7 years	2189.91	23.38%	3,455.27	54%	2,013.81	22.32%	3,309.10	53%
More than 7 years and up to 10 years	1143.02	12.21%	1,017.00	16%	1,091.11	12.09%	997.96	16%
More than 10 years and up to 15 years	1396.21	14.91%	630.22	10%	1,334.45	14.79%	607.84	10%
More than 15 years and up to 20 years	411.42	4.39%	419.04	6%	397.32	4.40%	420.55	7%
Above 20 years	145.39	1.55%	0.00	0%	136.61	1.51%	0.00	0%
	9,365.09	100.00%	6,453.60	100%	9,023.03	100.00%	6,216.49	100%

Breakdown by type of the issuer

a. Central Government	5,751.34	61%	2,382.39	37%	5,631.22	62%	2,347.28	38%
b. State Government	20.45	0%	20.39	0%	20.06	0%	20.06	0%
c. Corporate Securities	3,593.30	38%	4,050.81	63%	3,371.75	37%	3,849.15	62%
	9,365.09	100%	6,453.60	100%	9,023.03	100%	6,216.49	100%

Note

1. In case of a debt instrument is rated by more than one agency, then the lowest rating will be taken for the purpose of classification.
2. The detail of ULIP and Non-ULIP will be given separately.
3. Market value of the securities will be in accordance with the valuation method specified by the Authority under Accounting/ Investment regulations.

FORM L-30 : Related Party Transactions

Insurer: DHFL Pramerica Life Insurance Company Limited
Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

Date: 30-Sep-16

(Rs in Lakhs)

S.No.	Name of the Related Party	Nature of Relationship with the Company	Description of Transactions / Categories	Consideration paid / received*			
				For the quarter ended Sept 30, 2016	Up to the Quarter ended Sept 30, 2016	For the quarter ended Sept 30, 2015	Up to the Quarter ended Sept 30, 2015
1	Prudential International Insurance Service Co. LLC	Associated Enterprise	Recovery of Expenses	2	33	11	18
2	Prudential International Insurance Service Co. LLC	Associated Enterprise	Reimbursement of Expenses	21	29	3	16
3	Key Management Personnel	Key Management Personnel	Receiving of services	361	739	110	220
4	Prudential International Insurance Holdings Ltd.	Shareholders with Significant influence	Receipt of Deposit u/s 160 of Companies Act, 2013	-	-	-	1
5	Dewan Housing Finance Corporation Limited	Shareholders with Significant influence	Receipt of Deposit u/s 160 of Companies Act, 2013	-	-	-	2
6	Dewan Housing Finance Corporation Limited	Shareholders with Significant influence	Commission Expense	143	222	166	339
7	DHFL Vysya Housing Finance Ltd	Shareholders with Significant influence	Commission Expense	6	10	4	10
8	Dewan Housing Finance Corporation Limited	Shareholders with Significant influence	Premium Income	9,300	16,946	8,908	19,872
9	Aadhar Housing Finance Ltd	Shareholders with Significant influence	Premium Income	1,681	2,674	845	1,655
10	Avanse Financial Services Limited	Shareholders with Significant influence	Premium Income	372	464	217	277
11	DHFL Vysya Housing Finance Ltd	Shareholders with Significant influence	Premium Income	327	578	14	32
12	Dewan Housing Finance Corporation Limited	Shareholders with Significant influence	Trade mark license	438	875	875	1,750
13	Key Management Personnel	Key Management Personnel	Premium Income	0	1	-	-
14	DHFL Pramerica Assets Managers Private Limited	Shareholders with Significant influence	Purchase of Mutual fund	3,120	4,490	-	-
15	DHFL Pramerica Assets Managers Private Limited	Shareholders with Significant influence	Sale of Mutual fund	3,122	4,492	-	-
16	DHFL Pramerica Assets Managers Private Limited	Shareholders with Significant influence	Profit and Loss on mutual fund	2	2	-	-
17	Dewan Housing Finance Corporation Limited	Shareholders with Significant influence	Interest	82	89	-	-
18	Dewan Housing Finance Corporation Limited	Shareholders with Significant influence	Unallocated Premium	291	1,356	-	-
19	Aadhar Housing Finance Ltd	Shareholders with Significant influence	Unallocated Premium	27	312	-	-
20	Arthveda Fund Manangement Private Limited	Shareholders with Significant influence	Unallocated Premium	(0)	2	0	0
21	Avanse Financial Services Limited	Shareholders with Significant influence	Unallocated Premium	3	9	-	-
22	Dewan Housing Finance Corporation Limited	Shareholders with Significant influence	Refund of Deposit U/s 160 of Companies Act,2013	-	-	2	2
23	DHFL Sales & Services Pvt. Ltd	Shareholders with Significant influence	Premium Income	-	-	1	2
24	DHFL Property Services Ltd	Shareholders with Significant influence	Premium Income	-	-	-	0
25	DHFL Vysya Housing Finance Ltd	Shareholders with Significant influence	Unallocated Premium	48	96	-	-
26	Dewan Housing Finance Corporation Limited	Shareholders with Significant influence	Purchase of Corporate Bonds	6,088	6,088	-	-
27	Dewan Housing Finance Corporation Limited	Shareholders with Significant influence	Maturity of Corporate Bonds	250	250	-	-
28	Arthveda Fund Manangement Private Limited	Shareholders with Significant influence	Premium Income	1	1	-	-
29	DHFL Pramerica Assets Managers Private Limited	Shareholders with Significant influence	Premium Income	1	1	-	-
30	DHFL Pramerica Assets Managers Private Limited	Shareholders with Significant influence	Unallocated Premium	1	1	-	-
31	Key Management Personnel	Shareholders with Significant influence	Application Money	49	49	-	-

FORM L-31 : LNL - 6 : Board of Directors & Key Person

Insurer: DHFL Pramerica Life Insurance Company Limited

Date: 30-Sep-2016

Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

BOD and Key Person information			
S. No.	Name of person	Role/designation	Details of change in the period
1	Mr. Kapil Wadhawan	Non-Executive Director (Chairman)	
2	Mr. Anoop Kumar Pabby	Managing Director & Chief Executive Officer	
3	Mr. Anil Baijal	Non-Executive Independent Director	
4	Ms. Candace Jo Woods	Non-Executive Director	
5	Mr. Harshil Rajnikant Mehta	Non-Executive Director	
6	Mr. Jan van den Berg	Non-Executive Director	
7	Mr. Kent Douglas Sluyter	Non-Executive Director	
8	Mr. Nitin Gupta	Non-Executive Independent Director	
9	Mr. Sunjoy Joshi	Non-Executive Independent Director	
10	Mr. Suresh Mahalingam	Non-Executive Director	
11	Mr. Srinath Sridharan	Non-Executive Director	
12	Mr. Varun Gupta	Appointed Actuary	
13	Mr. Alok Mehrotra	Chief Financial Officer	
14	Mr. Amit Chand Patra	Chief Investment Officer	
15	Ms. Sonali Athalye	Chief Compliance Officer	
16	Mr. Umesh Rao	Chief Marketing Officer	
17	Mr. K. Sridharan	Head - Internal Audit	
18	Mr. Milind Ravindranath Kari	Head - Risk	
19	Mr. Rajesh Kumar Sood	Chief Distribution Officer	
20	Mr. Vishal Chopra	Head-Operations	
21	Mr. Mayank Goel	Company Secretary	

FORM L-32 : AVAILABLE SOLVENCY MARGIN AND SOLVENCY RATIO.

Insurer: DHFL Pramerica Life Insurance Company Limited

Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

Form Code: Table III

Classification: Total Business

Classification Code:'1 / 2

Item	Description	Notes No... [Amount (in '000)]	Adjusted Value [Amount (in '000.)]
(1)	(2)	(3)	(4)
01	Available Assets in Policyholders' Fund:		13,655,994
	Deduct:		
02	Mathematical Reserves		13,566,125
03	Other Liabilities		
04	Excess in Policyholders' funds (01-02-03)		89,869
05	Available Assets in Shareholders Fund:		7,030,723
	Deduct:		
06	Other Liabilities of shareholders' fund		-
07	Excess in Shareholders' funds (05-06)		7,030,723
08	Total ASM (04)+(07)		7,120,592
09	Total RSM	Note 1	700,236
10	Solvency Ratio (ASM/RSM)		1017%

Certification:

I, Varun Gupta, the Appointed Actuary, certify that the above statements have been prepared in accordance with the section 64VA of the Insurance Act, 1938, and the amounts mentioned therein are true and fair to the best of my knowledge.

Place: Gurgaon

Date: 29-Jul-16

Notes

- Item No. 01 shall be the amount of the Adjusted Value of Assets as mentioned in Form IRDA-Assets- AA as specified under Schedule I of Insurance Regulatory and Development Authority (Assets, Liabilities, and Solvency Margin of Insurers) Regulations, 2000;
- Item No. 02 shall be the amount of Mathematical Reserves as mentioned in Form H;
- Item Nos. 03 and 06 shall be the amount of other liabilities as mentioned in the Balance Sheet;
- Items No. 05 shall be the amount of the Total Assets as mentioned in Form IRDA-Assets- AA as specified under Schedule I of Insurance Regulatory and Development Authority (Assets, Liabilities, and Solvency Margin of Insurers) Regulations. 2000.

FORM L-33-NPAs

Insurer: DHFL Pramerica Life Insurance Company Limited

Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

Statement as on :30th September 2016

Periodicity of Submission : Quarterly

Name of the Fund Life Fund

(Rs in Lakhs)

S.No.	PARTICULARS	Bonds / Debentures		Loans		Other Debt instruments		TOTAL	
		YTD (As at 30 Sep 16)	Prev. FY (As at 31 Mar 2016)	YTD (As at 30 Sep 16)	Prev. FY (As at 31 Mar 2016)	YTD (As at 30 Sep 16)	Prev. FY (As at 31 Mar 2016)	YTD (As at 30 Sep 16)	Prev. FY (As at 31 Mar 2016)
1	Investment Asset (As per Form3A/3B - Total Fund)	54,183	45,923	-	-	265	365	141,688	127,960
2	Gross NPA	-	-	-	-	-	-	-	-
3	% of Gross NPA on Investment Assets(2/1)	-	-	-	-	-	-	-	-
4	Provision made on NPA	-	-	-	-	-	-	-	-
5	Provision as a % of NPA(4/2)	-	-	-	-	-	-	-	-
6	Provision on standard assets	-	-	-	-	-	-	-	-
7	Net Investment Assets(1 - 4)	54,183	45,923	-	-	265	365	141,688	127,960
8	Net NPA (2 - 4)	-	-	-	-	-	-	-	-
9	% of Net NPA to Net Investments Assets(8/7)	-	-	-	-	-	-	-	-
10	Write off made during the Period	-	-	-	-	-	-	-	-

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time.

Date : 17th October 2016

Signature: _____

Full name: Alok Mehrotra

Designation: Chief Financial Officer

Note:

- 1.The above statement,in the case of "life" insurers shall be prepared "fund-wise" Viz. life-Fund, pension & General Annuity and Group business and ULIP Fund.
- 2.Investment Assets should reconcile with figures shown in schedule 8, 8A, 8B & 9 of the Balance Sheet
- 3.Gross NPA is investments classified as NPA, before any provisions
- 4.Provision made on the "Standard Assets" Shall be as per Circular: 32/2/F& A/Circulars/169/Jan/2006-07 as amended from time to time.
- 5.Net Investment assets is net of "provisions"
- 6.Net NPA is gross NPAs less provisions
- 7.Write off as approved by the Board

FORM L-33-NPAs

Insurer: DHFL Pramerica Life Insurance Company Limited

Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

Statement as on :30th September 2016

Periodicity of Submission : Quarterly

Name of the Fund Pension & General Annuity And Group Fund

(Rs in Lakhs)

S.No.	PARTICULARS	Bonds / Debentures		Loans		Other Debt instruments		TOTAL	
		YTD (As at 30 Sep 16)	Prev. FY (As at 31 Mar 2016)	YTD (As at 30 Sep 16)	Prev. FY (As at 31 Mar 2016)	YTD (As at 30 Sep 16)	Prev. FY (As at 31 Mar 2016)	YTD (As at 30 Sep 16)	Prev. FY (As at 31 Mar 2016)
1	Investment Asset (As per Form3A/3B - Total Fund)	28,511	25,677	-	-	-	-	61,755	52,204
2	Gross NPA	-	-	-	-	-	-	-	-
3	% of Gross NPA on Investment Assets(2/1)	-	-	-	-	-	-	-	-
4	Provision made on NPA	-	-	-	-	-	-	-	-
5	Provision as a % of NPA(4/2)	-	-	-	-	-	-	-	-
6	Provision on standard assets	-	-	-	-	-	-	-	-
7	Net Investment Assets(1 - 4)	28,511	25,677	-	-	-	-	61,755	52,204
8	Net NPA (2 - 4)	-	-	-	-	-	-	-	-
9	% of Net NPA to Net Investments Assets(8/7)	-	-	-	-	-	-	-	-
10	Write off made during the Period	-	-	-	-	-	-	-	-

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time.

Date : 17th October 2016

Signature: _____

Full name: Alok Mehrotra

Designation: Chief Financial Officer

Note:

- The above statement, in the case of "life" insurers shall be prepared "fund-wise" viz. life-Fund, pension & General Annuity and Group business and ULIP Fund.
- Investment Assets should reconcile with figures shown in schedule 8, 8A, 8B & 9 of the Balance Sheet
- Gross NPA is investments classified as NPA, before any provisions
- Provision made on the "Standard Assets" shall be as per Circular: 32/2/F& A/Circulars/169/Jan/2006-07 as amended from time to time.
- Net Investment assets is net of "provisions"
- Net NPA is gross NPAs less provisions
- Write off as approved by the Board

FORM L-33-NPAs

Insurer: DHFL Pramerica Life Insurance Company Limited

Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

Statement as on :30th June 2016

Periodicity of Submission : Quarterly

Name of the Fund Unit Linked Funds

(Rs in Lakhs)

S.No.	PARTICULARS	Bonds / Debentures		Loans		Other Debt instruments		TOTAL	
		YTD (As at 30 Sep 16)	Prev. FY (As at 31 Mar 2016)	YTD (As at 30 Sep 16)	Prev. FY (As at 31 Mar 2016)	YTD (As at 30 Sep 16)	Prev. FY (As at 31 Mar 2016)	YTD (As at 30 Sep 16)	Prev. FY (As at 31 Mar 2016)
1	Investment Asset (As per Form3A/3B - Total Fund)	3,593	3,691	-	-	362	811	22,410	22,318
2	Gross NPA	-	-	-	-	-	-	-	-
3	% of Gross NPA on Investment Assets(2/1)	-	-	-	-	-	-	-	-
4	Provision made on NPA	-	-	-	-	-	-	-	-
5	Provision as a % of NPA(4/2)	-	-	-	-	-	-	-	-
6	Provision on standard assets	-	-	-	-	-	-	-	-
7	Net Investment Assets(1 - 4)	3,593	3,691	-	-	362	811	22,410	22,318
8	Net NPA (2 - 4)	-	-	-	-	-	-	-	-
9	% of Net NPA to Net Investments Assets(8/7)	-	-	-	-	-	-	-	-
10	Write off made during the Period	-	-	-	-	-	-	-	-

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time.

Date : 17th October 2016

Signature: _____

Full name: Alok Mehrotra

Designation Chief Financial Officer

Note:

- 1.The above statement,in the case of"life" insurers shall be prepared"fund-wise"Viz. life-Fund, pension & General Annuity and Group business and ULIP Fund.
- 2.Investment Assets should reconcile with figures shown in schedule 8, 8A, 8B & 9 of the Balance Sheet
- 3.Gross NPA is investments classified as NPA, before any provisions
- 4.Provision made on the "Standard Assets" Shall be as per Circular: 32/2/F& A/Circulars/169/Jan/2006-07 as amended from time to time.
- 5.Net Investment assets is net of "provisions"
- 6.Net NPA is gross NPAs less provisions
- 7.Write off as approved by the Board

FORM L-34-YIELD ON INVESTMENTS

Insurer: DHFL Pramerica Life Insurance Company Limited
 Registration No. 140; Date of Registration with the IRDAI: June 27, 2008
 Statement as on :30th September 2016
 Periodicity of Submission: Quarterly

Name of the Fund Life Fund

(Rs in Lakhs)

No.	Category of Investment	Category Code	Current Quarter				Year to Date				Previous Year			
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (₹) ³	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²
A	Central Government Securities													
	Central Government Bonds	CGSB	74,692.85	2,240.73	3.0%	3.0%	72,217.91	3,801.56	5.3%	5.3%	58,739.66	2,482.17	4.2%	4.2%
	Central Government Guaranteed Loans	CGSL	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Special Deposits	CSPD	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	0.0%	0.0%	-	-	0.0%	0.0%	525.27	5.70	1.1%	1.1%
	Treasury Bills	CTRB	-	-	0.0%	0.0%	49.66	0.68	1.4%	1.4%	529.31	20.82	3.9%	3.9%
B	State Government / Other Approved Securities / Other Guaranteed Securities													
	State Government Bonds	SGGB	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	State Government Guaranteed Loans	SGGL	3,539.82	123.52	3.5%	3.5%	4,253.88	250.65	5.9%	5.9%	2,105.68	149.28	7.1%	7.1%
	Other Approved Securities (excluding Infrastructure / Social Sector Investments)	SGOA	516.17	9.51	1.8%	1.8%	516.38	19.02	3.7%	3.7%	518.08	19.02	3.7%	3.7%
	Guaranteed Equity	SGGE	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
C	Housing Sector Investments													
	Debentures / Bonds / CPs / Loans - (Promoter Group)	HDPG	1,957.89	29.32	1.5%	1.5%	880.42	33.97	3.9%	3.9%	170.00	9.36	5.5%	5.5%
	Loans to State Government for Housing	HLSH	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Loans to State Government for Fire Fighting Equipments	HLSF	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Term Loan - HUDCO	HTLH	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Term Loan to institutions accredited by NHB	HTLN	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	TAXABLE BONDS OF													
	Bonds / Debentures issued by HUDCO	HTHD	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Bonds / Debentures issued by NHB	HTDN	3,713.24	103.10	2.8%	2.8%	3,678.75	201.61	5.5%	5.5%	2,756.86	128.15	4.6%	4.6%
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	0.0%	0.0%	-	-	0.0%	0.0%	1,186.75	57.33	4.8%	4.8%
	TAX FREE BONDS													
	Bonds / Debentures issued by HUDCO	HFHD	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Bonds / Debentures issued by NHB	HFDN	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HFDA	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
D	Infrastructure / Social Sector Investments													
	Infrastructure/ Social Sector - Other Approved Securities	ISAS	100.00	2.19	2.2%	2.2%	100.00	4.34	4.3%	4.3%	100.00	4.35	4.3%	4.3%
	TAXABLE BONDS OF													
	Infrastructure / Social Sector - PSU - Debentures / Bonds	IPTD	33,004.55	869.09	2.6%	2.6%	32,585.36	1,584.34	4.9%	4.9%	25,336.87	1,154.42	4.6%	4.6%
	Infrastructure/ Social Sector - Other Corporate Securities (Approved investments) - Debentures/ Bonds	ICTD	2,165.43	49.78	2.3%	2.3%	2,287.05	104.77	4.6%	4.6%	3,300.71	150.44	4.6%	4.6%
	Infrastructure - PSU - Equity shares - Quoted	ITPE	49.83	0.23	0.5%	0.5%	46.02	1.02	2.2%	2.2%	39.91	2.39	6.0%	6.0%
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	233.77	4.08	1.7%	1.7%	222.82	4.08	1.8%	1.8%	236.26	1.55	0.7%	0.7%
	Infrastructure / Social Sector - Term Loans (with Charge)	ILWC	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	TAX FREE BONDS													
	Infrastructure / Social Sector - PSU - Debentures / Bonds	IPFD	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Infrastructure - Equity (including unlisted)	IOEQ	6.96	0.06	0.8%	0.8%	7.04	(5.75)	-81.7%	-81.7%	33.32	0.38	1.1%	1.1%
	Long Term Bank Bonds Approved Investment-Infrastructure	ILBI	1,048.91	33.71	3.2%	3.2%	1,024.59	55.39	5.4%	5.4%	1,000.00	43.72	4.4%	4.4%
E	Approved Investments													
	ACTIVELY TRADED													
	PSU - (Approved investment) - Equity shares - quoted	EAEQ	384.66	0.48	0.1%	0.1%	347.07	(1.85)	-0.5%	-0.5%	436.14	3.69	0.8%	0.8%
	Corporate Securities (Approved investment) - Equity shares (Ordinary)-quoted	EACE	1,659.89	66.33	4.0%	4.0%	1,581.02	85.16	5.4%	5.4%	1,724.32	87.39	5.1%	5.1%
	THINLY TRADED/ UNQUOTE													
	PSU - (Approved investment) - Equity shares - quoted	ETPE	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Corporate Securities (Approved investment) - Equity shares-quoted	ETCE	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Corporate Securities (Approved Investment) - Equity - Unquoted	EENQ	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Corporate Securities - Bonds - (Taxable)	EPBT	-	-	0.0%	0.0%	400.00	9.27	2.3%	2.3%	1,400.00	65.18	4.7%	4.7%

FORM L-34-YIELD ON INVESTMENTS

Insurer: DHFL Pramerica Life Insurance Company Limited
 Registration No. 140; Date of Registration with the IRDAI: June 27, 2008
 Statement as on :30th September 2016
 Periodicity of Submission: Quarterly

Name of the Fund Life Fund

(Rs in Lakhs)

No.	Category of Investment	Category Code	Current Quarter				Year to Date				Previous Year			
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (₹) ³	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²
	Corporate Securities - Bonds - (Tax Free)	EPBF	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Corporate Securities (Approved Investment) - Preference Shares	EPNQ	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Corporate Securities (Approved investment) - Investment in Subsidiaries	ECIS	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Corporate Securities (Approved investment) - Debentures	ECOS	9,158.65	257.09	2.8%	2.8%	8,886.31	453.00	5.1%	5.1%	4,325.66	205.70	4.8%	4.8%
	Corporate Securities (Approved Investment) - Derivative Instruments	ECDI	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Investment properties - Immovable	EINP	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Loans - Policy Loans	ELPL	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Loans - Secured Loans - Mortgage of Property in India (Term Loan)	ELMI	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Loans - Secured Loans - Mortgage of Property outside India (Term Loan)	ELMO	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Deposits - Deposit with scheduled banks	ECDB	265.00	7.91	3.0%	3.0%	270.46	15.80	5.8%	5.8%	737.68	35.68	4.8%	4.8%
	Deposits - Money at call and short notice with banks /Repo	ECMR	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	CCIL (Approved Investment) - CBLO	ECBO	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Bills Re-Discounting	ECBR	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Commercial Papers issued by All India Financial Institutions rated Very Strong or more	ECCP	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Application Money	ECAM	3,179.28	26.33	0.8%	0.8%	2,674.02	26.79	1.0%	1.0%	199.88	-	0.0%	0.0%
	Deposit with Primary Dealers duly recognised by Reserve Bank of India	EDPD	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks	EUPD	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Perpetual Debt Instruments of Tier I & II Capital issued by Non-PSU Banks	EPPD	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Perpetual Non-Cum. P. Shares & Redeemable Cumulative P. Shares of Tier I & II Capital issued by PSU Banks	EUPS	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Perpetual Non-Cum. P. Shares & Redeemable Cumulative P. Shares of Tier I & II Capital issued by Non-PSU Banks	EPPS	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	MF - Gilt / G Sec / Liquid Schemes	EGMF	1,180.50	20.61	1.7%	1.7%	1,490.02	61.29	4.1%	4.1%	1,132.68	47.49	4.2%	4.2%
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	842.46	1.85	0.2%	0.2%	803.84	2.42	0.3%	0.3%	-	-	0.0%	0.0%
F	Other Investments													
	Bonds - PSU - Taxable	OBPT	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Bonds - PSU - Tax Free	OBPF	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Equity Shares (incl Co-op Societies)	OESH	26.22	9.19	35.1%	35.1%	38.75	28.96	74.7%	74.7%	59.49	3.14	5.3%	5.3%
	Equity Shares (PSUs & Unlisted)	OEPU	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Debentures	OLDB	300.00	15.40	5.1%	5.1%	300.00	22.54	7.5%	7.5%	300.00	4.62	1.5%	1.5%
	Debentures / Bonds/ CPs / Loans etc. - (Promoter Group)	ODPG	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Commercial Papers	OACP	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Preference Shares	OPSH	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Venture Fund	OVNF	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Short term Loans (Unsecured Deposits)	OSLU	298.35	29.65	0.0%	0.0%	298.35	48.11	0.0%	0.0%	257.82	(16.17)	-6.3%	-6.3%
	Term Loans (without Charge)	OTLW	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secedes	OMGS	1,008.08	13.38	1.3%	1.3%	1,080.39	29.27	2.7%	2.7%	764.64	23.45	3.1%	3.1%
	Derivative Instruments	OCDI	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Securitized Assets	OPSA	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Investment properties - Immovable	OIPI	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	TOTAL		135,741.70	3,913.53	2.88%	2.88%	132,487.56	6,836.44	5.16%	5.16%	107,917.00	4,689.26	4.35%	4.35%

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date : 17th October 2016

Signature: _____
 Full name: Alok Mehrotra
 Designation: Chief Financial Officer

Note: Category of Investment (COI) shall be as per Guidelines

- 1 Based on daily average of Investments
- 2 Yield netted for Tax
- 3 Previous year reported numbers have been shown
- 4 FORM-1 shall be prepared in respect of each fund.

FORM L-34-YIELD ON INVESTMENTS

Insurer: DHFL Pramerica Life Insurance Company Limited
 Registration No. 140; Date of Registration with the IRDAI: June 27, 2008
 Statement as on :30th September 2016
 Periodicity of Submission: Quarterly

Name of the Fund Pension & General Annuity And Group Fund

(Rs in Lakhs)

No.	Category of Investment	Category Code	Current Quarter				Year to Date				Previous Year			
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²
A	Central Government Securities													
	Central Government Bonds	CGSB	29,104.28	1,491.46	5.1%	5.1%	27,847.99	2,038.98	7.3%	7.3%	16,279.95	695.49	4.3%	4.3%
	Central Government Guaranteed Loans	CGSL	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Special Deposits	CSPD	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Treasury Bills	CTRB	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
B	State Government / Other Approved Securities / Other Guaranteed Securities													
	State Government Bonds	SGGB	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	State Government Guaranteed Loans	SGGL	501.43	12.14	2.4%	2.4%	501.45	24.28	4.8%	4.8%	501.64	24.28	4.8%	4.8%
	Other Approved Securities (excluding Infrastructure / Social Sector Investments)	SGOA	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Guaranteed Equity	SGGE	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
C	Housing Sector Investments													
	Debentures / Bonds / CPs / Loans - (Promoter Group)	HDPG	1,094.04	12.51	1.1%	1.1%	1,094.04	12.51	1.1%	1.1%	-	-	0.0%	0.0%
	Loans to State Government for Housing	HLSH	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Loans to State Government for Fire Fighting Equipments	HLSF	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Term Loan - HUDCO	HTLH	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Term Loan to institutions accredited by NHB	HTLN	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	TAXABLE BONDS OF													
	Bonds / Debentures issued by HUDCO	HTHD	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Bonds / Debentures issued by NHB	HTDN	4,235.48	105.28	2.5%	2.5%	4,346.68	210.94	4.9%	4.9%	3,072.46	144.00	4.7%	4.7%
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	0.0%	0.0%	-	-	0.0%	0.0%	648.41	29.66	4.6%	4.6%
	TAX FREE BONDS													
	Bonds / Debentures issued by HUDCO	HFHD	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Bonds / Debentures issued by NHB	HFDN	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HFDA	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
D	Infrastructure / Social Sector Investments													
	Infrastructure/ Social Sector - Other Approved Securities	ISAS	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	TAXABLE BONDS OF													
	Infrastructure / Social Sector - PSU - Debentures / Bonds	IPTD	19,293.53	471.46	2.4%	2.4%	18,961.38	889.57	4.7%	4.7%	9,184.55	408.84	4.5%	4.5%
	Infrastructure/ Social Sector - Other Corporate Securities (Approved investments) - Debentures/ Bonds	ICTD	1,000.00	22.37	2.2%	2.2%	1,000.00	44.50	4.5%	4.5%	527.32	23.76	4.5%	4.5%
	Long Term Bank Bonds Approved Investment-Infrastructure	ILBI	500.00	11.57	2.3%	2.3%	500.00	11.57	2.3%	2.3%	-	-	0.0%	0.0%
	Infrastructure / Social Sector - Term Loans (with Charge)	ILWC	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	TAX FREE BONDS													
	Infrastructure / Social Sector - PSU - Debentures / Bonds	IPFD	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Infrastructure/ Social Sector - Other Corporate Securities (Approved investments) - Debentures/ Bonds	ICFD	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
E	Approved Investments													
	ACTIVELY TRADED													
	PSU - (Approved investment) - Equity shares - quoted	EAEQ	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Corporate Securities (Approved investment) - Equity shares (Ordinary)-quoted	EACE	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	THINLY TRADED/ UNQUOTE													
	PSU - (Approved investment) - Equity shares - quoted	ETPE	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Corporate Securities (Approved investment) - Equity shares-quoted	ETCE	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Corporate Securities (Approved Investment) - Equity - Unquoted	EENQ	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Corporate Securities - Bonds - (Taxable)	EPBT	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%

FORM L-34-YIELD ON INVESTMENTS

Insurer: DHFL Pramerica Life Insurance Company Limited
 Registration No. 140; Date of Registration with the IRDAI: June 27, 2008
 Statement as on :30th September 2016
 Periodicity of Submission: Quarterly

Name of the Fund Pension & General Annuity And Group Fund

(Rs in Lakhs)

No.	Category of Investment	Category Code	Current Quarter				Year to Date				Previous Year			
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (₹) ³	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²
	Corporate Securities - Bonds - (Tax Free)	EPBF	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Corporate Securities (Approved Investment) - Preference Shares	EPNQ	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Corporate Securities (Approved investment) - Investment in Subsidiaries	ECIS	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Corporate Securities (Approved investment) - Debentures	ECOS	1,692.68	65.71	3.9%	3.9%	1,790.81	110.06	6.1%	6.1%	1,641.20	78.26	4.8%	4.8%
	Corporate Securities (Approved Investment) - Derivative Instruments	ECDI	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Investment properties - Immovable	EINP	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Loans - Policy Loans	ELPL	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Loans - Secured Loans - Mortgage of Property in India (Term Loan)	ELMI	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Loans - Secured Loans - Mortgage of Property outside India (Term Loan)	ELMO	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Deposits - Deposit with scheduled banks	ECDB	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Deposits - Money at call and short notice with banks /Repo	ECMR	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	CCIL (Approved Investment) - CBLO	ECBO	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Bills Re-Discounting	ECBR	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Commercial Papers issued by All India Financial Institutions rated Very Strong or more	ECCP	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Application Money	ECAM	2,591.46	11.35	0.4%	0.4%	2,591.46	11.35	0.4%	0.4%	-	-	0.0%	0.0%
	Deposit with Primary Dealers duly recognised by Reserve Bank of India	EDPD	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks	EUPD	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Perpetual Debt Instruments of Tier I & II Capital issued by Non-PSU Banks	EPPD	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Perpetual Non-Cum. P. Shares & Redeemable Cumulative P. Shares of Tier I & II Capital issued by PSU Banks	EUPS	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Perpetual Non-Cum. P. Shares & Redeemable Cumulative P. Shares of Tier I & II Capital issued by Non-PSU Banks	EPPS	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	MF - Gilt / G Sec / Liquid Schemes	EGMF	697.66	12.03	1.7%	1.7%	485.82	16.62	3.4%	3.4%	655.97	25.91	3.9%	3.9%
F	Other Investments													
	Bonds - PSU - Taxable	OBPT	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Bonds - PSU - Tax Free	OBPF	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Equity Shares (incl Co-op Societies)	OESH	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Equity Shares (PSUs & Unlisted)	OEPU	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Debentures	OLDB	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Debentures / Bonds/ CPs / Loans etc. - (Promoter Group)	ODPG	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Commercial Papers	OACP	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Preference Shares	OPSH	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Venture Fund	OVNF	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Short term Loans (Unsecured Deposits)	OSLU	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Term Loans (without Charge)	OTLW	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Derivative Instruments	OCDI	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Securitized Assets	OPSA	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Investment properties - Immovable	OIPI	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	TOTAL		57,748.23	2,215.89	3.84%	3.84%	55,536.27	3,370.39	6.07%	6.07%	32,511.52	1,430.20	4.40%	4.40%

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date : 17th October 2016

Signature: _____
 Full name: Alok Mehrotra
 Designation: Chief Financial Officer

Note Category of Investment (COI) shall be as per Guidelines

- 1 Based on daily average of Investments
- 2 Yield netted for Tax
- 3 Previous year reported numbers have been shown
- 4 FORM-1 shall be prepared in respect of each fund.

FORM L-34-YIELD ON INVESTMENTS

Insurer: DHFL Pramerica Life Insurance Company Limited
 Registration No. 140; Date of Registration with the IRDAI: June 27, 2008
 Statement as on :30th September 2016
 Periodicity of Submission: Quarterly

Name of the Fund Linked Funds

(Rs in Lakhs)

No.	Category of Investment	Category Code	Current Quarter				Year to Date				Previous Year			
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (₹) ³	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²
A	Central Government Securities													
	Central Government Bonds	CGSB	2,661.13	143.08	5.4%	5.4%	2,545.07	214.72	8.4%	8.4%	2,530.66	103.35	4.1%	4.1%
	Central Government Guaranteed Loans	CGSL	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Special Deposits	CSPD	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Treasury Bills	CTRB	2,411.95	41.50	1.7%	1.7%	2,506.48	87.01	3.5%	3.5%	3,080.74	120.71	3.9%	3.9%
B	State Government / Other Approved Securities / Other Guaranteed Securities													
	State Government Bonds	SGGB	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	State Government Guaranteed Loans	SGGL	20.43	0.49	2.4%	2.4%	20.41	0.91	4.5%	4.5%	22.93	1.19	5.2%	5.2%
	Other Approved Securities (excluding Infrastructure / Social Sector Investments)	SGOA	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Guaranteed Equity	SGGE	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
C	Housing Sector Investments													
	Debentures / Bonds / CPs / Loans - (Promoter Group)	HDPG	114.38	15.33	13.4%	13.4%	93.87	17.01	18.1%	18.1%	82.09	3.76	4.6%	4.6%
	Loans to State Government for Housing	HLSH	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Loans to State Government for Fire Fighting Equipments	HLSF	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Term Loan - HUDCO	HTLH	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Term Loan to institutions accredited by NHB	HTLN	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	TAXABLE BONDS OF													
	Bonds / Debentures issued by HUDCO	HTHD	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Bonds / Debentures issued by NHB	HTDN	495.95	14.54	2.9%	2.9%	491.87	25.31	5.1%	5.1%	323.66	14.55	4.5%	4.5%
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	0.0%	0.0%	-	-	0.0%	0.0%	142.81	6.27	4.4%	4.4%
	TAX FREE BONDS													
	Bonds / Debentures issued by HUDCO	HFHD	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Bonds / Debentures issued by NHB	HFDN	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HFDA	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
D	Infrastructure / Social Sector Investments													
	Infrastructure/ Social Sector - Other Approved Securities	ISAS	101.18	2.30	2.3%	2.3%	101.08	4.68	4.6%	4.6%	100.84	4.68	4.6%	4.6%
	TAXABLE BONDS OF													
	Infrastructure / Social Sector - PSU - Debentures / Bonds	IPTD	919.52	46.13	5.0%	5.0%	978.16	69.87	7.1%	7.1%	1,616.80	71.71	4.4%	4.4%
	Infrastructure/ Social Sector - Other Corporate Securities (Approved investments) - Debentures/ Bonds	ICTD	98.91	4.76	4.8%	4.8%	97.98	7.47	7.6%	7.6%	96.54	4.49	4.7%	4.7%
	Infrastructure / Social Sector - Term Loans (with Charge)	ILWC	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	TAX FREE BONDS													
	Infrastructure / Social Sector - PSU - Debentures / Bonds	IPFD	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Infrastructure/ Social Sector - Other Corporate Securities (Approved investments) - Debentures/ Bonds	ICFD	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Infrastructure - PSU - Equity shares - Quoted	ITPE	472.58	73.17	15.5%	15.5%	492.14	115.99	23.6%	23.6%	475.58	(74.50)	-15.7%	-15.7%
	Infrastructure - Equity (including unlisted)	IOEQ	94.36	29.34	31.1%	31.1%	92.39	21.32	23.1%	23.1%	239.82	(46.76)	-19.5%	-19.5%
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	1,071.62	15.03	1.4%	1.4%	1,048.66	117.49	11.2%	11.2%	1,218.00	(120.90)	-9.9%	-9.9%
E	Approved Investments													
	ACTIVELY TRADED													
	PSU - (Approved investment) - Equity shares - quoted	EAEQ	832.56	103.10	12.4%	12.4%	735.03	180.46	24.6%	24.6%	912.68	(82.35)	-9.0%	-9.0%
	Corporate Securities (Approved investment) - Equity shares (Ordinary)-quoted	EACE	10,052.90	496.74	4.9%	4.9%	10,034.67	1,157.95	11.5%	11.5%	10,436.96	(407.82)	-3.9%	-3.9%
	THINLY TRADED/ UNQUOTE													
	PSU - (Approved investment) - Equity shares - quoted	ETPE	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Corporate Securities (Approved investment) - Equity shares-quoted	ETCE	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Corporate Securities (Approved Investment) - Equity - Unquoted	EENQ	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Corporate Securities - Bonds - (Taxable)	EPBT	-	-	0.0%	0.0%	105.00	2.84	2.7%	2.7%	107.48	5.26	4.9%	4.9%

FORM L-34-YIELD ON INVESTMENTS

Insurer: DHFL Pramerica Life Insurance Company Limited
 Registration No. 140; Date of Registration with the IRDAI: June 27, 2008
 Statement as on :30th September 2016
 Periodicity of Submission: Quarterly

Name of the Fund Linked Funds

(Rs in Lakhs)

No.	Category of Investment	Category Code	Current Quarter				Year to Date				Previous Year			
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (₹) ³	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²
	Corporate Securities - Bonds - (Tax Free)	EPBF	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Corporate Securities (Approved Investment) - Preference Shares	EPNQ	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Corporate Securities (Approved investment) - Investment in Subsidiaries	ECIS	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Corporate Securities (Approved investment) - Debentures	ECOS	1,723.57	77.95	4.5%	4.5%	1,657.80	114.89	6.9%	6.9%	1,605.18	71.94	4.5%	4.5%
	Corporate Securities (Approved Investment) - Derivative Instruments	ECDI	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Investment properties - Immovable	EINP	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Loans - Policy Loans	ELPL	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Loans - Secured Loans - Mortgage of Property in India (Term Loan)	ELMI	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Loans - Secured Loans - Mortgage of Property outside India (Term Loan)	ELMO	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Deposits - Deposit with scheduled banks	ECDB	633.17	14.43	2.3%	2.3%	652.96	29.58	4.5%	4.5%	1,022.17	48.51	4.7%	4.7%
	Deposits - Money at call and short notice with banks /Repo	ECMR	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	CCIL (Approved Investment) - CBLO	ECBO	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Bills Re-Discounting	ECBR	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Commercial Papers issued by All India Financial Institutions rated Very Strong or more	ECCP	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Application Money	ECAM	175.97	1.24	0.7%	0.7%	145.27	1.24	0.9%	0.9%	88.53	-	0.0%	0.0%
	Deposit with Primary Dealers duly recognised by Reserve Bank of India	EDPD	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks	EUPD	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Perpetual Debt Instruments of Tier I & II Capital issued by Non-PSU Banks	EPPD	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Perpetual Non-Cum. P. Shares & Redeemable Cumulative P. Shares of Tier I & II Capital issued by PSU Banks	EUPS	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Perpetual Non-Cum. P. Shares & Redeemable Cumulative P. Shares of Tier I & II Capital issued by Non-PSU Banks	EPPS	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	MF - Gilt / G Sec / Liquid Schemes	EGMF	236.43	4.16	1.8%	1.8%	207.13	7.93	3.8%	3.8%	280.50	11.62	4.1%	4.1%
	Net Current Assets (Only in respect of ULIP Business)	ENCA	(18.55)	(29.65)	0.0%	0.0%	(18.55)	(48.11)	0.0%	0.0%	(7.11)	16.17	-227.4%	-227.4%
F	Other Investments													
	Bonds - PSU - Taxable	OBPT	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Bonds - PSU - Tax Free	OBPF	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Equity Shares (incl Co-op Societies)	OESH	186.03	(5.85)	-3.1%	-3.1%	137.19	17.18	12.5%	12.5%	39.43	(43.45)	-110.2%	-110.2%
	Equity Shares (PSUs & Unlisted)	OEPU	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Debentures	OLDB	205.59	7.34	3.6%	3.6%	204.09	12.48	6.1%	6.1%	201.58	1.69	0.8%	0.8%
	Debentures / Bonds/ CPs / Loans etc. - (Promoter Group)	ODPG	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Commercial Papers	OACP	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Preference Shares	OPSH	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Venture Fund	OVNF	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Short term Loans (Unsecured Deposits)	OSLU	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Term Loans (without Charge)	OTLW	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	98.35	1.25	1.3%	1.3%	97.26	2.66	2.7%	2.7%	58.62	1.89	3.2%	3.2%
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Derivative Instruments	OCDI	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Securitized Assets	OPSA	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Investment properties - Immovable	OIPI	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	TOTAL		22,271.69	1,056.39	4.74%	4.74%	22,162.86	2,160.88	9.75%	9.75%	24,676.51	-288.00	-116.71%	-116.71%

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date : 17th October 2016

Note: Category of Investment (COI) shall be as per Guidelines

- 1 Based on daily average of Investments
- 2 Yield netted for Tax
- 3 Previous year reported numbers have been shown
- 4 FORM-1 shall be prepared in respect of each fund.

Signature: _____
 Full name: Alok Mehrotra
 Designation: Chief Financial Officer

FORM L-35-DOWNGRADING OF INVESTMENTS

Insurer: DHFL Pramerica Life Insurance Company Limited

Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

Statement as on :30th September 2016

Statement of Down Graded Investments

Name of Fund Life Fund

Periodicity of Submission: Quarterly

(Rs in Lakhs)

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> ¹ NIL								
B.	<u>As on Date</u> ² 9% Steel Authority of India Ltd 14-Oct-2024	ECOS	1,000.00	14-Oct-14	CARE	AAA	AA+	17-Mar-16	NA

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date : 17th October 2016**Signature:** _____**Full name:** Alok Mehrotra**Designation** Chief Financial Officer**Note:**

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

FORM L-35-DOWNGRADING OF INVESTMENTS

Insurer: DHFL Pramerica Life Insurance Company Limited

Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

Statement as on :30th October 2016

Statement of Down Graded Investments

Name of Fund Pension & General Annuity And Group Fund

Periodicity of Submission: Quarterly

(Rs in Lakhs)

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> ¹ NIL								
B.	<u>As on Date</u> ² NIL								

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date : 17th October 2016

Signature: _____
Full name: Alok Mehrotra
Designation Chief Financial Officer

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

FORM L-35-DOWNGRADING OF INVESTMENTS

Insurer: DHFL Pramerica Life Insurance Company Limited

Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

Statement as on :30th September 2016

Statement of Down Graded Investments

Name of Fund Linked Fund

Periodicity of Submission: Quarterly

(Rs in Lakhs)

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> ¹ NIL								
B.	<u>As on Date</u> ² NIL								

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date : 17th October 2016

Signature: _____

Full name: Alok Mehrotra

Designation Chief Financial Officer

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

FORM L-36 : Premium and number of lives covered by policy type

Insurer: DHFL Pramerica Life Insurance Company Limited
 Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

Date: 30-Sep-16

(Rs in Lakhs)

S. No.	Particulars	For the Qtr Q2 '2016-17				For the Qtr Q2 '2015-16				Upto the period '2016-17				Upto the period '2015-16			
		Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable
1	First year Premium																
	i Individual Single Premium- (ISP)																
	From 0-10000	11.37	763.00	819.00	227.44	130.21	162.00	156.00	156.55	4.39	821.00	944.00	243.53	176.01	220.00	212.00	210.75
	From 10,000-25,000	(2.07)	-	4.00	(2.24)	49.12	31.00	30.00	57.50	(0.34)	1.00	5.00	(0.47)	91.55	52.00	50.00	110.41
	From 25001-50,000	0.49	1.00	1.00	10.00	48.00	11.00	11.00	55.95	(10.51)	(2.00)	(2.00)	(3.00)	69.15	16.00	16.00	80.29
	From 50,001- 75,000	91.21	137.00	134.00	123.01	18.42	3.00	3.00	23.03	132.08	207.00	201.00	170.61	23.57	4.00	4.00	29.47
	From 75,000-100,000	174.87	185.00	180.00	211.68	10.00	1.00	1.00	11.00	249.15	245.00	233.00	298.75	10.00	1.00	1.00	11.00
	From 1,00,001 -1,25,000	37.78	35.00	35.00	45.06	-	-	-	-	42.34	39.00	39.00	50.38	-	-	-	-
	Above Rs. 1,25,000	728.45	204.00	194.00	872.71	37.00	2.00	2.00	40.70	792.28	227.00	212.00	950.61	57.00	3.00	3.00	65.70
	ii Individual Single Premium (ISPA)- Annuity																
	From 0-50000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 50,001-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 1,00,001-150,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 150,001- 2,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 2,00,001-250,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 2,50,001 -3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Above Rs. 3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	iii Group Single Premium (GSP)																
	From 0-10000	(18.97)	25.00	(77,027.00)	(1,405.02)	-	-	-	-	(10.67)	38.00	(64,545.00)	(37,772.33)	-	-	-	-
	From 10,000-25,000	55.78	21.00	68,708.00	41,479.14	2.04	1.00	24.00	66.50	90.69	33.00	100,162.00	61,475.77	2.04	1.00	24.00	66.50
	From 25001-50,000	84.25	12.00	50,970.00	18,305.61	-	-	-	-	141.60	19.00	89,334.00	35,067.48	9,930.76	-	16,772.00	243,536.49
	From 50,001- 75,000	115.91	15.00	85,314.00	45,364.27	-	-	-	-	168.13	21.00	113,586.00	57,863.16	206.91	-	798.00	7,513.59
	From 75,000-100,000	69.20	5.00	67,260.00	18,360.13	-	-	-	-	206.74	18.00	206,055.00	44,502.43	-	-	-	-
	From 1,00,001 -1,25,000	128.12	9.00	115,927.00	34,823.97	10.37	1.00	285.00	555.88	246.82	16.00	209,513.00	72,943.32	70.55	1.00	558.00	5,418.85
	Above Rs. 1,25,000	15,204.04	53.00	2,161,287.00	1,121,890.94	11,279.82	-	22,252.00	291,852.15	26,257.91	82.00	3,851,183.00	1,875,641.72	12,151.60	-	25,891.00	314,520.10
	iv Group Single Premium- Annuity- GSPA																
	From 0-50000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 50,001-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 1,00,001-150,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 150,001- 2,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 2,00,001-250,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 2,50,001 -3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Above Rs. 3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	v Individual non Single Premium- INSP																
	From 0-10000	40.68	1,071.00	1,391.00	2,702.30	62.38	705.00	658.00	2,204.99	69.43	2,785.00	3,518.00	4,911.20	121.74	1,385.00	1,312.00	3,775.54
	From 10,000-25,000	609.46	3,201.00	3,171.00	6,625.74	710.15	4,237.00	4,189.00	8,662.72	1,146.69	5,991.00	5,929.00	11,896.93	1,377.66	8,209.00	8,123.00	14,952.61
	From 25001-50,000	2,377.39	8,756.00	8,699.00	19,098.25	1,878.50	6,617.00	6,556.00	14,563.99	4,383.74	15,391.00	15,266.00	33,081.91	3,455.55	12,743.00	12,610.00	27,914.88
	From 50,001- 75,000	398.87	1,007.00	1,004.00	4,693.83	316.74	638.00	634.00	2,659.44	694.05	1,631.00	1,622.00	7,276.50	578.68	1,261.00	1,250.00	5,167.63
	From 75,000-100,000	123.83	145.00	143.00	2,054.86	237.57	267.00	261.00	2,513.55	222.79	254.00	249.00	3,341.44	497.88	546.00	530.00	4,694.09
	From 1,00,001 -1,25,000	44.74	51.00	51.00	1,133.30	119.48	116.00	114.00	883.90	121.77	124.00	124.00	2,626.13	209.98	211.00	206.00	1,669.24
	Above Rs. 1,25,000	(48.21)	18.00	23.00	823.82	383.47	140.00	132.00	2,957.54	101.65	84.00	85.00	3,372.92	918.98	356.00	332.00	6,729.00
	vi Individual non Single Premium- Annuity- INSPA																
	From 0-50000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 50,001-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 1,00,001-150,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 150,001- 2,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 2,00,001-250,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 2,50,001 -3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Above Rs. 3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	vii Group Non Single Premium (GNSP)																
	From 0-10000	-	-	-	-	(7.53)	-	(51,329.00)	(5,006.63)	-	-	-	-	(6.22)	1.00	(51,275.00)	(3,886.28)
	From 10,000-25,000	-	-	-	-	0.52	1.00	131.00	602.70	-	-	-	-	0.52	1.00	133.00	619.94
	From 25001-50,000	-	-	-	-	1.12	-	250.00	2,083.50	-	-	-	-	1.31	-	271.00	2,436.64
	From 50,001- 75,000	-	-	-	-	1.15	-	3,280.00	794.25	-	-	-	-	41.63	2.00	61,011.00	10,334.97
	From 75,000-100,000	-	-	-	-	1.75	1.00	312.00	2,483.98	-	-	-	-	1.80	1.00	298.00	2,569.38
	From 1,00,001 -1,25,000	-	-	-	-	3.45	2.00	1,126.00	1,469.52	-	-	-	-	4.94	3.00	3,295.00	2,192.23
	Above Rs. 1,25,000	-	-	-	-	1,297.10	40.00	1,196,975.00	297,672.46	-	-	-	-	2,225.40	64.00	1,947,667.00	504,299.34

FORM L-37 : BUSINESS ACQUISITION THROUGH DIFFERENT CHANNELS (GROUP)

Insurer: DHFL Pramerica Life Insurance Company Limited

Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

Date: 30-Sep-16

Business Acquisition through different channels (Group)

(Rs in Lakhs)

S.No.	Channels	For the Qtr Q2 '2016-17			For the Qtr Q2 '2015-16			Upto the period '2016-17			Upto the Period '2015-16		
		No. of Policies	No. of Lives covered	Premium	No. of Policies	No. of Lives covered	Premium	No. of Policies	No. of Lives covered	Premium	No. of Policies	No. of Lives covered	Premium
1	Individual agents	-	-	-	-	-	-	-	-	-	-	-	-
2	Corporate Agents-Banks	-	-	-	-	-	-	-	-	-	-	-	-
3	Corporate Agents -Others	-	-	-	1	1,645	5	-	-	-	1	1,645	5
4	Brokers	44	1,198,479	1,711	10	644,839	655	64	2,611,432	3,077	20	1,048,030	1,184
5	Micro Agents	-	-	-	-	-	-	-	-	-	-	-	-
6	Direct Business	96	1,273,960	13,927	35	526,822	11,930	163	2,134,416	24,024	53	955,768	23,442
	Total(A)	140	2,472,439	15,638	46	1,173,806	12,590	227	4,505,288	27,101	74	2,005,443	24,631
1	Referral (B)	-	-	-	-	-	-	-	-	-	-	-	-
	Grand Total (A+B)	140	2,472,439	15,638	46	1,173,806	12,590	227	4,505,288	27,101	74	2,005,443	24,631

FORM L-38 : BUSINESS ACQUISITION THROUGH DIFFERENT CHANNELS (INDIVIDUALS)

Insurer: DHFL Pramerica Life Insurance Company Limited

Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

Date: 30-Sep-16

Business Acquisition through different channels (Individuals)

(Rs in Lakhs)

S.No.	Channels	For the Qtr Q2'2016-17		For the Qtr Q2 '2015-16		Upto the period '2016-17		Upto the Period '2015-16	
		No. of Policies	Premium	No. of Policies	Premium	No. of Policies	Premium	No. of Policies	Premium
1	Individual agents	4,153	1,664	3,217	1,234	7,147	2,813	6,503	2,310
2	Corporate Agents-Banks	41	11	-	0	47	12	7	1
3	Corporate Agents -Others	1,759	737	2,188	863	2,986	1,208	4,588	1,915
4	Brokers	(10)	(19)	1,798	368	137	(41)	3,430	704
5	Micro Agents	-	-	-	-	-	-	-	-
6	Direct Business	8,946	2,098	5,495	1,504	16,362	3,796	10,100	2,604
	Total (A)	14,889	4,491	12,698	3,969	26,679	7,787	24,628	7,535
1	Referral (B)	685	98	232	32	1,119	163	379	53
	Grand Total (A + B)	15,574	4,589	12,930	4,001	27,798	7,949	25,007	7,588

FORM L-39-Data on Settlement of Claims

Insurer: DHFL Pramerica Life Insurance Company Limited
 Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

For The Quarter Ended September 2016

(Rs in Lakhs)

Ageing of Claims*									
S.No.	Types of Claims	No. of claims						Total No. of claims paid	Total amount of claims paid (Rs.)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	-							
2	Survival Benefit	-							
3	for Annuities / Pension	-							
4	For Surrender	-							
5	Other benefits	-							
	Individual Death Claims	-	115.00	-	-	-	-	115.00	377.69
	Group Death Claims	-	6,030.00	358.00	49.00	1.00	-	6,438.00	2,386.48

*the ageing of claims, in case of the death of the claims will be computed from the date of completion of all the documentation.

FORM L-39-Data on Settlement of Claims

Insurer: DHFL Pramerica Life Insurance Company Limited
 Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

Upto The Quarter Ended September 2016

(Rs in Lakhs)

Ageing of Claims*									
S.No.	Types of Claims	No. of claims						Total No. of claims paid	Total amount of claims paid (Rs.)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	-							
2	Survival Benefit	-							
3	for Annuities / Pension	-							
4	For Surrender	-							
5	Other benefits	-							
	Individual Death Claims	-	210.00	-	-	-	-	210.00	651.98
	Group Death Claims	-	11,552.00	509.00	56.00	1.00	-	12,118.00	4,187.95

*the ageing of claims, in case of the death of the claims will be computed from the date of completion of all the documentation.

FOR L-40: Claims data for Life

Insurer: DHFL Pramerica Life Insurance Company Limited

For The Quarter Ended September 2016

Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

S. No.	Claims Experience	For Death	for Maturity	Survival Benefit	For Annuities/ Pension	For Surrender	Other Benefits
1	Claims O/S at the beginning of the period	192					
2	Claims reported during the period	6612					
3	Claims Settled during the period	6553					
4	Claims Repudiated during the period	52					
a	Less than 2years from the date of acceptance of risk	52					
b	Grater than 2 year from the date of acceptance of risk	0					
5	Claims Written Back	0					
6	Claims O/S at End of the period	172					
	Less than 3months	171					
	3 months to 6 months	1					
	6months to 1 year	0					
	1year and above	0					

FOR L-40: Claims data for Life

Insurer: DHFL Pramerica Life Insurance Company Limited

Upto The Quarter Ended September 2016

Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

S. No.	Claims Experience	For Death	for Maturity	Survival Benefit	For Annuities/ Pension	For Surrender	Other Benefits
1	Claims O/S at the beginning of the period	61					-
2	Claims reported during the period	12561					-
3	Claims Settled during the period	12328					-
4	Claims Repudiated during the period	87					-
a	Less than 2years from the date of acceptance of risk	87					-
b	Grater than 2 year from the date of acceptance of risk	0					-
5	Claims Written Back	0					-
6	Claims O/S at End of the period	172					-
	Less than 3months	171					-
	3 months to 6 months	1					-
	6months to 1 year	0					-
	1year and above	0					-

FORM L-41 : GREIVANCE DISPOSAL

Insurer: DHFL Pramerica Life Insurance Company Limited
Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

Date: 30-Sep-16

GRIEVANCE DISPOSAL

(Rs in Lakhs)

S.No.	Particulars	Opening Balance	Additions	Complaints Resolved/ settled			Complaints Pending	Total complaints registered upto the quarter during the financial year
				Fully Accepted	Partial Accepted	Rejected		
1	Complaints made by customers							
a)	Death Claims	-	-	-	-	-	-	3
b)	Policy Servicing	1	11	4	5	2	1	28
c)	Proposal Processing	6	78	62	6	11	5	136
d)	Survival Claims	-	18	4	2	12	-	30
e)	ULIP Related	-	-	-	-	-	-	-
f)	Unfair Business Practices	41	292	71	31	192	39	629
g)	Others	-	19	8	5	6	-	46
	Total Number	48	418	149	49	223	45	872

2	Total No. of policies during previous year:	56,250
3	Total No. of claims during previous year	16,020
4	Total No. of policies during current year	28,025
5	Total No. of claims during current year	12,561
6	Total No. of Policy Complaints (current year) per 10, 000 policies (current year)	310
7	Total No. of Claim Complaints (current year) per 10,000 claims registered (current year) :	5

2	Duration wise Pending Status	Complaints made by customers	Complaints made by intermediaries	Total
a)	Upto 7 days	45	1	46
b)	7-15 Days	-	-	-
c)	15-30 Days	-	-	-
d)	30-90 Days	-	-	-
e)	90 days & Beyond	-	-	-
	Total Number	45	1	46

FORM L-42 : Valuation Basis (Life Insurance)

Insurer: DHFL Pramerica Life Insurance Company Limited

Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

A. How the policy data needed for valuation is accessed?

Data used for valuation is extracted from the Policy administration system as at the last day of the valuation month using data extraction program. For any new product, a change request form (containing the data required for the new products) is provided to the IT function for inclusion of the new product in extraction program. User acceptance test (UAT) is carried on the data extracted from the modified program before using it for valuation. Valuation data files are provided to the actuarial function in CSV format.

B. How the valuation bases are supplied to the system?

Actuarial valuation software AlgoFM is used to calculate actuarial liabilities. The assumptions are supplied to the system from excel files attached to the workspace.

C. Valuation Assumptions

1. Interest Rates (including Margin of Adverse Deviation)

Line of Business	Individual Business	Group business
	30 th Sept, 2016	30 th Sept, 2016
i) Life Participating Business	5.75%	Not Applicable
ii) Life- Non-participating Policies	5.90%	6.40%
iii) Annuities- Participating policies	Not Applicable	Not Applicable
iv) Annuities – Non-participating policies	Not Applicable	Not Applicable
v) Annuities- Individual Pension Plan	Not Applicable	Not Applicable
vi) Unit Linked	5.75%	Not Applicable
vii) Health Insurance	Not Applicable	Not Applicable

* excludes one year term policies.

2. Mortality Rates (including Margin of Adverse Deviation (As % of IALM 06-08))

Line of Business	Duration	Individual Business	Group business
		30 th Sept, 2016	30 th Sept, 2016
i) Life Participating Business	0	115% - 253%	Not Applicable
	1	103.50% -120.75%	Not Applicable
	2	103.50% -120.75%	Not Applicable
	3	103.50% -120.75%	Not Applicable
	4+	103.50% -120.75%	Not Applicable
ii) Life- Non-participating Policies	0	80.50%-414.00%	100.05%-155.25%*
	1	80.50%-414.00%	100.05%-155.25%*
	2	76.50%-414.00%	100.05%-155.25%*
	3	72.25%-414.00%	100.05%-155.25%*
	4+	72.25%-414.00%	100.05%-155.25%*
iii) Annuities- Participating policies	Not Applicable	Not Applicable	Not Applicable
iv) Annuities – Non-participating policies	Not Applicable	Not Applicable	Not Applicable
v) Annuities- Individual Pension Plan	Not Applicable	Not Applicable	Not Applicable
vi) Unit Linked	0	76.50%- 195.50%	Not Applicable
	1	76.50%- 195.50%	Not Applicable
	2	76.50%- 195.50%	Not Applicable
	3	76.50%- 195.50%	Not Applicable
	4+	76.50%- 195.50%	Not Applicable
vii) Health Insurance	Not Applicable	Not Applicable	Not Applicable

* excludes one year term policies.

FORM L-42 : Valuation Basis (Life Insurance)

Insurer: DHFL Pramerica Life Insurance Company Limited

Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

3. Morbidity

Best estimate morbidity incident rates are in the range of 70% to 100%(Previous Year 70% to 100%) of the prescribed CIBT93, depending on age and gender, which have been increased by margin for adverse deviation of 20% of the best estimate rates.

4. Commission

Allowed for at actual rates

5. Lapses (including Margin of Adverse Deviation)

Line of Business	Duration	Individual Business	Group business*
		30 th Sept, 2016	30 th Sept, 2016
i) Life Participating Business	13 th Month	12.50%	Not Applicable
	25 th Month	7.50%	Not Applicable
	37 th Month	6.00%	Not Applicable
	49 th Month	5.00%	Not Applicable
	61th Month+	2.50%	Not Applicable
ii) Life- Non-participating Policies	13 th Month	5.00% - 20.00%	Not Applicable
	25 th Month	5.00% - 12.50%	Not Applicable
	37 th Month	5.00% - 6.00%	Not Applicable
	49 th Month	2.50% - 5.00%	Not Applicable
	61th Month+	2.50%	Not Applicable
iii) Annuities- Participating policies	Not Applicable	Not Applicable	Not Applicable
iv) Annuities – Non-participating policies	Not Applicable	Not Applicable	Not Applicable
v) Annuities- Individual Pension Plan	Not Applicable	Not Applicable	Not Applicable
vi) Unit Linked	13 th Month	12.50% - 95.00%	Not Applicable
	25 th Month	5.00% - 99.00%	Not Applicable
	37 th Month	5.00% - 93.00%	Not Applicable
	49 th Month	5.00% - 93.00%	Not Applicable
	61th Month+	2.50% - 99.00%	Not Applicable
vii) Single Premium- (Life Non-Par/Linked)	13 th Month	0%-2.50%	0.75%
	25 th Month	0%-2.50%	2.00%
	37 th Month	0%-2.50%	2.00%
	49 th Month	0%-17.00%	4.00%
	61th Month+	2.50%	4.00%
viii) Health Insurance	Not Applicable	Not Applicable	Not Applicable

*Group Business is single premium and includes Group Credit Life.

6. Expenses and Expense Inflation

Best estimate per policy maintenance expenses, claim expenses and investment expenses assumptions have been based on projected expenses when Insurer acquires a stable level of business. The best estimate assumptions are further increased by a MAD of 10% of best estimate. An assumption of 5.5% p.a. for expense inflation was used for projecting future expenses. Additional expense provisions have also been set up to adequately cover maintenance expenses.

7. Bonus Rate

The future reversionary bonus rates assumed vary from 0.85% to 3.61% (Previous Year 0.95% to 2.85%) of sum assured and previously accrued reversionary bonuses. Future final bonuses assumed vary with duration and attaching reversionary bonus.

FORM L-42 : Valuation Basis (Life Insurance)

Insurer: DHFL Pramerica Life Insurance Company Limited

Registration No. 140; Date of Registration with the IRDAI: June 27, 2008

8. Reasonable Expectation

Provision has been made for future reversionary bonuses and final bonus consistent with the assumed valuation interest rate, benefit illustration, sales literature, terms and conditions in policy document and practices followed by other insurers in the industry.

9. Taxation and Shareholder Transfers

The tax rate assumed for valuation is 14.42% (Previous Year 14.2%) and shareholders share is taken to be 1/9th of cost of bonus i.e. 10% of distributed surplus.

10. Free-look cancellations

Provisions are made for the additional payments that are required to be made in the event of cancellations during the free-look period. The free-look cancellation assumption is 5.0%(previous year:5%) for non-participating endowment business,5.0% (previous year:5%)for individual term business, 8.5%(previous year:8.5%) for participating business, 9.0%(previous year:9%) for unit-linked life and 4.0%(previous year:4%) for Group credit Life.

11. Basis of provisions for Incurred but Not Reported (IBNR)

Run-off triangle method has been used to set appropriate provision for IBNR.

D. Change in Valuation Methods or Bases

Valuation assumptions have been revised.

E. Actuarial Valuation Method

Reserves in respect of Unit- Linked product consists of two components- Unit Reserve and Non- Unit reserve. Unit Reserve for all the unit-linked products is the number of units in each of the funds multiplied by their published unit price as at the valuation date.

For Non Linked Individual life business and for Non-unit reserve for Linked Business actuarial liabilities have been determined using the gross premium valuation method. Non-unit reserves held by the Company, in respect of linked business, are higher of the GPV and UPR in respect of monthly risk charges at product level.

For Single Premium Group Credit Life business, the reserve has been determined using gross premium method. For yearly renewable group term product, reserve held is equal to the unearned premium. Amount of reinsurance ceded has been considered for group business.

For traditional critical illness rider and traditional accidental death benefit rider, reserves have been held as the higher of gross premium reserve and unearned premium reserve.

Additional reserves are held for maintenance expense overrun, closure to new business one year after valuation date scenario, Free look Cancellation, Lapse policies that can be reinstated, paid up policies that can be revived, data inaccuracy, IBNR, Claim in payment , resilience reserves, cost of guarantee and Early Surrender Value Reserves.

Place: Gurgaon

Date: 27th October 2016

Name and Signature of Appointed Actuary

(Varun Gupta)