

FORM L-2-A : Profit & Loss

Name of the Insurer: DHFL PRAMERICA LIFE INSURANCE CO. LTD. (Formerly known as DLF Pramerica Life Insurance Co. Ltd.)

Registration No. 140 ; Date of Registration with the IRDA: June 27, 2008

PROFIT & LOSS ACCOUNT FOR THE PERIOD ENDED SEPTEMBER 30, 2014

Shareholders' Account (Non-technical Account)

(Rs.'000).

| Particulars | Schedule | FOR THE QUARTER ENDED ON SEPTEMBER 2014 | UP TO THE QUARTER ENDED ON SEPTEMBER 2014 | FOR THE QUARTER ENDED ON SEPTEMBER 2013 | UP TO THE QUARTER ENDED ON SEPTEMBER 2013 |
|---|----------|---|---|---|---|
| | | (Rs.'000). | (Rs.'000). | (Rs.'000). | (Rs.'000). |
| Amounts transferred from/to the Policyholders Account (Technical Account) | | 168,877 | 374,390 | 19,268 | 55,749 |
| Income From Investments | | | | | |
| (a) Interest, Dividends & Rent – Gross | | 113,909 | 181,132 | 24,646 | 49,777 |
| (b) Profit on sale/redemption of investments | | 22,740 | 34,436 | 7,614 | 17,495 |
| (c) (Loss on sale/ redemption of investments) | | (427) | (427) | | (287) |
| (d) Amortisation of discount/(premium) | | 11,618 | 14,658 | 1,922 | 1,314 |
| Other Income (To be specified) | | - | - | - | - |
| TOTAL (A) | | 316,717 | 604,189 | 53,445 | 124,047 |
| Expense other than those directly related to the insurance business: | | 14,729 | 30,739 | 8,720 | 16,645 |
| Bad debts written off | | - | - | - | - |
| Transfer to Policyholders' fund | | 235,460 | 444,304 | 293,202 | 635,639 |
| Provisions (Other than taxation) | | | | | |
| (a) For diminution in the value of investments (Net) | | - | - | - | - |
| (b) Provision for doubtful debts | | - | - | - | - |
| (c) Others (to be specified) | | - | - | - | - |
| TOTAL (B) | | 250,189 | 475,043 | 301,922 | 652,284 |
| Profit/ (Loss) before tax | | 66,528 | 129,146 | (248,477) | (528,237) |
| Provision for Taxation | | - | - | - | - |
| Current Tax Credit/(Charge) | | - | - | - | - |
| Deferred Tax Credit / (Charge) | | (8,566) | (16,628) | | |
| Profit / (Loss) after tax | | 57,962 | 112,519 | (248,477) | (528,237) |
| APPROPRIATIONS | | | | | |
| (a) Balance at the beginning of the year. | | - | (5,060,819) | - | (5,079,496) |
| (b) Interim dividends paid during the year | | - | - | - | - |
| (c) Proposed final dividend | | - | - | - | - |
| (d) Dividend distribution on tax | | - | - | - | - |
| (e) Transfer from reserves/ other accounts - Funds For Future Appropriation | | - | - | - | 9,188 |
| Profit carried -----to the Balance Sheet | | 57,962 | (4,948,301) | (248,477) | (5,598,545) |

FORM L-3-A : Balance Sheet

Name of the Insurer: **DHFL PRAMERICA LIFE INSURANCE CO. LTD.** (Formerly known as DLF Pramerica Life Insurance Co. Ltd.)

Registration No. 140; Date of Registration with the IRDA: June 27, 2008

BALANCE SHEET AS AT SEPTEMBER 30, 2014

(Rs.'000).

| Particulars | Schedule | As at September 30, 2014 | As at September 30, 2013 | (Rs.'000). |
|---|----------|--------------------------|--------------------------|------------|
| | | (Rs.'000). | (Rs.'000). | |
| SOURCES OF FUNDS | | | | |
| <i>SHAREHOLDERS' FUNDS:</i> | | | | |
| SHARE CAPITAL | L-8,L-9 | 3,740,619 | 3,258,025 | |
| SHARE APPLICATION MONEY | | - | | |
| RESERVES AND SURPLUS | L-10 | 8,329,217 | 3,800,848 | |
| CREDIT/(DEBIT) FAIR VALUE CHANGE ACCOUNT | | 2,590 | 297 | |
| Sub-Total | | 12,072,426 | 7,059,170 | |
| BORROWINGS | L-11 | - | - | |
| <i>POLICYHOLDERS' FUNDS:</i> | | | | |
| CREDIT/(DEBIT) FAIR VALUE CHANGE ACCOUNT | | 335 | 51 | |
| POLICY LIABILITIES | | 3,264,792 | 886,822 | |
| INSURANCE RESERVES | | - | - | |
| PROVISION FOR LINKED LIABILITIES | | 2,469,852 | 2,037,134 | |
| Sub-Total | | 5,734,979 | 2,924,007 | |
| FUNDS FOR FUTURE APPROPRIATIONS | | - | - | |
| TOTAL | | 17,807,405 | 9,983,177 | |
| APPLICATION OF FUNDS | | | | |
| <i>INVESTMENTS</i> | | | | |
| Shareholders' | L-12 | 6,324,350 | 1,465,084 | |
| Policyholders' | L-13 | 3,898,997 | 891,535 | |
| ASSETS HELD TO COVER LINKED LIABILITIES | L-14 | 2,469,852 | 2,037,134 | |
| LOANS | L-15 | 723 | - | |
| FIXED ASSETS | L-16 | 146,049 | 48,015 | |
| DEFERRED TAX ASSETS | | 732,598 | - | |
| CURRENT ASSETS | | | | |
| Cash and Bank Balances | L-17 | 156,232 | 97,668 | |
| Advances and Other Assets | L-18 | 578,941 | 602,445 | |
| Sub-Total (A) | | 735,173 | 700,113 | |
| CURRENT LIABILITIES | L-19 | 1,394,818 | 712,710 | |
| PROVISIONS | L-20 | 53,820 | 44,539 | |
| Sub-Total (B) | | 1,448,638 | 757,249 | |
| NET CURRENT ASSETS (C) = (A - B) | | (713,465) | (57,136) | |
| MISCELLANEOUS EXPENDITURE (to the extent not written off or adjusted) | L-21 | - | - | |
| DEBIT BALANCE IN PROFIT & LOSS ACCOUNT (Shareholders' Account) | | 4,948,301 | 5,598,545 | |
| Debit Balance of Revenue Account | | - | - | |
| TOTAL | | 17,807,405 | 9,983,177 | |

CONTINGENT LIABILITIES

| Particulars | | As at September 30, 2014 | As at September 30, 2013 | (Rs.'000) |
|---|--|--------------------------|--------------------------|-----------|
| 1 Partly paid-up investments | | - | - | |
| 2 Claims, other than against policies, not acknowledged as debts by the company | | - | - | |
| 3 Underwriting commitments outstanding (in respect of shares and securities) | | - | - | |
| 4 Guarantees given by or on behalf of the Company | | - | - | |
| 5 Statutory demands/ liabilities in dispute, not provided for | | - | - | |
| 6 Reinsurance obligations to the extent not provided for in accounts | | - | - | |
| 7 Others (to be specified) | | - | - | |
| TOTAL | | - | - | |

FORM L-4 : PREMIUM SCHEDULE

(Rs. '000)

PREMIUM

| Particulars | FOR THE QUARTER ENDED ON SEPTEMBER 2014 | | | | | FOR THE PERIOD ENDED ON SEPTEMBER 2014 | | | | | FOR THE QUARTER ENDED ON SEPTEMBER 2013 | | | | | FOR THE PERIOD ENDED ON SEPTEMBER 2013 | | | | | | | | |
|-----------------------|---|----------------|----------------------------|----------------------------|--------------------|--|--------------------------------|------------------|----------------------------|----------------------------|---|------------------|--------------------------------|--------------|----------------------------|--|--------------------|----------------|----------------|---------------|--------------|----------------|---------------|----------------|
| | Non Participating (Non-Linked) | | Participating (Non-Linked) | Non Participating (Linked) | | Total | Non Participating (Non-Linked) | | Participating (Non-Linked) | Non Participating (Linked) | | Total | Non Participating (Non-Linked) | | Participating (Non-Linked) | Non Participating (Linked) | | Total | | | | | | |
| | Individual Life | Group Life | Individual Life | Individual Life | Individual Pension | | Individual Life | Group Life | Individual Life | Individual Life | Individual Pension | | Individual Life | Group Life | Individual Life | Individual Life | Individual Pension | | | | | | | |
| 1 First year premiums | 134,718 | 94,969 | 163,147 | 12,540 | - | 405,374 | 243,631 | 119,945 | 334,119 | 13,499 | - | 711,195 | 218,012 | 7,547 | 3,909 | 15,026 | (44) | 244,450 | 407,343 | 11,038 | 5,372 | 25,414 | (259) | 448,908 |
| 2 Renewal Premiums | 277,959 | 1,098 | 2,301 | 61,801 | 15,274 | 358,433 | 467,211 | 1,842 | 2,729 | 127,252 | 25,730 | 624,764 | 199,074 | - | - | 79,277 | 19,015 | 297,367 | 350,918 | - | - | 154,577 | 33,877 | 539,372 |
| 3 Single Premiums | - | 788,500 | - | - | - | 788,500 | - | 1,523,686 | - | 150 | - | 1,523,836 | 3,158 | - | - | 2,229 | - | 5,387 | 6,030 | - | - | 4,391 | - | 10,421 |
| TOTAL | 412,677 | 884,568 | 165,448 | 74,341 | 15,274 | 1,552,307 | 710,842 | 1,645,473 | 336,848 | 140,901 | 25,730 | 2,859,795 | 420,244 | 7,547 | 3,909 | 96,532 | 18,972 | 547,203 | 764,291 | 11,038 | 5,372 | 184,382 | 33,618 | 998,701 |



FORM L-6 : OPERATING EXPENSES SCHEDULE

(Rs. 000)

OPERATING EXPENSES RELATED TO INSURANCE BUSINESS

| Particulars | FOR THE QUARTER ENDED ON SEPTEMBER 30, 2014 | | | | | | UPTO THE PERIOD ENDED ON SEPTEMBER 30, 2014 | | | | | | FOR THE QUARTER ENDED ON SEPTEMBER 30, 2013 | | | | | | UPTO THE PERIOD ENDED ON SEPTEMBER 30, 2013 | | | | | |
|--|---|----------------|----------------------------|----------------------------|--------------------|----------------|---|----------------|----------------------------|----------------------------|--------------------|------------------|---|--------------|----------------------------|----------------------------|--------------------|----------------|---|---------------|----------------------------|----------------------------|--------------------|------------------|
| | Non Participating (Non-Linked) | | Participating (Non-Linked) | Non Participating (Linked) | | Total | Non Participating (Non-Linked) | | Participating (Non-Linked) | Non Participating (Linked) | | Total | Non Participating (Non-Linked) | | Participating (Non-Linked) | Non Participating (Linked) | | Total | Non Participating (Non-Linked) | | Participating (Non-Linked) | Non Participating (Linked) | | Total |
| | Individual Life | Group Life | Individual Life | Individual Life | Individual Pension | | Individual Life | Group Life | Individual Life | Individual Life | Individual Pension | | Individual Life | Group Life | Individual Life | Individual Life | Individual Pension | | Individual Life | Group Life | Individual Life | Individual Life | Individual Pension | |
| 1 Employees remuneration and welfare benefits | 101,710 | 94,628 | 71,382 | 8,050 | 581 | 276,351 | 217,272 | 172,310 | 177,210 | 15,831 | 1,450 | 584,073 | 289,016 | 5,542 | 1,490 | 17,527 | 2,250 | 315,825 | 583,950 | 9,513 | 3,187 | 35,283 | 4,513 | 636,446 |
| 2 Travel, conveyance and vehicle running expenses | 4,568 | 5,142 | 3,455 | 367 | 31 | 13,563 | 9,592 | 9,700 | 9,279 | 682 | 85 | 29,338 | 13,736 | 270 | 70 | 833 | 107 | 15,016 | 29,881 | 487 | 163 | 1,805 | 231 | 32,567 |
| 3 Training expenses (including Agent advisors) | 10,605 | 11,109 | 9,550 | 786 | 87 | 32,137 | 14,302 | 14,464 | 13,836 | 1,018 | 127 | 43,747 | 2,725 | 61 | 13 | 166 | 21 | 2,986 | 8,470 | 138 | 46 | 512 | 65 | 9,231 |
| 4 Rent, rates & taxes | 9,959 | 10,923 | 8,062 | 777 | 73 | 29,794 | 18,153 | 18,358 | 17,561 | 1,291 | 161 | 55,524 | 35,196 | 665 | 183 | 2,134 | 274 | 38,452 | 67,774 | 1,104 | 370 | 4,095 | 524 | 73,867 |
| 5 Repairs & Maintenance | 5,662 | 6,269 | 4,475 | 447 | 41 | 16,894 | 10,890 | 11,013 | 10,535 | 775 | 97 | 33,310 | 16,041 | 306 | 83 | 972 | 125 | 17,527 | 31,817 | 518 | 174 | 1,922 | 246 | 34,677 |
| 6 Printing and stationery | 444 | 488 | 355 | 35 | 3 | 1,325 | 828 | 837 | 801 | 59 | 7 | 2,532 | 1,116 | 22 | 6 | 68 | 9 | 1,221 | 2,668 | 43 | 15 | 161 | 21 | 2,908 |
| 7 Communication expenses | 2,276 | 2,530 | 1,779 | 180 | 16 | 6,781 | 4,477 | 4,527 | 4,331 | 318 | 40 | 13,693 | 7,196 | 141 | 36 | 436 | 56 | 7,865 | 15,460 | 252 | 84 | 934 | 119 | 16,849 |
| 8 Legal, professional and consultancy charges | 9,841 | 11,125 | 7,356 | 796 | 65 | 29,183 | 21,123 | 21,362 | 20,434 | 1,503 | 187 | 64,609 | 28,279 | 543 | 146 | 1,715 | 221 | 30,904 | 57,269 | 933 | 313 | 3,460 | 443 | 62,418 |
| 9 Medical fees | 1,478 | - | (1) | - | - | 1,596 | 3,080 | - | 23 | 219 | - | 3,322 | 1,272 | - | 10 | 77 | - | 1,359 | 3,252 | - | 10 | 196 | - | 3,458 |
| 10 Auditors' fees, expenses etc : | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) as auditor | 184 | 205 | 145 | 15 | 1 | 550 | 359 | 364 | 348 | 26 | 3 | 1,100 | 521 | 10 | 3 | 31 | 4 | 569 | 1,065 | 17 | 6 | 64 | 8 | 1,160 |
| (b) as adviser | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (i) Taxation matters | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (ii) Insurance matters | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (iii) Management services; and | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (c) in any other capacity (including out of pocket expenses) | 19 | 22 | 15 | 2 | - | 58 | 39 | 40 | 38 | 3 | - | 120 | 32 | 1 | - | 2 | - | 35 | 32 | 1 | - | 2 | - | 35 |
| 11 Advertisement and publicity | 17,506 | 2,539 | 6,279 | 1,368 | 2 | 27,694 | 43,673 | 6,271 | 15,893 | 3,405 | 6 | 69,248 | 60,079 | 1,096 | 315 | 3,639 | 466 | 65,595 | 101,382 | 1,652 | 553 | 6,126 | 783 | 110,496 |
| 12 Interest and bank charges | 1,014 | 1,187 | 682 | 85 | 6 | 2,974 | 2,570 | 2,599 | 2,486 | 183 | 23 | 7,861 | 2,131 | 43 | 11 | 129 | 17 | 2,331 | 5,115 | 83 | 28 | 309 | 40 | 5,875 |
| 13 Investment related charges | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 14 Postage and courier cost | 1,105 | 1,263 | 799 | 91 | 7 | 3,265 | 2,510 | 2,538 | 2,428 | 179 | 22 | 7,677 | 1,344 | 39 | 5 | 83 | 11 | 1,482 | 7,213 | 118 | 39 | 436 | 56 | 7,862 |
| 15 Sales Promotion expenses | 34,032 | 4,433 | 12,069 | 2,657 | - | 53,191 | 81,945 | 10,675 | 29,061 | 6,398 | - | 128,079 | (5,969) | (57) | (37) | (357) | (45) | (6,465) | 8,136 | 133 | 44 | 492 | 63 | 8,868 |
| 16 Information technology expenses | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 17 Recruitment (including Agent advisors) | 5,108 | 5,195 | 4,887 | 366 | 45 | 15,601 | 5,392 | 5,453 | 5,216 | 384 | 48 | 16,493 | 2,040 | 33 | 11 | 123 | 15 | 2,222 | 1,985 | 32 | 11 | 120 | 15 | 2,163 |
| 18 Electricity, water and utilities | 2,058 | 2,219 | 1,736 | 158 | 16 | 6,187 | 3,386 | 3,424 | 3,275 | 241 | 30 | 10,356 | 4,230 | 84 | 21 | 257 | 33 | 4,625 | 9,566 | 156 | 52 | 578 | 74 | 10,426 |
| 19 Policy issuance and servicing costs | 46,347 | 727 | 5,246 | 6,217 | 806 | 59,343 | 94,073 | 1,277 | 10,385 | 12,830 | 1,670 | 120,235 | 43,781 | 831 | 227 | 2,654 | 341 | 47,834 | 85,240 | 1,389 | 465 | 5,150 | 659 | 92,903 |
| 20 (Profit)/Loss on fluctuation in foreign exchange | 107 | 108 | 103 | 8 | 1 | 327 | 107 | 108 | 103 | 8 | 1 | 327 | 79 | 2 | 1 | 5 | 1 | 88 | 94 | 2 | 1 | 6 | 1 | 104 |
| 21 (Profit)/Loss on fixed assets | 5 | 6 | 4 | 1 | - | 16 | 8 | 9 | 8 | 1 | - | 26 | - | - | - | - | - | - | 4 | - | - | - | - | 4 |
| 22 Service Tax expense | 1,306 | 4,158 | 7 | 4,498 | 732 | 10,701 | 66 | 5,607 | 11 | 7,998 | 1,225 | 14,907 | 5,944 | 103 | 32 | 359 | 46 | 6,484 | 7,837 | 128 | 43 | 473 | 61 | 8,542 |
| 23 Other miscellaneous expenses | 486 | 642 | 185 | 46 | 3 | 1,362 | 1,943 | 1,967 | 1,879 | 138 | 18 | 5,945 | 4,384 | 96 | 21 | 268 | 35 | 4,804 | 12,927 | 211 | 70 | 782 | 100 | 14,090 |
| 24 Depreciation | 2,428 | 2,695 | 1,907 | 192 | 17 | 7,239 | 4,730 | 4,783 | 4,575 | 336 | 42 | 14,466 | 6,670 | 124 | 35 | 404 | 52 | 7,285 | 12,131 | 198 | 66 | 733 | 94 | 13,222 |
| TOTAL | 258,248 | 167,613 | 140,477 | 27,261 | 2,533 | 596,132 | 540,518 | 297,686 | 329,716 | 53,826 | 5,242 | 1,226,988 | 519,843 | 9,955 | 2,682 | 31,525 | 4,039 | 568,044 | 1,053,268 | 17,108 | 5,740 | 63,639 | 8,116 | 1,147,871 |



Pramerica

LIFE INSURANCE

FORM L-7 : BENEFITS PAID SCHEDULE

(Rs. '000)

BENEFITS PAID (NET)

| Particulars | FOR THE QUARTER ENDED ON SEPTEMBER 30, 2014 | | | | | | UP TO THE QUARTER ENDED ON SEPTEMBER 30, 2014 | | | | | | FOR THE QUARTER ENDED ON SEPTEMBER 30, 2013 | | | | | | UP TO THE QUARTER ENDED ON SEPTEMBER 30, 2013 | | | | | | | | | | | |
|------------------------------------|---|--------------|----------------------------|-----------------|----------------------------|-----------------|---|--------------------------------|-----------------|----------------------------|--------------------|----------------------------|---|------------|--------------------------------|-----------------|----------------------------|-----------------|---|-----------------|----------|--------------------------------|--------------------|----------------------------|---------------|----------------------------|-----------------|----------|--------------------|--|
| | Non Participating (Non-Linked) | | Participating (Non-Linked) | | Non Participating (Linked) | | Total | Non Participating (Non-Linked) | | Participating (Non-Linked) | | Non Participating (Linked) | | Total | Non Participating (Non-Linked) | | Participating (Non-Linked) | | Non Participating (Linked) | | Total | Non Participating (Non-Linked) | | Participating (Non-Linked) | | Non Participating (Linked) | | Total | | |
| | Individual Life | Group Life | Individual Life | Individual Life | Individual Pension | Individual Life | | Group Life | Individual Life | Individual Life | Individual Pension | Individual Life | Group Life | | Individual Life | Individual Life | Individual Pension | Individual Life | Group Life | Individual Life | | Individual Life | Individual Pension | Individual Life | Group Life | Individual Life | Individual Life | | Individual Pension | |
| 1. Insurance Claims | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) Claims by Death, | 2,165 | 12,335 | 2,128 | 1,968 | 258 | 18,854 | 2,887 | 16,592 | 3,793 | (1,405) | 335 | 22,202 | 13,668 | 1,141 | - | 3,678 | 579 | 19,067 | 32,878 | 1,409 | - | - | - | - | 6,584 | 825 | - | - | 41,696 | |
| (b) Claims by Maturity, | - | - | - | 24,211 | - | 24,211 | - | - | - | 42,184 | - | 42,184 | - | - | - | 212 | - | 212 | - | - | - | - | - | - | 212 | - | - | - | 212 | |
| (c) Annuities/Pension payment, | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| (d) Periodical Benefit | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| (e) Health | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| (f) any other (please specify) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| Surrenders/Withdrawals | 4,451 | 661 | - | 79,149 | 70,854 | 155,115 | 7,560 | 693 | - | 132,088 | 114,256 | 254,597 | 1,015 | - | - | 16,787 | 17,361 | 35,163 | 2,095 | - | - | - | - | - | 32,271 | 32,761 | - | - | 67,127 | |
| Riders | 26 | - | - | 310 | - | 336 | 1,151 | - | - | (280) | - | 871 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| | 6,641 | 12,996 | 2,128 | 105,639 | 71,112 | 198,516 | 11,598 | 17,285 | 3,793 | 172,587 | 114,591 | 319,854 | 14,683 | 1,141 | - | 20,678 | 17,940 | 54,442 | 34,973 | 1,409 | - | - | - | - | 39,067 | 33,586 | - | - | 109,035 | |
| 2. (Amount ceded in reinsurance): | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) Claims by Death, | 8 | (5,872) | - | (656) | - | (6,520) | (7,543) | (7,800) | (487) | 215 | - | (15,615) | (3,280) | (640) | - | (1,334) | - | (5,254) | (9,809) | (603) | - | - | - | - | (1,282) | - | - | - | (11,694) | |
| (b) Claims by Maturity, | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| (c) Annuities/Pension payment, | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| (d) Periodical Benefit | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| (e) Health | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| (f) any other (please specify) | 8 | (5,872) | - | (656) | - | (6,520) | (7,543) | (7,800) | (487) | 215 | - | (15,615) | (3,280) | (640) | - | (1,334) | - | (5,254) | (9,809) | (603) | - | - | - | - | (1,282) | - | - | - | (11,694) | |
| 3. Amount accepted in reinsurance: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) Claims by Death, | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| (b) Claims by Maturity, | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| (c) Annuities/Pension payment, | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| (d) Periodical Benefit | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| (e) Health | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| (f) any other (please specify) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| TOTAL | 6,650 | 7,124 | 2,128 | 104,983 | 71,112 | 191,996 | 4,055 | 9,485 | 3,306 | 172,802 | 114,591 | 304,239 | 11,403 | 501 | - | 19,344 | 17,940 | 49,188 | 25,164 | 806 | - | - | - | - | 37,785 | 33,586 | - | - | 97,341 | |



Pramerica

LIFE INSURANCE

FORM L-8 : SHARE CAPITAL SCHEDULE

SHARE CAPITAL

(Rs.'000)

| | Particulars | As at September 30, 2014 | As at September 30, 2013 |
|---|---|--------------------------|--------------------------|
| 1 | Authorised Capital 2,000,000,000 Equity Shares (Previous Year: 2,000,000,000 Equity Shares) of Rs 10 each | 20,000,000 | 20,000,000 |
| 2 | Issued Capital 374,061,867 Equity Shares (Previous Year: 325,802,503 Equity Shares) of Rs 10/- each | 3,740,619 | 3,258,025 |
| 3 | Subscribed Capital 374,061,867 Equity Shares (Previous Year: 325,802,503 Equity Shares) of Rs 10/- each | 3,740,619 | 3,258,025 |
| 4 | Called-up Capital 374,061,867 Equity Shares (Previous Year: 325,802,503 Equity Shares) of Rs 10/- each | 3,740,619 | 3,258,025 |
| | Less : Calls unpaid | | |
| | Add : Shares forfeited (Amount originally paid up) | | |
| | Less : Par value of Equity Shares bought back | | |
| | Less : Preliminary Expenses | | |
| | Expenses including commission or brokerage on Underwriting or subscription of shares | | |
| | TOTAL | 3,740,619 | 3,258,025 |

LIFE INSURANCE

FORM L-9 : PATTERN OF SHAREHOLDING SCHEDULE

PATTERN OF SHAREHOLDING : [As certified by the Management]

| Shareholder | As at September 30, 2014 | | As at September 30, 2013 | |
|--------------|--------------------------|--------------|--------------------------|--------------|
| | Number of Shares | % of Holding | Number of Shares | % of Holding |
| Promoters | | | | |
| Indian | 276,805,782 | 74% | 241,093,896 | 74% |
| Foreign | 97,256,085 | 26% | 84,708,607 | 26% |
| Others | | - | | - |
| TOTAL | 374,061,867 | 100% | 325,802,503 | 100% |



FORM L-10-RESERVES AND SURPLUS SCHEDULE

RESERVES AND SURPLUS

(Rs.'000)

| | Particulars | As at September 30, 2014 | As at September 30, 2013 |
|---|--|--------------------------|--------------------------|
| 1 | Capital Reserve | - | - |
| 2 | Capital Redemption Reserve | - | - |
| 3 | Share Premium | 8,329,217 | 3,800,848 |
| 4 | Revaluation Reserve | - | - |
| | General Reserves | | - |
| 5 | Less: Debit balance in Profit and Loss Account, if any | - | - |
| | Less: Amount utilized for Buy-back | - | - |
| 6 | Catastrophe Reserve | - | - |
| 7 | Other Reserves (to be specified) | - | - |
| 8 | Balance of profit in Profit and Loss Account | - | - |
| | TOTAL | 8,329,217 | 3,800,848 |



Pramerica

LIFE INSURANCE

FORM L-11-BORROWINGS SCHEDULE

BORROWINGS

| | Particulars | As at September 30, 2014 (Rs. '000). | As at September 30, 2013 (Rs. '000). |
|---|--------------------------|---|---|
| 1 | Debentures/ Bonds | - | - |
| 2 | Banks | - | - |
| 3 | Financial Institutions | - | - |
| 4 | Others (to be specified) | - | - |
| | TOTAL | - | - |



FORM L-12-INVESTMENTS SHAREHOLDERS SCHEDULE

INVESTMENTS-SHAREHOLDERS

(Rs.'000)

| | Particulars | As at September 30, 2014 | As at September 30, 2013 |
|---|--|--------------------------|--------------------------|
| | LONG TERM INVESTMENTS | | |
| 1 | Government securities and Government guaranteed bonds including Treasury Bills | 3,548,775 | 667,231 |
| 2 | Other Approved Securities | 192,863 | 142,580 |
| 3 | Other Investments | | |
| | (a) Shares | - | - |
| | (aa) Equity | 49,810 | - |
| | (bb) Preference | - | - |
| | (b) Mutual Funds | - | - |
| | (c) Derivative Instruments | - | - |
| | (d) Debentures/ Bonds | 200,731 | 95,177 |
| | (e) Other Securities (to be specified) | - | - |
| | Commercial Paper / Certificate of Deposits | - | - |
| | Deposits with Bank | 4,000 | 4,000 |
| | (f) Subsidiaries | - | - |
| | Investment Properties-Real Estate | - | - |
| 4 | Investments in Infrastructure and Social Sector | 974,624 | 272,400 |
| 5 | Other than Approved Investments | 2,748 | - |
| | SHORT TERM INVESTMENTS | | |
| 1 | Government securities and Government guaranteed bonds including Treasury Bills | 468,172 | 147,943 |
| 2 | Other Approved Securities | - | - |
| 3 | Other Investments | - | - |
| | (a) Shares | - | - |
| | (aa) Equity | - | - |
| | (bb) Preference | - | - |
| | (b) Mutual Funds | 260,025 | 70,468 |
| | (c) Derivative Instruments | - | - |
| | (d) Debentures/ Bonds | - | 10,000 |
| | (e) Other Securities (to be specified) | - | - |
| | Deposits with Bank | - | - |
| | (f) Subsidiaries | - | - |
| | Investment Properties-Real Estate | - | - |
| 4 | Investments in Infrastructure and Social Sector | 160,287 | 38,122 |
| 5 | Other than Approved Investments | 462,315 | 17,163 |
| | TOTAL | 6,324,350 | 1,465,084 |

FORM L-13-INVESTMENTS POLICYHOLDERS SCHEDULE

(Rs.'000)

| | Particulars | As at September 30, 2014 | | | | | As at September 30, 2013 | | | | | Total | |
|---|--|--------------------------------|------------------|----------------------------|----------------------------|--------------------|--------------------------------|----------------|----------------------------|----------------------------|--------------------|--------------|----------------|
| | | Non Participating (Non-Linked) | | Participating (Non-Linked) | Non Participating (Linked) | | Non Participating (Non-Linked) | | Participating (Non-Linked) | Non Participating (Linked) | | | |
| | | Individual Life | Group Life | Individual Life | Individual Life | Individual Pension | Individual Life | Group Life | Individual Life | Individual Life | Individual Pension | | |
| | LONG TERM INVESTMENTS | | | | | | | | | | | | |
| 1 | Government securities and Government guaranteed bonds including Treasury Bills | 953,203 | 591,878 | 231,763 | 14,989 | 655 | 1,792,488 | 460,023 | 655 | 5,644 | 13,359 | 655 | 480,336 |
| 2 | Other Approved Securities | 10,016 | 50,179 | - | - | - | 60,195 | 10,018 | - | - | - | - | 10,018 |
| 3 | (a) Shares | | | | | | | | | | | | |
| | (aa) Equity | - | - | - | - | - | - | - | - | - | - | - | - |
| | (bb) Preference | - | - | - | - | - | - | - | - | - | - | - | - |
| | (b) Mutual Funds | - | - | - | - | - | - | - | - | - | - | - | - |
| | (c) Derivative Instruments | - | - | - | - | - | - | - | - | - | - | - | - |
| | (d) Debentures/ Bonds | 310,083 | 293,396 | 91,997 | - | - | 704,622 | 187,954 | - | - | 9,137 | - | 197,091 |
| | (e) Other Securities (to be specified) | - | - | - | - | - | - | - | - | - | - | - | - |
| | Commercial Paper / Certificate of Deposits | - | - | - | - | - | - | - | - | - | - | - | - |
| | Deposits with Bank | 2,000 | - | - | - | 500 | 2,500 | 12,000 | 10,000 | - | - | 500 | 22,500 |
| | (f) Subsidiaries | - | - | - | - | - | - | - | - | - | - | - | - |
| | (g) Investment Properties-Real Estate | - | - | - | - | - | - | - | - | - | - | - | - |
| 4 | Investments in Infrastructure and Social Sector | 481,771 | 405,006 | 126,648 | 6,000 | - | 1,019,424 | 128,481 | 2,000 | - | 2,000 | - | 132,481 |
| 5 | Other than Approved Investments | - | - | - | - | - | - | - | - | - | - | - | - |
| | SHORT TERM INVESTMENTS | | | | | | | | | | | | |
| 1 | Government securities and Government guaranteed bonds including Treasury Bills | 4,392 | 41,034 | - | - | - | 45,426 | - | 1,376 | - | - | - | 1,376 |
| 2 | Other Approved Securities | - | - | - | - | - | - | - | - | - | - | - | - |
| 3 | (a) Shares | | | | | | | | | | | | |
| | (aa) Equity | - | - | - | - | - | - | - | - | - | - | - | - |
| | (bb) Preference | - | - | - | - | - | - | - | - | - | - | - | - |
| | (b) Mutual Funds | (0) | 65,058 | 115,411 | 1,855 | 104 | 182,428 | - | 151 | 1,202 | 1,685 | - | 3,039 |
| | (c) Derivative Instruments | - | - | - | - | - | - | - | - | - | - | - | - |
| | (d) Debentures/ Bonds* | - | - | - | - | - | - | 18,900 | - | - | 3,100 | - | 22,000 |
| | (e) Other Securities (to be specified) | - | - | - | - | - | - | - | - | - | - | - | - |
| | Deposits with Bank | 10,000 | 13,700 | - | - | - | 23,700 | 16,638 | 2,900 | - | - | - | 19,538 |
| | (f) Subsidiaries | - | - | - | - | - | - | - | - | - | - | - | - |
| | (g) Investment Properties-Real Estate | - | - | - | - | - | - | - | - | - | - | - | - |
| 4 | Investments in Infrastructure and Social Sector | - | - | - | - | - | - | - | - | - | - | - | - |
| 5 | Other than Approved Investments | 68,213 | - | - | 0 | - | 68,213 | 3,156 | - | - | - | - | 3,156 |
| | TOTAL | 1,839,678 | 1,460,251 | 565,818 | 31,990 | 1,259 | 3,898,997 | 837,171 | 17,082 | 6,846 | 29,281 | 1,155 | 891,535 |

FORM L-14-ASSETS HELD TO COVER LINKED LIABILITIES SCHEDULE

ASSETS HELD TO COVER LINKED LIABILITIES

(Rs.'000)

| | Particulars | As at September 30, 2014 | | | As at September 30, 2013 | | |
|---|--|--------------------------|--------------------|------------------|--------------------------|--------------------|------------------|
| | | Individual Life | Individual Pension | Total | Individual Life | Individual Pension | Total |
| | LONG TERM INVESTMENTS | | | | | | |
| 1 | Government securities and Government guaranteed bonds including Treasury Bills | 203,858 | 21,838 | 225,696 | 206,877 | 36,740 | 243,617 |
| 2 | Other Approved Securities | 2,007 | - | 2,007 | 1,960 | - | 1,960 |
| 3 | Other Investments | | | | | | - |
| | (a) Shares | | | | | | - |
| | (aa) Equity | 1,062,857 | 335,511 | 1,398,368 | 871,372 | 297,864 | 1,169,236 |
| | (bb) Preference | - | - | - | - | - | - |
| | (b) Mutual Funds | - | - | - | - | - | - |
| | (c) Derivative Instruments | - | - | - | - | - | - |
| | (d) Debentures/ Bonds | 181,120 | 38,055 | 219,175 | 89,505 | 25,397 | 114,902 |
| | (e) Other Securities - Fixed Deposits | 3,000 | 3,500 | 6,500 | 3,000 | 3,500 | 6,500 |
| | (f) Subsidiaries | - | - | - | - | - | - |
| | (g) Investment Properties-Real Estate | - | - | - | - | - | - |
| 4 | Investments in Infrastructure and Social Sector | 125,689 | 24,038 | 149,727 | 118,150 | 27,075 | 145,225 |
| 5 | Other than Approved Investments | 38,197 | 11,866 | 50,063 | 55,685 | 19,263 | 74,948 |
| | SHORT TERM INVESTMENTS | | | | | | |
| 1 | Government securities and Government guaranteed bonds including Treasury Bills | 328,223 | 1,496 | 329,719 | 178,733 | - | 178,733 |
| 2 | Other Approved Securities | - | - | - | - | - | - |
| 3 | (a) Shares | | | | | | - |
| | (aa) Equity | - | - | - | - | - | - |
| | (bb) Preference | - | - | - | - | - | - |
| | (b) Mutual Funds | 32,772 | 8,227 | 40,999 | 22,843 | 6,669 | 29,512 |
| | (c) Derivative Instruments | - | - | - | - | - | - |
| | (d) Debentures/ Bonds | - | - | - | 18,031 | 5,001 | 23,032 |
| | (e) Other Securities (to be specified) | - | - | - | - | - | - |
| | Deposit with Bank | 53,000 | - | 53,000 | 49,500 | - | 49,500 |
| | (f) Subsidiaries | - | - | - | - | - | - |
| | (g) Investment Properties-Real Estate | - | - | - | - | - | - |
| 4 | Investments in Infrastructure and Social Sector | 9,984 | 998 | 10,982 | - | - | - |
| 5 | Other than Approved Investments | - | - | - | 4,196 | 302 | 4,498 |
| | NET CURRENT ASSETS | | | | | | |
| | Bank Balances | 2,416 | 707 | 3,123 | 1,944 | 674 | 2,618 |
| | Income accrued on investments | 23,961 | 4,470 | 28,431 | 20,121 | 4,576 | 24,697 |
| | Payables for purchase of Securities | (4,156) | (2,078) | (6,234) | (2,486) | (831) | (3,317) |
| | FMC Payable | (2,305) | (565) | (2,870) | (1,891) | (529) | (2,420) |
| | Other Payables | (27,195) | (11,639) | (38,834) | (27,460) | (7,913) | (35,373) |
| | Other Receivable | - | - | - | 6,866 | 2,400 | 9,266 |
| | TOTAL | 2,033,428 | 436,425 | 2,469,853 | 1,616,946 | 420,188 | 2,037,134 |

FORM L-15 : LOANS SCHEDULE

LOANS

(Rs.'000).

| | Particulars | As at September 30, 2014 | As at September 30, 2013 |
|---|--|--------------------------|--------------------------|
| 1 | SECURITY-WISE CLASSIFICATION | - | - |
| | <i>Secured</i> | - | - |
| | (a) On mortgage of property | - | - |
| | (aa) In India | - | - |
| | (bb) Outside India | - | - |
| | (b) On Shares, Bonds, Govt. Securities, etc. | - | - |
| | (c) Loans against policies | 723 | - |
| | (d) Others (to be specified) | - | - |
| | <i>Unsecured</i> | - | - |
| | TOTAL | 723 | - |
| 2 | BORROWER-WISE CLASSIFICATION | - | - |
| | (a) Central and State Governments | - | - |
| | (b) Banks and Financial Institutions | - | - |
| | (c) Subsidiaries | - | - |
| | (d) Companies | - | - |
| | (e) Loans against policies | 723 | - |
| | (f) Others (to be specified) | - | - |
| | TOTAL | 723 | - |
| 3 | PERFORMANCE-WISE CLASSIFICATION | - | - |
| | (a) Loans classified as standard | - | - |
| | (aa) In India | 723 | - |
| | (bb) Outside India | - | - |
| | (b) Non-standard loans less provisions | - | - |
| | (aa) In India | - | - |
| | (bb) Outside India | - | - |
| | TOTAL | 723 | - |
| 4 | MATURITY-WISE CLASSIFICATION | - | - |
| | (a) Short Term | - | - |
| | (b) Long Term | 723 | - |
| | TOTAL | 723 | - |

FORM L-16 : FIXED ASSETS SCHEDULE

FIXED ASSETS

(Rs.'000)

| Particulars | Cost/ Gross Block | | | | Depreciation | | | | Net Block | |
|----------------------------------|-------------------|---------------|------------|----------------|-----------------|----------------|-------------|----------------|--------------------------|--------------------------|
| | Opening | Additions | Deductions | Closing | Up to Last Year | For The Period | On Sales/ | To Date | As at September 30, 2014 | As at September 30, 2013 |
| | | | | | | | Adjustments | | | |
| Goodwill | - | - | - | - | - | - | - | - | - | - |
| Intangibles - Software | 60,835 | 2,166 | - | 63,001 | 48,106 | 4,806 | - | 52,912 | 10,088 | 16,574 |
| Land-Freehold | - | - | - | - | - | - | - | - | - | - |
| Leasehold Property | 31,346 | 5,149 | - | 36,495 | 20,510 | 2,288 | - | 22,799 | 13,697 | 11,874 |
| Buildings | - | - | - | - | - | - | - | - | - | - |
| Furniture & Fittings | 9,572 | 447 | - | 10,019 | 9,518 | 302 | - | 9,820 | 199 | 55 |
| Information Technology Equipment | 54,816 | 9,326 | 157 | 63,985 | | 5,779 | 91 | 46,334 | 17,651 | 14,836 |
| Vehicles | - | - | - | - | - | - | - | - | - | - |
| Office Equipment | 17,784 | 552 | 28 | 18,307 | 14,504 | 1,291 | 24 | 15,771 | 2,537 | 4,163 |
| Others (Specify nature) | - | - | - | - | - | - | - | - | - | - |
| TOTAL | 174,353 | 17,639 | 185 | 191,807 | 133,283 | 14,467 | 115 | 147,635 | 44,172 | 47,502 |
| Work in progress | - | - | - | - | - | - | - | - | 101,875 | 512 |
| Grand Total | 174,353 | 17,640 | 185 | 191,808 | 133,283 | 14,466 | 115 | 147,634 | 146,047 | 48,014 |
| PREVIOUS YEAR | 153,680 | 14,163 | 36 | 167,807 | 107,091 | 13,222 | 8 | 120,305 | 48,014 | 39,984 |

LIFE INSURANCE

FORM L-17 : CASH AND BANK BALANCE SCHEDULE

CASH AND BANK BALANCES

(Rs.'000).

| | Particulars | As at September 30, 2014 | As at September 30, 2013 |
|---|---|-----------------------------|-----------------------------|
| 1 | Cash (including cheques, drafts and stamps) | 89,484 | 45,638 |
| 2 | Bank Balances | | |
| | (a) Deposit Accounts | - | - |
| | (aa) Short-term (due within 12 months of the date of Balance Sheet) | - | - |
| | (bb) Others | - | - |
| | (b) Current Accounts | 66,748 | 52,030 |
| | (c) Others (to be specified) | - | - |
| 3 | Money at Call and Short Notice | | |
| | (a) With Banks | - | - |
| | (b) With other Institutions | - | - |
| 4 | Others (to be specified) | - | - |
| | TOTAL | 156,232 | 97,668 |
| | Balances with non-scheduled banks included in 2 and 3 above | - | - |
| | CASH & BANK BALANCES | | |
| 1 | In India | 156,232 | 97,668 |
| 2 | Outside India | - | - |
| | TOTAL | 156,232 | 97,668 |

LIFE INSURANCE

FORM L-18-ADVANCE AND OTHER ASSETS SCHEDULE

ADVANCES AND OTHER ASSETS

(Rs.'000)

| | Particulars | As at September 30, 2014 | As at September 30, 2013 |
|---|---|--------------------------|--------------------------|
| | ADVANCES | | |
| 1 | Reserve deposits with ceding companies | - | - |
| 2 | Application money for investments | - | - |
| 3 | Prepayments | 37,442 | 50,372 |
| 4 | Advances to Directors/Officers | - | - |
| 5 | Advance tax paid and taxes deducted at source (Net of provision for taxation) | 10 | 10 |
| 6 | Others (to be specified) | - | - |
| | Security Deposits | 57,013 | 63,765 |
| | Deposit -Others | 739 | 3,667 |
| | Advances to employees for travel, etc. | 2,215 | |
| | TOTAL (A) | 97,419 | 117,814 |
| | OTHER ASSETS | | |
| 1 | Income accrued on investments | 230,150 | 62,437 |
| 2 | Outstanding Premiums | 123,687 | 108,789 |
| 3 | Agents' Balances | 11,215 | 22,480 |
| 4 | Foreign Agencies Balances | - | - |
| 5 | Due from other entities carrying on insurance business (including reinsures) | 68,266 | 49,238 |
| 6 | Due from subsidiaries/ holding company | - | - |
| 7 | Deposit with Reserve Bank of India [Pursuant to section 7 of Insurance Act, 1938] | - | - |
| 8 | Others (to be specified) | - | - |
| | Seed Capital Contribution towards Unit Linked Funds | 38,834 | 35,373 |
| | Service Tax Unutilized Credit | 9,743 | 219,743 |
| | Receivable towards non-par non linked funds | - | - |
| | Receivable from clearing firm | 3,593 | - |
| | Receivable from ex employees | 7,538 | 6,230 |
| | Other Dues | 5,037 | 16,136 |
| | Agents' Balances - provision for doubtful amounts | (8,234) | (16,554) |
| | Receivable from ex employees- provision | (3,769) | (3,115) |
| | Provision on Vendor Advances | (4,538) | (16,126) |
| | TOTAL (B) | 481,523 | 484,631 |
| | TOTAL (A+B) | 578,942 | 602,445 |

FORM L-19-CURRENT LIABILITIES SCHEDULE

CURRENT LIABILITIES

(Rs.'000)

| | Particulars | As at September 30, 2014 | As at September 30, 2013 |
|----|---|--------------------------|--------------------------|
| 1 | Agents' Balances | 30,110 | 22,716 |
| 2 | Balances due to other insurance companies | 67,886 | 20,039 |
| 3 | Deposits held on re-insurance ceded | - | - |
| 4 | Premiums received in advance | 51,559 | 7,263 |
| 5 | Unallocated premium | 286,417 | 102,590 |
| 6 | Sundry creditors | 311,350 | 5,861 |
| 7 | Due to subsidiaries/ holding company | - | - |
| 8 | Claims Outstanding | 98,120 | 110,194 |
| 9 | Annuities Due | - | - |
| 10 | Due to Officers/ Directors | - | - |
| 11 | Others (to be specified) | | |
| | -Proposal / Policyholder deposits | 3,615 | - |
| | -Withholding Tax Deducted at Source | 18,857 | 12,201 |
| | -Accrued Expenses | 380,614 | 380,662 |
| | -Other Statutory liabilities | 10,285 | 11,063 |
| | -Policy Holders Unclaimed | 117,273 | 38,122 |
| | - Due to Non- par non linked funds | - | - |
| | -Lease Equalisation Reserve | 5,999 | 1,999 |
| | - Service Tax Liability | 12,733 | - |
| | TOTAL | 1,394,818 | 712,710 |

LIFE INSURANCE

FORM L-20-PROVISIONS SCHEDULE

PROVISIONS

(Rs.'000)

| | Particulars | As at September 30, 2014 | As at September 30, 2013 |
|---|---|--------------------------|--------------------------|
| 1 | For taxation (less payments and taxes deducted at source) | - | - |
| 2 | For proposed dividends | - | - |
| 3 | For dividend distribution tax | - | - |
| 4 | Others (to be specified) | - | - |
| | - Provision for Gratuity | 32,445 | 27,707 |
| | - Provision for Leave Encashment | 21,375 | 16,832 |
| | TOTAL | 53,820 | 44,539 |



FORM L-21-MISC EXPENDITURE SCHEDULE

MISCELLANEOUS EXPENDITURE

(To the extent not written off or adjusted)

(Rs.'000)

| | Particulars | As at September 30, 2014 (Rs.'000). | As at September 30, 2013 (Rs.'000). |
|---|---|--|--|
| 1 | Discount Allowed in issue of shares/ debentures | - | - |
| 2 | Others (to be specified) | - | - |
| | TOTAL | - | - |



Pramerica

————— LIFE INSURANCE —————

FORM L-22 : Analytical Ratios*

Insurer: DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)

Date: 30-Sep-14

| SI.No. | Particular | For the Quarter ended September 14 | Upto the Quarter ended September 14 | For the Quarter ended September 13 | Upto the Quarter ended September 13 |
|--------|--|---------------------------------------|--|---------------------------------------|--|
| 1 | New business premium income growth rate - segment wise | 378% | 387% | -15% | -23% |
| | Non Par Individual Life - Non Linked | -41% | -39% | -16% | -21% |
| | Non Par Group Life | 11606% | 14790% | 0% | 0% |
| | Participating Individual Life | 4074% | 6120% | NA | NA |
| | Non Par Individual Life - Linked | -27% | -54% | 44% | -58% |
| 2 | Net Retention Ratio | 97% | 98% | 99% | 99% |
| 3 | Expense of Management to Gross Direct Premium Ratio | 43% | 47% | 112% | 123% |
| 4 | Commission Ratio (Gross commission paid to Gross Premium) | 4% | 4% | 8% | 8% |
| 5 | Ratio of policy holder's liabilities to shareholder's funds | 81% | 81% | 200% | 200% |
| 6 | Growth rate of shareholders' fund | 388% | 388% | 6% | 6% |
| 7 | Ratio of surplus to policyholders' liability | 3% | 7% | 1% | 2% |
| 8 | Change in net worth ('000) | 5,663,500 | 5,663,500 | 77,778 | 77,778 |
| 9 | Profit after tax/Total Income | 3% | 3% | -44% | -47% |
| 10 | (Total real estate + loans)/(Cash & invested assets) | 0% | 0% | 0% | 0% |
| 11 | Total investments/(Capital + Surplus) | 105% | 105% | 62% | 62% |
| 12 | Total affiliated investments/(Capital+ Surplus) | 0.21% | 0.21% | - | - |
| 13 * | Investment Yield (Gross and Net) | | | | |

FORM L-22 : Analytical Ratios*

Insurer: DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)

Date: 30-Sep-14

| Sl.No. | Particular | For the Quarter ended September 14 | | Upto the Quarter ended September 14 | | For the Quarter ended September 13 | | Upto the Quarter ended September 13 | |
|--------|------------------------------|---------------------------------------|---------------------------|--|---------------------------|---------------------------------------|---------------------------|--|---------------------------|
| | | With Realized Gains | Without Realized Gains | With Realized Gains | Without Realized Gains | With Realized Gains | Without Realized Gains | With Realized Gains | Without Realized Gains |
| | Policyholder's Funds: | | | | | | | | |
| | Non-Linked | | | | | | | | |
| | 1.PAR | 9.57% | 9.57% | 9.56% | 9.56% | 7.96% | 7.96% | 7.74% | 7.74% |
| | 2.Non-PAR | 9.33% | 9.33% | 9.29% | 9.29% | 9.34% | 9.34% | 9.23% | 9.23% |
| | 3. Group | 9.64% | 9.64% | 9.60% | 9.60% | 0.00% | 0.00% | 0.00% | 0.00% |
| | Linked | | | | | | | | |
| | 3.Non-PAR | 16.82% | 16.82% | 28.32% | 28.32% | -7.71% | -7.71% | 1.80% | 1.80% |
| | Shareholder's Fund | 9.67% | 9.67% | 9.44% | 9.44% | 10.03% | 10.03% | 10.20% | 10.20% |
| 14 | Conservation Ratio | 66% | | 63% | | 59% | | 56% | |
| 15 | Persistency Ratio | On Policies | On Premium | On Policies | On Premium | On Policies | On Premium | On Policies | On Premium |
| | For 13th month | 42.29% | 47.70% | 42.29% | 47.70% | 45.41% | 46.03% | 45.41% | 46.03% |
| | For 25th month(+) | 33.80% | 38.70% | 33.80% | 38.70% | 35.20% | 41.08% | 35.20% | 41.08% |
| | For 25th month(++) | 68.90% | 75.62% | 68.90% | 75.62% | 77.07% | 80.10% | 77.07% | 80.10% |
| | For 37th month(+) | 26.87% | 37.91% | 26.87% | 37.91% | 35.13% | 38.35% | 35.13% | 38.35% |
| | For 37th month(++) | 69.96% | 77.36% | 69.96% | 77.36% | 48.75% | 46.15% | 48.75% | 46.15% |
| | For 49th Month(+) | 18.23% | 19.87% | 18.23% | 19.87% | 42.07% | 47.82% | 42.07% | 47.82% |
| | For 49th Month(++) | 73.17% | 68.11% | 73.17% | 68.11% | 80.64% | 76.68% | 80.64% | 76.68% |
| | for 61st month | NA | NA | NA | NA | NA | NA | NA | NA |
| 16 | NPA Ratio | | | | | | | | |
| | Gross NPA Ratio | - | - | - | - | - | - | - | - |
| | Net NPA Ratio | - | - | - | - | - | - | - | - |

| Equity Holding Pattern for Life | | <i>(Rs in Lakhs)</i> | <i>(Rs in Lakhs)</i> |
|--|---|----------------------|----------------------|
| 1 | (a) No. of shares | 374,061,867 | 325,802,503 |
| 2 | (b) Percentage of shareholding (Indian / Foreign) | 285% | 285% |
| 3 | (c) % of Government holding (in case of public sector insurance companies) | - | - |
| 4 | (a) Basic and diluted EPS before extraordinary items (net of tax expense) for the period (not to be annualized) | 0.31 | (1.64) |
| 5 | (b) Basic and diluted EPS after extraordinary items (net of tax expense) for the period (not to be annualized) | 0.31 | (1.64) |
| 6 | (iv) Book value per share (Rs) | 19.04 | 4.48 |

+ Non Reducing Balance

++ Reducing Balance

FORM L-24 : Valuation of net liabilities

Insurer: DHFL Pramerica Life Insurance Company Limited
 (erstwhile DLF Pramerica Life Insurance Company Limited)

Date: 30-Sep-14

(Rs in Lakhs)

| Valuation of net liabilities | | | |
|-------------------------------------|-------------------|------------------|------------------|
| Sl.No. | Particular | As at 30.09.2014 | As at 30.09.2013 |
| 1 | Linked | | |
| a | Life | 20,463 | 16,308 |
| b | General Annuity | - | - |
| c | Pension | 4,367 | 4,205 |
| d | Health | - | - |
| 2 | Non-Linked | | |
| a | Life | 32,517 | 8,726 |
| b | General Annuity | - | - |
| c | Pension | - | - |
| d | Health | - | - |

LIFE INSURANCE

FORM L-25- (i) : Geographical Distribution Channel - Individuals

Insurer: DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)

FOR THE QTR ENDED Sep 30th, 2014

Geographical Distribution of Total Business- Individuals

(Rs in Crore)

| Sl.No. | State / Union Territory | Rural | | | | Urban | | | | Total Business | | | |
|----------------------|---------------------------|-----------------|--------------|--------------------|------------------------|-----------------|--------------|--------------------|------------------------|-----------------|---------------|--------------------|------------------------|
| | | No. of Policies | No. of Lives | Premium (Rs crore) | Sum Assured (Rs crore) | No. of Policies | No. of Lives | Premium (Rs crore) | Sum Assured (Rs crore) | No. of Policies | No. of Lives | Premium (Rs crore) | Sum Assured (Rs crore) |
| 1 | Andhra Pradesh | 128 | 128 | 0.09 | 1.49 | 284 | 279 | 0.58 | 5.29 | 412 | 407 | 0.68 | 6.79 |
| 2 | Arunachal Pradesh | 1 | 1 | 0.00 | 0.02 | 1 | 1 | 0.00 | 0.03 | 2 | 2 | 0.01 | 0.05 |
| 3 | Assam | 160 | 160 | 0.23 | 2.55 | 63 | 62 | 0.14 | 1.72 | 223 | 222 | 0.37 | 4.28 |
| 4 | Bihar | 178 | 175 | 0.21 | 2.67 | 17 | 17 | 0.04 | 0.34 | 195 | 192 | 0.25 | 3.01 |
| 5 | Chattisgarh | 12 | 12 | 0.02 | 0.14 | 146 | 145 | 0.25 | 1.80 | 158 | 157 | 0.27 | 1.94 |
| 6 | Goa | - | - | 0.00 | - | 4 | 4 | 0.00 | 0.08 | 4 | 4 | 0.00 | 0.08 |
| 7 | Gujarat | 21 | 21 | 0.06 | 0.51 | 535 | 515 | 1.45 | 18.95 | 556 | 536 | 1.50 | 19.46 |
| 8 | Haryana | 165 | 165 | 0.36 | 3.04 | 271 | 263 | 0.75 | 9.84 | 436 | 428 | 1.11 | 12.88 |
| 9 | Himachal Pradesh | 17 | 16 | 0.04 | 0.38 | 294 | 287 | 0.67 | 9.40 | 311 | 303 | 0.71 | 9.78 |
| 10 | Jammu & Kashmir | 58 | 58 | 0.20 | 1.07 | 557 | 551 | 0.97 | 10.55 | 615 | 609 | 1.16 | 11.62 |
| 11 | Jharkhand | 3 | 3 | 0.02 | 0.08 | 64 | 64 | 0.19 | 1.16 | 67 | 67 | 0.21 | 1.24 |
| 12 | Karnataka | 10 | 10 | 0.02 | 0.22 | 155 | 152 | 0.28 | 4.40 | 165 | 162 | 0.30 | 4.63 |
| 13 | Kerala | 9 | 9 | 0.02 | 0.15 | 162 | 159 | 0.36 | 2.89 | 171 | 168 | 0.38 | 3.03 |
| 14 | Madhya Pradesh | 363 | 362 | 0.84 | 7.41 | 481 | 475 | 0.92 | 11.18 | 844 | 837 | 1.75 | 18.59 |
| 15 | Maharashtra | 49 | 49 | 0.11 | 1.00 | 2,173 | 2,018 | 5.76 | 51.28 | 2,222 | 2,067 | 5.87 | 52.29 |
| 16 | Manipur | 1 | 1 | 0.00 | 0.02 | 3 | 3 | 0.01 | 0.08 | 4 | 4 | 0.01 | 0.10 |
| 17 | Meghalaya | 1 | 1 | 0.00 | 0.02 | - | - | 0.07 | - | 1 | 1 | 0.07 | 0.02 |
| 18 | Mirzoram | - | - | 0.00 | - | 3 | 3 | 0.00 | 0.05 | 3 | 3 | 0.00 | 0.05 |
| 19 | Nagaland | 21 | 21 | 0.01 | 0.36 | 2 | 2 | 0.00 | 0.03 | 23 | 23 | 0.01 | 0.39 |
| 20 | Orissa | 669 | 668 | 0.84 | 9.09 | 17 | 17 | 0.04 | 0.33 | 686 | 685 | 0.88 | 9.42 |
| 21 | Punjab | 138 | 130 | 0.36 | 3.79 | 1,327 | 1,285 | 3.77 | 44.94 | 1,465 | 1,415 | 4.13 | 48.73 |
| 22 | Rajasthan | 41 | 41 | 0.05 | 0.93 | 746 | 742 | 1.76 | 14.93 | 787 | 783 | 1.81 | 15.86 |
| 23 | Sikkim | - | - | 0.00 | - | - | - | 0.01 | - | - | - | 0.01 | - |
| 24 | Tamil Nadu | 249 | 249 | 0.73 | 7.41 | 186 | 181 | 0.55 | 4.30 | 435 | 430 | 1.28 | 11.71 |
| 25 | Telangana | - | - | - | - | 7 | 6 | 0.01 | 0.11 | 7 | 6 | 0.01 | 0.11 |
| 26 | Tripura | 7 | 7 | 0.01 | 0.10 | 11 | 11 | 0.01 | 0.14 | 18 | 18 | 0.01 | 0.25 |
| 27 | Uttar Pradesh | 797 | 755 | 1.14 | 11.24 | 1,255 | 1,209 | 3.23 | 29.96 | 2,052 | 1,964 | 4.37 | 41.20 |
| 28 | UttraKhand | 27 | 27 | 0.03 | 0.47 | 370 | 368 | 0.73 | 6.04 | 397 | 395 | 0.76 | 6.52 |
| 29 | West Bengal | 328 | 323 | 0.52 | 4.90 | 297 | 289 | 0.76 | 6.38 | 625 | 612 | 1.27 | 11.28 |
| 30 | Andaman & Nicobar Islands | - | - | - | - | - | - | - | - | - | - | - | - |
| 31 | Chandigarh | 34 | 34 | 0.05 | 0.64 | 100 | 96 | 0.25 | 3.75 | 134 | 130 | 0.30 | 4.39 |
| 32 | Dadra & Nagrahaveli | - | - | - | - | 1 | 1 | 0.00 | 0.02 | 1 | 1 | 0.00 | 0.02 |
| 33 | Daman & Diu | - | - | - | - | 1 | 1 | 0.00 | 0.02 | 1 | 1 | 0.00 | 0.02 |
| 34 | Delhi | 18 | 18 | 0.05 | 0.91 | 349 | 328 | 1.33 | 15.68 | 367 | 346 | 1.38 | 16.59 |
| 35 | Lakshadweep | - | - | - | - | - | - | - | - | - | - | - | - |
| 36 | Puducherry | - | - | - | - | 5 | 5 | 0.01 | 0.08 | 5 | 5 | 0.01 | 0.08 |
| COMPANY TOTAL | | 3,505 | 3,444 | 6.00 | 60.62 | 9,887 | 9,539 | 24.90 | 255.76 | 13,392 | 12,983 | 30.90 | 316.38 |

FORM L-25- (i) : Geographical Distribution Channel - Individuals

Insurer: DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)

UP TO THE QTR ENDED Sep 30th, 2014

Geographical Distribution of Total Business- Individuals

(Rs in Crore)

| Sl.No. | State / Union Territory | Rural | | | | Urban | | | | Total Business | | | |
|----------------------|---------------------------|-----------------|--------------|--------------------|------------------------|-----------------|---------------|--------------------|------------------------|-----------------|---------------|--------------------|------------------------|
| | | No. of Policies | No. of Lives | Premium (Rs crore) | Sum Assured (Rs crore) | No. of Policies | No. of Lives | Premium (Rs crore) | Sum Assured (Rs crore) | No. of Policies | No. of Lives | Premium (Rs crore) | Sum Assured (Rs crore) |
| 1 | Andhra Pradesh | 165 | 165 | 0.15 | 2.07 | 478 | 468 | 1.06 | 9.30 | 643 | 633 | 1.21 | 11.38 |
| 2 | Arunachal Pradesh | 5 | 5 | 0.01 | 0.08 | 2 | 2 | 0.00 | 0.04 | 7 | 7 | 0.01 | 0.13 |
| 3 | Assam | 229 | 229 | 0.38 | 3.47 | 76 | 75 | 0.19 | 1.93 | 305 | 304 | 0.57 | 5.40 |
| 4 | Bihar | 374 | 371 | 0.45 | 5.91 | 34 | 34 | 0.11 | 0.84 | 408 | 405 | 0.56 | 6.75 |
| 5 | Chattisgarh | 28 | 28 | 0.05 | 0.33 | 210 | 206 | 0.38 | 2.75 | 238 | 234 | 0.42 | 3.09 |
| 6 | Goa | 2 | 2 | 0.01 | 0.08 | 5 | 5 | 0.01 | 0.09 | 7 | 7 | 0.02 | 0.17 |
| 7 | Gujarat | 39 | 38 | 0.13 | 1.65 | 889 | 856 | 2.56 | 31.11 | 928 | 894 | 2.69 | 32.76 |
| 8 | Haryana | 290 | 288 | 0.71 | 4.96 | 545 | 515 | 1.68 | 21.24 | 835 | 803 | 2.38 | 26.20 |
| 9 | Himachal Pradesh | 43 | 42 | 0.08 | 0.87 | 505 | 492 | 1.22 | 14.15 | 548 | 534 | 1.30 | 15.02 |
| 10 | Jammu & Kashmir | 141 | 141 | 0.44 | 2.34 | 763 | 756 | 1.59 | 14.74 | 904 | 897 | 2.04 | 17.08 |
| 11 | Jharkhand | 7 | 7 | 0.02 | 0.13 | 121 | 121 | 0.33 | 2.24 | 128 | 128 | 0.35 | 2.37 |
| 12 | Karnataka | 18 | 18 | 0.04 | 0.36 | 325 | 321 | 0.68 | 8.59 | 343 | 339 | 0.72 | 8.95 |
| 13 | Kerala | 28 | 28 | 0.06 | 0.40 | 244 | 240 | 0.58 | 4.43 | 272 | 268 | 0.64 | 4.83 |
| 14 | Madhya Pradesh | 576 | 572 | 1.29 | 16.33 | 749 | 738 | 1.55 | 16.26 | 1,325 | 1,310 | 2.84 | 32.59 |
| 15 | Maharashtra | 135 | 134 | 0.33 | 3.13 | 3,804 | 3,585 | 10.78 | 93.13 | 3,939 | 3,719 | 11.11 | 96.26 |
| 16 | Manipur | 1 | 1 | 0.00 | 0.02 | 6 | 6 | 0.01 | 0.13 | 7 | 7 | 0.02 | 0.15 |
| 17 | Meghalaya | 1 | 1 | 0.00 | 0.02 | 16 | 16 | 0.13 | 0.18 | 17 | 17 | 0.13 | 0.21 |
| 18 | Mirzoram | 1 | 1 | 0.00 | 0.02 | 4 | 4 | 0.00 | 0.07 | 5 | 5 | 0.00 | 0.08 |
| 19 | Nagaland | 21 | 21 | 0.01 | 0.36 | 3 | 3 | 0.00 | 0.04 | 24 | 24 | 0.01 | 0.40 |
| 20 | Orissa | 1,919 | 1,913 | 2.32 | 25.74 | 34 | 34 | 0.09 | 0.86 | 1,953 | 1,947 | 2.41 | 26.60 |
| 21 | Punjab | 262 | 247 | 0.71 | 6.96 | 2,486 | 2,399 | 6.90 | 91.20 | 2,748 | 2,646 | 7.61 | 98.16 |
| 22 | Rajasthan | 60 | 60 | 0.10 | 2.14 | 1,361 | 1,344 | 3.46 | 29.81 | 1,421 | 1,404 | 3.56 | 31.95 |
| 23 | Sikkim | - | - | 0.00 | - | - | - | 0.03 | - | - | - | 0.03 | - |
| 24 | Tamil Nadu | 510 | 501 | 1.16 | 12.15 | 382 | 367 | 1.76 | 12.58 | 892 | 868 | 2.92 | 24.73 |
| 25 | Telangana | - | - | - | - | 7 | 6 | 0.01 | 0.11 | 7 | 6 | 0.01 | 0.11 |
| 26 | Tripura | 7 | 7 | 0.01 | 0.10 | 5 | 5 | 0.01 | 0.05 | 12 | 12 | 0.02 | 0.15 |
| 27 | Uttar Pradesh | 1,428 | 1,342 | 2.00 | 20.85 | 2,141 | 2,065 | 5.87 | 50.43 | 3,569 | 3,407 | 7.88 | 71.28 |
| 28 | UttraKhand | 36 | 36 | 0.05 | 0.65 | 602 | 596 | 1.40 | 9.70 | 638 | 632 | 1.46 | 10.35 |
| 29 | West Bengal | 624 | 607 | 1.13 | 9.79 | 502 | 485 | 1.29 | 10.96 | 1,126 | 1,092 | 2.43 | 20.75 |
| 30 | Andaman & Nicobar Islands | - | - | - | - | - | - | - | - | - | - | - | - |
| 31 | Chandigarh | 65 | 65 | 0.07 | 1.17 | 165 | 165 | 0.43 | 6.28 | 230 | 230 | 0.50 | 7.45 |
| 32 | Dadra & Nagrahaveli | - | - | - | - | 1 | 1 | 0.00 | 0.02 | 1 | 1 | 0.00 | 0.02 |
| 33 | Daman & Diu | - | - | - | - | 1 | 1 | 0.00 | 0.02 | 1 | 1 | 0.00 | 0.02 |
| 34 | Delhi | 26 | 26 | 0.07 | 1.08 | 669 | 621 | 2.83 | 31.17 | 695 | 647 | 2.91 | 32.25 |
| 35 | Lakshadweep | - | - | - | - | - | - | - | - | - | - | - | - |
| 36 | Puducherry | - | - | - | - | 6 | 6 | 0.01 | 0.09 | 6 | 6 | 0.01 | 0.09 |
| COMPANY TOTAL | | 7,041 | 6,896 | 11.79 | 123.16 | 17,141 | 16,538 | 46.98 | 464.56 | 24,182 | 23,434 | 58.77 | 587.72 |

FORM L-25- (ii) : Geographical Distribution Channel - Group

Insurer: DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)

FOR THE QTR ENDED Sep 30th, 2014

Geographical Distribution of Total Business- GROUP

(Rs in Crore)

| Sl.No. | State / Union Territory | Rural | | | | Urban | | | | Total Business | | | |
|----------------------|---------------------------|-----------------|----------------|--------------------|------------------------|-----------------|----------------|--------------------|------------------------|-----------------|----------------|--------------------|------------------------|
| | | No. of Policies | No. of Lives | Premium (Rs crore) | Sum Assured (Rs crore) | No. of Policies | No. of Lives | Premium (Rs crore) | Sum Assured (Rs crore) | No. of Policies | No. of Lives | Premium (Rs crore) | Sum Assured (Rs crore) |
| 1 | Andhra Pradesh | 1 | 69,418 | 1.54 | 347.09 | 5 | 317,455 | 3.24 | 662.52 | 6 | 386,873 | 4.78 | 1,009.61 |
| 2 | Arunachal Pradesh | - | - | - | - | - | - | - | - | - | - | - | - |
| 3 | Assam | - | - | - | - | - | - | - | - | - | - | - | - |
| 4 | Bihar | - | - | - | - | - | - | - | - | - | - | - | - |
| 5 | Chattisgarh | - | - | - | - | - | - | - | - | - | - | - | - |
| 6 | Goa | - | - | - | - | - | - | - | - | - | - | - | - |
| 7 | Gujarat | - | - | - | - | - | - | - | - | - | - | - | - |
| 8 | Haryana | - | - | - | - | - | 165 | 0.01 | 17.13 | - | 165 | 0.01 | 17.13 |
| 9 | Himachal Pradesh | - | - | - | - | 2 | 1,526 | 0.15 | 39.83 | 2 | 1,526 | 0.15 | 39.83 |
| 10 | Jammu & Kashmir | - | - | - | - | - | - | - | - | - | - | - | - |
| 11 | Jharkhand | - | - | - | - | - | - | - | - | - | - | - | - |
| 12 | Karnataka | - | - | - | - | 1 | 2,317 | 1.62 | 244.31 | 1 | 2,317 | 1.62 | 244.31 |
| 13 | Kerala | 2 | 130,115 | 1.18 | 220.36 | 4 | 199,974 | 1.83 | 342.81 | 6 | 330,089 | 3.01 | 563.17 |
| 14 | Madhya Pradesh | 3 | 58,007 | 1.28 | 289.48 | - | - | - | - | 3 | 58,007 | 1.28 | 289.48 |
| 15 | Maharashtra | - | - | - | - | - | 16,285 | 77.30 | 1,933.37 | - | 16,285 | 77.30 | 1,933.37 |
| 16 | Manipur | - | - | - | - | - | - | - | - | - | - | - | - |
| 17 | Meghalaya | - | - | - | - | - | - | - | - | - | - | - | - |
| 18 | Mizoram | - | - | - | - | - | - | - | - | - | - | - | - |
| 19 | Nagaland | - | - | - | - | - | - | - | - | - | - | - | - |
| 20 | Orissa | - | - | - | - | - | (4) | (0.00) | (0.20) | - | (4) | (0.00) | (0.20) |
| 21 | Punjab | - | - | - | - | - | - | - | - | - | - | - | - |
| 22 | Rajasthan | - | - | - | - | - | - | - | - | - | - | - | - |
| 23 | Sikkim | - | - | - | - | - | - | - | - | - | - | - | - |
| 24 | Tamil Nadu | 3 | 23,694 | 0.11 | 47.77 | - | 71 | 0.00 | 4.55 | 3 | 23,765 | 0.11 | 52.32 |
| 25 | Telangana | - | - | - | - | - | - | - | - | - | - | - | - |
| 26 | Tripura | - | - | - | - | - | - | - | - | - | - | - | - |
| 27 | Uttar Pradesh | 3 | 13,210 | 0.09 | 23.63 | - | - | - | - | 3 | 13,210 | 0.09 | 23.63 |
| 28 | UttraKhand | - | - | - | - | - | - | - | - | - | - | - | - |
| 29 | West Bengal | - | - | - | - | - | - | - | - | - | - | - | - |
| 30 | Andaman & Nicobar Islands | - | - | - | - | - | - | - | - | - | - | - | - |
| 31 | Chandigarh | - | - | - | - | - | - | - | - | - | - | - | - |
| 32 | Dadra & Nagrahaveli | - | - | - | - | - | - | - | - | - | - | - | - |
| 33 | Daman & Diu | - | - | - | - | - | - | - | - | - | - | - | - |
| 34 | Delhi | - | - | - | - | - | 1 | 0.00 | 0.05 | - | 1 | 0.00 | 0.05 |
| 35 | Lakshadweep | - | - | - | - | - | - | - | - | - | - | - | - |
| 36 | Puducherry | - | - | - | - | - | - | - | - | - | - | - | - |
| COMPANY TOTAL | | 12 | 294,444 | 4.19 | 928.33 | 12 | 537,790 | 84.15 | 3,244.37 | 24 | 832,234 | 88.35 | 4,172.70 |

** Business procured from rural areas as defined in; (i) the latest decennial census of India and (ii) the third and fourth ammendment to IRDA(Obligation of Insurers to Rural or Social Sectors) Regulation, 2008 conveyed vide Circular no. 320.1/1/F&A/AR-R&SS/257/Feb./2007-08, dated 8th February, 2008.

FORM L-25- (ii) : Geographical Distribution Channel - GROUP

Insurer: DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)

UP TO THE QTR ENDED Sep 30th, 2014

| Geographical Distribution of Total Business- GROUP | | | | | | | | | | | | | |
|---|---------------------------|-----------------|----------------|--------------------|------------------------|-----------------|----------------|--------------------|------------------------|-----------------|------------------|--------------------|------------------------|
| (Rs in Crore) | | | | | | | | | | | | | |
| Sl.No. | State / Union Territory | Rural | | | | Urban | | | | Total Business | | | |
| | | No. of Policies | No. of Lives | Premium (Rs crore) | Sum Assured (Rs crore) | No. of Policies | No. of Lives | Premium (Rs crore) | Sum Assured (Rs crore) | No. of Policies | No. of Lives | Premium (Rs crore) | Sum Assured (Rs crore) |
| 1 | Andhra Pradesh | 1 | 69,418 | 1.54 | 347.09 | 12 | 458,413 | 4.18 | 981.44 | 13 | 527,831 | 5.72 | 1,328.53 |
| 2 | Arunachal Pradesh | - | - | - | - | - | - | - | - | - | - | - | - |
| 3 | Assam | - | - | - | - | - | - | - | - | - | - | - | - |
| 4 | Bihar | - | - | - | - | - | - | - | - | - | - | - | - |
| 5 | Chattisgarh | - | - | - | - | - | - | - | - | - | - | - | - |
| 6 | Goa | - | - | - | - | - | - | - | - | - | - | - | - |
| 7 | Gujarat | - | - | - | - | - | - | - | - | - | - | - | - |
| 8 | Haryana | - | - | - | - | 2 | 6,293 | 0.72 | 865.28 | 2 | 6,293 | 0.72 | 865.28 |
| 9 | Himachal Pradesh | - | - | - | - | 2 | 1,526 | 0.15 | 39.83 | 2 | 1,526 | 0.15 | 39.83 |
| 10 | Jammu & Kashmir | - | - | - | - | - | - | - | - | - | - | - | - |
| 11 | Jharkhand | - | - | - | - | - | - | - | - | - | - | - | - |
| 12 | Karnataka | - | - | - | - | 3 | 5,627 | 3.61 | 583.83 | 3 | 5,627 | 3.61 | 583.83 |
| 13 | Kerala | 5 | 163,100 | 1.46 | 272.96 | 4 | 199,974 | 1.83 | 342.81 | 9 | 363,074 | 3.29 | 615.77 |
| 14 | Madhya Pradesh | 5 | 60,908 | 1.35 | 301.76 | - | - | - | - | 5 | 60,908 | 1.35 | 301.76 |
| 15 | Maharashtra | - | - | - | - | - | 30,948 | 149.02 | 3,697.87 | - | 30,948 | 149.02 | 3,697.87 |
| 16 | Manipur | - | - | - | - | - | - | - | - | - | - | - | - |
| 17 | Meghalaya | - | - | - | - | - | - | - | - | - | - | - | - |
| 18 | Mirzoram | - | - | - | - | - | - | - | - | - | - | - | - |
| 19 | Nagaland | - | - | - | - | - | - | - | - | - | - | - | - |
| 20 | Orissa | - | - | - | - | 1 | 596 | 0.10 | 28.24 | 1 | 596 | 0.10 | 28.24 |
| 21 | Punjab | - | - | - | - | - | - | - | - | - | - | - | - |
| 22 | Rajasthan | - | - | - | - | - | - | - | - | - | - | - | - |
| 23 | Sikkim | - | - | - | - | - | - | - | - | - | - | - | - |
| 24 | Tamil Nadu | 5 | 46,052 | 0.21 | 92.95 | - | 82 | 0.00 | 5.35 | 5 | 46,134 | 0.21 | 98.30 |
| 25 | Telangana | - | - | - | - | - | - | - | - | - | - | - | - |
| 26 | Tripura | - | - | - | - | - | - | - | - | - | - | - | - |
| 27 | Uttar Pradesh | 7 | 28,382 | 0.20 | 54.20 | - | - | - | - | 7 | 28,382 | 0.20 | 54.20 |
| 28 | UttrKhand | - | - | - | - | - | - | - | - | - | - | - | - |
| 29 | West Bengal | - | - | - | - | - | - | - | - | - | - | - | - |
| 30 | Andaman & Nicobar Islands | - | - | - | - | - | - | - | - | - | - | - | - |
| 31 | Chandigarh | - | - | - | - | - | - | - | - | - | - | - | - |
| 32 | Dadra & Nagrahaveli | - | - | - | - | - | - | - | - | - | - | - | - |
| 33 | Daman & Diu | - | - | - | - | - | - | - | - | - | - | - | - |
| 34 | Delhi | - | - | - | - | - | - | 0.00 | (0.01) | - | - | 0.00 | (0.01) |
| 35 | Lakshadweep | - | - | - | - | - | - | - | - | - | - | - | - |
| 36 | Puducherry | - | - | - | - | - | - | - | - | - | - | - | - |
| COMPANY TOTAL | | 23 | 367,860 | 4.76 | 1,068.96 | 24 | 703,459 | 159.61 | 6,544.63 | 47 | 1,071,319 | 164.36 | 7,613.60 |

** Business procured from rural areas as defined in; (i) the latest decennial census of India and (ii) the third and fourth ammendment to IRDA(Obligation of Insurers to Rural or Social Sectors) Regulation, 2008 conveyed vide Circular no. 320.1/1/F&A/AR-R&SS/257/Feb./2007-08, dated 8th February, 2008.

FORM L-26-INVESTMENT ASSETS(LIFE INSURERS)-3A

PART - A

Company Name & Code:

DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)

Statement as on:

30-Sep-14

Statement of Investment Assets (Life Insurers)

(Business within India)

Periodicity of Submission: Quarterly

Quarterly

in Lakhs

| | | | | | |
|--|--------|-------------------------|--|--|--|
| Total Application as per Balance Sheet (A) | | <u>178074.03</u> | | | |
| Add (B) | | | | | |
| Provisions | Sch-14 | 538.20 | | | |
| Current Liabilities | Sch-13 | <u>13948.18</u> | | | |
| Less (C) | | | | | |
| Debit Balance in P & L A/c | | 49483.01 | | | |
| Deferred tax asset | | 7325.98 | | | |
| Loans | Sch-09 | 7.23 | | | |
| Adv & Other Assets | Sch-12 | 5401.07 | | | |
| Cash & Bank Balance | Sch-11 | 1562.32 | | | |
| Fixed Assets | Sch-10 | 1460.49 | | | |
| Misc Exp Not Written Off | Sch-15 | 0.00 | | | |
| Funds available for Investments | | <u><u>127327.54</u></u> | | | |

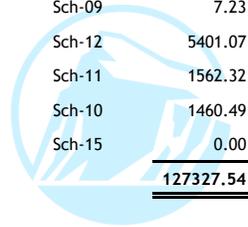
Reconciliation of Investment Assets

Total Investment Assets (as per Balance Sheet)

127327.54

Balance Sheet Value of:

| | |
|-----------------------------------|-------------------------|
| A. Life Fund | 89163.17 |
| B. Pension & General Annuity Fund | 13487.94 |
| C. Unit Linked Funds | 24698.53 |
| | <u><u>127349.64</u></u> |



NON - LINKED BUSINESS

| A. LIFE FUND | | | % as per Reg | SH | | PH | | | Book Value (SH+PH) | Actual % | FVC Amount | Total Fund | Market Value | |
|------------------------|---|---|-------------------|---------|-----------|------------------|---------------|-----------------|--------------------|------------------|-------------|--------------|------------------|------------------|
| | | | | Balance | FRSM + | UL-Non Unit Res | PAR | NON PAR | | | | | | |
| | | | | (a) | (b) | (c) | (d) | (e) | F= [b+c+d+e] | | | | | |
| 1 | 'G. Sec | | Not Less than 25% | - | 40,169.47 | 156.44 | 2,317.63 | 10,448.62 | 53,092.16 | 60% | - | 53,092.16 | 52,807.20 | |
| 2 | G.Sec or Other Approved Securities (incl (i) above) | | Not Less than 50% | - | 42,098.10 | 156.44 | 2,317.63 | 10,548.78 | 55,120.95 | 62% | - | 55,120.95 | 54,792.60 | |
| 3 | Investment Subject to Exposure Norms | | | | - | - | - | - | - | | | - | - | |
| | a. | Housing & Infrastructure | | | - | - | - | - | - | | | - | - | |
| | | i) Approved Investments | | | 12,064.62 | 91.46 | 1,510.24 | 5,446.15 | 19,112.47 | 0.21 | - | 19,112.47 | 19,312.26 | |
| | | 2. Other Investments | Not Less than 15% | | 27.48 | - | - | - | 27.48 | | | 27.48 | 27.00 | |
| | | b. i) Approved Investments | | | 4,430.15 | 84.58 | 1,830.32 | 2,834.96 | 9,180.01 | 0.10 | 0.29 | 9,209.51 | 9,193.78 | |
| | | ii) "Other Investments" not to exceed 15% | Not exceeding 35% | | - | 5,011.50 | - | - | 682.13 | 6% | (0.01) | 5,692.75 | 5,693.63 | |
| TOTAL LIFE FUND | | | 100% | | - | 63,631.85 | 332.48 | 5,658.18 | 19,512.02 | 89,134.55 | 100% | 28.62 | 89,163.17 | 89,019.27 |

FORM L-26-INVESTMENT ASSETS(LIFE INSURERS)-3A

PART - A

Company Name & Code:

DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)

Statement as on:

30-Sep-14

Statement of Investment Assets (Life Insurers)

(Business within India)

Periodicity of Submission: Quarterly

Quarterly

in Lakhs

| B. PENSION AND GENERAL ANNUITY FUND | | | % as per Reg | PH | | Book Value | Actual % | FVC Amount | Total Fund | Market Value |
|--|---|--|-------------------|----------|------------------|------------------|-------------|-------------|------------------|------------------|
| | | | | PAR | NON PAR | | | | | |
| 1 | G. Sec | | Not Less than 20% | - | 5,456.45 | 5,456.45 | 40% | - | 5,456.45 | 5,533.55 |
| 2 | G.Sec or Other Approved Securities (incl (i) above) | | Not Less than 40% | - | 5,958.24 | 5,958.24 | 44% | - | 5,958.24 | 6,058.67 |
| 3 | Balance in Approved investment | | Not Exceeding 60% | - | 7,529.04 | 7,529.04 | 56% | 0.67 | 7,529.71 | 7,615.28 |
| TOTAL PENSION, GENERAL ANNUITY FUND | | | 100% | - | 13,487.27 | 13,487.27 | 100% | 0.67 | 13,487.94 | 13,673.95 |

LINKED BUSINESS

| C. LINKED FUNDS | | | % as per Reg | PH | | Total Fund | Actual % |
|------------------------------------|---------------------|--|-------------------|----------|-----------------|-----------------|-------------|
| | | | | PAR | NON PAR | | |
| 1 | Approved Investment | | Not Less than 75% | - | 24197.90 | 24197.90 | 98% |
| 2 | Other Investments | | Not More than 25% | - | 500.63 | 500.63 | 2% |
| TOTAL LINKED INSURANCE FUND | | | 100% | - | 24698.53 | 24698.53 | 100% |

CERTIFICATION:

Certified that the information given here in are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Note: (+) FRMS refers to 'Funds representing Solvency Margin'

Pattern of Investment will apply only to Shareholders (SH) funds representing FRSM ("F")

Funds beyond Solvency Margin shall have a separate Custody Account.

Other Investments are as permitted under Section 27A(2) and 27B(3) of Insurance Act, 1938

FORM L-27-UNIT LINKED BUSINESS-3A

Unit Linked Insurance Business

PART - B

Company Name: DHFL Pramerica Life Insurance Company | Link to Item 'C' of FORM 3A (Part A)
 CODE: 140
 STATEMENT AS ON: 30-Sep-14
 Periodicity of Submission: Quarterly

Par / Non-Par

in Lakhs

| PARTICULARS | DEBT FUND | BALANCED FUND | GROWTH FUND | LARGE CAP EQUITY FUND | PENSION DEBT FUND | PENSION BALANCED | PENSION GROWTH FUND | PENSION DYNAMIC EQUITY | LIQUID FUND | DISCONTINUED POLICY FUND | Total of All Funds |
|---|-------------------------------|-------------------------------|-------------------------------|------------------------------|------------------------------|-------------------------------|-------------------------------|-----------------------------|-------------------------------|-------------------------------|--------------------|
| | ULIF00127/08/08FI XEDIFUND140 | ULIF00227/08/08B ALANCFUND140 | ULIF00327/08/08G ROWTHFUND140 | ULIF00427/08/08L ARCAFUND140 | ULIF00509/02/09 PENDEFUND140 | ULIF00609/02/09 PENBALFUND140 | ULIF00709/02/09 PENGROFUND140 | ULIF00809/02/09P ENDFUND140 | ULIF00920/01/11 LIQUIDFUND140 | ULIF01024/02/11DI SCONFUND140 | |
| Opening Balance (Market Value) | 2135.72 | 3650.22 | 3702.81 | 7701.95 | 551.37 | 328.44 | 725.89 | 3129.32 | 3.64 | 2974.66 | 24904.03 |
| Add: Inflow during the Quarter | 60.50 | 78.00 | 50.00 | 26.00 | 43.00 | 15.50 | 5.50 | 48.00 | 0.00 | 275.50 | 602.00 |
| Increase / (Decrease) Value of Inv [Net] | 44.05 | 113.79 | 169.49 | 415.41 | 6.79 | -22.97 | 8.21 | 180.69 | 23.92 | 27.81 | 967.20 |
| Less: Outflow during the Quarter | 183.00 | 175.50 | 256.50 | 478.00 | 111.50 | 76.50 | 124.50 | 343.00 | 25.70 | 0.50 | 1774.70 |
| TOTAL INVESTIBLE FUNDS (MKT VALUE) | 2057.27 | 3666.51 | 3665.81 | 7665.36 | 489.66 | 244.47 | 615.10 | 3015.02 | 1.86 | 3277.48 | 24698.53 |

| INVESTMENT OF UNIT FUND | DEBT FUND | | BALANCED FUND | | GROWTH FUND | | LARGE CAP EQUITY FUND | | PENSION DEBT FUND | | PENSION BALANCED FUND | | PENSION GROWTH FUND | | PENSION DYNAMIC EQUITY FUND | | LIQUID FUND | | DISCONTINUED POLICY FUND | | Total of All Funds | |
|---|----------------|-------------|----------------|-------------|----------------|-------------|-----------------------|-------------|-------------------|-------------|-----------------------|-------------|---------------------|-------------|-----------------------------|-------------|--------------|--------------|--------------------------|-------------|--------------------|-------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | | | | | | | | | | | |
| Government Bonds | 1126.08 | 55% | 882.85 | 24% | 470.83 | 13% | 0.00 | 0% | 119.34 | 24% | 53.18 | 22% | 60.82 | 10% | 0.00 | 0% | 4.71 | 253% | 2856.40 | 87% | 5574.21 | 23% |
| Corporate Bonds | 385.85 | 19% | 623.33 | 17% | 375.10 | 10% | 0.00 | 0% | 162.50 | 33% | 51.40 | 21% | 69.89 | 11% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 1668.06 | 7% |
| Infrastructure Bonds | 508.78 | 25% | 847.37 | 23% | 427.51 | 12% | 0.00 | 0% | 171.27 | 35% | 81.17 | 33% | 94.68 | 15% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 2130.78 | 9% |
| Equity | 0.00 | 0% | 1094.57 | 30% | 2171.27 | 59% | 7362.73 | 96% | 0.00 | 0% | 89.44 | 37% | 383.43 | 62% | 2882.24 | 96% | 0.00 | 0% | 0.00 | 0% | 13983.69 | 57% |
| Money Market | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% |
| Mutual Funds | 10.00 | 0% | 58.41 | 2% | 40.19 | 1% | 97.65 | 1% | 10.00 | 2% | 4.03 | 2% | 28.11 | 5% | 40.12 | 1% | 0.00 | 0% | 121.48 | 4% | 409.99 | 2% |
| Deposit with banks | 0.00 | 0% | 100.00 | 3% | 110.00 | 3% | 20.00 | 0% | 23.00 | 5% | 0.00 | 0% | 0.00 | 0% | 12.00 | 0% | 0.00 | 0% | 330.00 | 10% | 595.00 | 2% |
| Sub Total (A) | 2030.71 | 99% | 3606.53 | 98% | 3594.90 | 98% | 7480.38 | 98% | 486.10 | 99% | 279.22 | 114% | 636.94 | 104% | 2934.37 | 97% | 4.71 | 253% | 3307.88 | 101% | 24361.73 | 99% |
| Current Assets: | 0.00 | | 0.00 | | 0.00 | | 0.00 | | 0.00 | | 0.00 | | 0.00 | | 0.00 | | 0.00 | | 0.00 | | 0.00 | |
| Accrued Interest | 66.18 | 3% | 85.79 | 2% | 58.23 | 2% | 8.10 | 0% | 25.92 | 5% | 6.48 | 3% | 7.10 | 1% | 4.86 | 0% | 0.00 | 0% | 20.33 | 1% | 282.99 | 1% |
| Dividend Receivable | 0.00 | 0% | 0.11 | 0% | 0.20 | 0% | 0.68 | 0% | 0.00 | 0% | 0.01 | 0% | 0.05 | 0% | 0.29 | 0% | 0.00 | 0% | 0.00 | 0% | 1.33 | 0% |
| Bank Balance | -3.04 | 0% | 4.64 | 0% | 4.71 | 0% | 10.05 | 0% | 1.36 | 0% | 0.39 | 0% | 1.08 | 0% | 4.24 | 0% | -0.05 | 2% | -1.68 | 0% | 31.23 | 0% |
| Receivable for Unitholders - subs | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% |
| Receivable for Sale of Investments | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% |
| Other Current Assets (for Investments) | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% |
| Less: Current Liabilities | 0.00 | | 0.00 | | 0.00 | | 0.00 | | 0.00 | | 0.00 | | 0.00 | | 0.00 | | 0.00 | | 0.00 | | 0.00 | |
| Payable for Investments | 0.00 | 0% | -20.78 | -1% | -20.78 | -1% | 0.00 | 0% | -10.39 | -2% | 0.00 | 0% | -10.39 | -2% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | -62.33 | 0% |
| Payable for Unitholders - reds | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% |
| Fund Mgmt Charges Payable | -2.34 | 0% | -4.64 | 0% | -4.69 | 0% | -9.87 | 0% | -0.57 | 0% | -0.38 | 0% | -0.83 | 0% | -3.87 | 0% | -0.01 | 0% | -1.50 | 0% | -28.71 | 0% |
| Other Current Liabilities (for Investments) | -40.32 | -2% | -46.57 | -1% | -44.93 | -1% | -86.35 | -1% | -12.76 | -3% | -44.74 | -18% | -31.70 | -5% | -27.19 | -1% | -2.88 | -155% | -50.91 | -2% | -388.34 | -2% |
| Sub Total (B) | 26.56 | 1% | 18.55 | 1% | -7.25 | 0% | -77.39 | -1% | 3.56 | 1% | -38.24 | -16% | -34.69 | -6% | -21.67 | -1% | -2.85 | -153% | -30.41 | -1% | -163.83 | -1% |
| Other Investments (<=25%) | 0.00 | | 0.00 | | 0.00 | | 0.00 | | 0.00 | | 0.00 | | 0.00 | | 0.00 | | 0.00 | | 0.00 | | 0.00 | |
| Corporate Bonds | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Infrastructure Bonds | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Equity | 0.00 | 0.00 | 41.44 | 0.01 | 78.15 | 0.02 | 262.38 | 0.03 | 0.00 | 0.00 | 3.49 | 0.01 | 12.86 | 0.02 | 102.32 | 0.03 | 0.00 | 0.00 | 0.00 | 0.00 | 500.63 | 0.02 |
| Money Market | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Mutual funds | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Sub Total (C) | 0.00 | 0% | 41.44 | 1% | 78.15 | 2% | 262.38 | 3% | 0.00 | 0% | 3.49 | 1% | 12.86 | 2% | 102.32 | 3% | 0.00 | 0% | 0.00 | 0% | 500.63 | 2% |
| Total (A + B + C) | 2057.27 | 100% | 3666.51 | 100% | 3665.81 | 100% | 7665.36 | 100% | 489.66 | 100% | 244.47 | 100% | 615.10 | 100% | 3015.02 | 100% | 1.86 | 100% | 3277.48 | 100% | 24698.53 | 100% |
| Fund Carried Forward (as per LB 2) | 2057.27 | - | 3666.51 | - | 3665.81 | - | 7665.36 | - | 489.66 | - | 244.47 | - | 615.10 | - | 3015.02 | - | 1.86 | - | 3277.48 | - | 24698.53 | - |

Note:

- The aggregate of all the above Segregated Unit-Fund should tally with item C of FORM 3A (Part A), for both Par & Non Par Business
- Details of Item 12 of FORM LB 2 of IRDA (Actuarial Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B)
- Other Investments' are as permitted under Sec 27A(2) and 27B(3)

FORM L-28-ULIP-NAV-3A

PART - C

Company Name: DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)

CODE: 140 Link to FORM 3A (Part B)

STATEMENT AS ON: 30-Sep-14

Periodicity of Submission: Quarterly

| No | Name of the Scheme | SFIN | Assets Held on the above date | NAV as on the above date | NAV as per LB 2 | Previous Qtr NAV | 2nd Previous Qtr NAV | 3rd Previous Qtr NAV | Annualised Return/Yield | 3 Year Rolling CAGR |
|----|-----------------------------|------------------------------|-------------------------------|--------------------------|-----------------|------------------|----------------------|----------------------|-------------------------|---------------------|
| 1 | Debt Fund | ULIF00127/08/08FIXEDIFUND140 | 2,057.27 | 15.1200 | NA | 14.8033 | 14.3370 | 14.0183 | 10.59% | 7.53% |
| 2 | Balanced Fund | ULIF00227/08/08BALANCFUND140 | 3,666.51 | 17.6280 | NA | 17.1065 | 16.0783 | 15.5842 | 18.55% | 10.47% |
| 3 | Growth Fund | ULIF00327/08/08GROWTHFUND140 | 3,665.81 | 19.1634 | NA | 18.4203 | 16.8637 | 16.1728 | 26.99% | 13.21% |
| 4 | Large Cap Equity Fund | ULIF00427/08/08LARCAPFUND140 | 7,665.36 | 21.2147 | NA | 20.1783 | 17.8851 | 16.9326 | 37.80% | 16.59% |
| 5 | Pension Debt Fund | ULIF00509/02/09PENDEBFUND140 | 489.66 | 14.1382 | NA | 13.8439 | 13.3746 | 13.0839 | 10.75% | 8.03% |
| 6 | Pension Balanced Fund | ULIF00609/02/09PENBALFUND140 | 244.47 | 17.3856 | NA | 16.8706 | 15.8275 | 15.3457 | 18.90% | 10.48% |
| 7 | Pension Growth Fund | ULIF00709/02/09PENGROFUND140 | 615.10 | 22.6576 | NA | 21.7684 | 19.9005 | 19.0998 | 27.26% | 13.31% |
| 8 | Pension Dynamic Equity Fund | ULIF00809/02/09PENDYEFUND140 | 3,015.02 | 25.2825 | NA | 24.0438 | 21.3088 | 20.1842 | 37.81% | 16.50% |
| 8 | Liquid Fund | ULIF00920/01/11LIQUIDFUND140 | 1.86 | 12.8826 | NA | 12.6458 | 12.4121 | 12.1912 | 7.71% | 7.24% |
| 8 | Discontinued Policy Fund | ULIF01024/02/11DISCONFUND140 | 3,277.48 | 13.2490 | NA | 12.9819 | 12.7237 | 12.4776 | 8.31% | 8.18% |
| | | Total | 24,698.53 | | | | | | | |

Note:

LIFE INSURANCE

1. NAV should reflect the published NAV on the reporting date.

FORM L-29 : Detail regarding debt securities - Life

Date: 30-Sep-14

Insurer: DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)

(Rs in Lac)

| in Lakhs | MARKET VALUE | | | | Book Value | | | |
|--|---------------------|------------------------------|---------------------|------------------------------|---------------------|------------------------------|---------------------|------------------------------|
| | As at 30th Sep 2014 | as % of total for this class | As at 30th Sep 2013 | as % of total for this class | As at 30th Sep 2014 | as % of total for this class | As at 30th Sep 2013 | as % of total for this class |
| Break down by credit rating | | | | | | | | |
| AAA rated | 22045.75 | 30% | 5,797.33 | 28% | 21815.69 | 29% | 5923.54 | 27% |
| AA or better | 1800.67 | 2% | 1,705.37 | 15% | 1817.19 | 2% | 1749.19 | 15% |
| Rated below AA but above A | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% |
| Rated below A but above B | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% |
| Any other-Sovereign Securities | 50048.09 | 68% | 12,158.66 | 58% | 50376.44 | 68% | 13001.64 | 58% |
| | 73894.52 | 100% | 19,661.36 | 100% | 74009.32 | 100% | 20674.37 | 100% |
| BREAKDOWN BY RESIDUAL MATURITY | | | | | | | | |
| Up to 1 year | 1,898.26 | 3% | 702.53 | 5% | 1898.02 | 3% | 701.23 | 5% |
| more than 1 year and upto 3years | 5,062.88 | 7% | 2,107.42 | 13% | 5103.38 | 7% | 2152.21 | 13% |
| More than 3years and up to 7years | 9,139.50 | 12% | 3,429.96 | 20% | 9139.04 | 12% | 3578.68 | 20% |
| More than 7 years and up to 10 years | 28,065.55 | 38% | 6,757.53 | 32% | 28015.07 | 38% | 7030.60 | 32% |
| More than 10 years and up to 15 years | 6,418.41 | 9% | 3,120.63 | 15% | 6449.32 | 9% | 3342.41 | 16% |
| More than 15 years and up to 20 years | 11,180.16 | 15% | 1,078.85 | 6% | 11228.63 | 15% | 1156.43 | 6% |
| Above 20 years | 12,129.76 | 16% | 2,464.43 | 9% | 12175.85 | 16% | 2712.80 | 9% |
| | 73,894.52 | 100% | 19,661.36 | 100% | 74009.32 | 100% | 20674.37 | 100% |
| Breakdown by type of the issuer | | | | | | | | |
| a. Central Government | 48,530.51 | 66% | 11,202.32 | 53% | 48867.01 | 66% | 11996.72 | 53% |
| b. State Government | 1,517.58 | 2% | 956.34 | 5% | 1509.43 | 2% | 1004.92 | 5% |
| c. Corporate Securities | 23,846.43 | 32% | 7,502.70 | 42% | 23632.88 | 32% | 7672.73 | 42% |
| | 73,894.52 | 100% | 19661.36 | 100% | 74009.32 | 100% | 20674.37 | 100% |

Note

1. In case of a debt instrument is rated by more than one agency, then the lowest rating will be taken for the purpose of classification.
2. The detail of ULIP and Non-ULIP will be given separately.
3. Market value of the securities will be in accordance with the valuation method specified by the Authority under Accounting/ Investment

FORM L-29 : Detail regarding debt securities - Pension

Date: 30-Sep-14

Insurer: DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)

| in Lakhs | MARKET VALUE | | | | Book Value | | | |
|--|---------------------|------------------------------|---------------------|------------------------------|---------------------|------------------------------|---------------------|------------------------------|
| | As at 30th Sep 2014 | as % of total for this class | As at 30th Sep 2013 | as % of total for this class | As at 30th Sep 2014 | as % of total for this class | As at 30th Sep 2013 | as % of total for this class |
| Break down by credit rating | | | | | | | | |
| AAA rated | 5573.55 | 43% | - | 0% | 5494.02 | 43% | - | 0% |
| AA or better | 1476.71 | 11% | - | 0% | 1470.00 | 11% | - | 0% |
| Rated below AA but above A | 0.00 | 0% | - | 0% | 0.00 | 0% | - | 0% |
| Rated below A but above B | 0.00 | 0% | - | 0% | 0.00 | 0% | - | 0% |
| Any other-Sovereign Securities | 5962.36 | 46% | - | 0% | 5861.92 | 46% | - | 0% |
| | 13012.62 | 100% | 0.00 | 0% | 12825.94 | 100% | 0.00 | 0% |
| BREAKDOWN BY RESIDUAL MATURITY | | | | | | | | |
| Up to 1 year | 0.00 | 0% | - | 0% | 0.00 | 0% | - | 0% |
| more than 1 year and upto 3 years | 0.00 | 0% | - | 0% | 0.00 | 0% | - | 0% |
| More than 3 years and up to 7 years | 7658.69 | 59% | - | 0% | 7585.29 | 59% | - | 0% |
| More than 7 years and up to 10 years | 3786.76 | 29% | - | 0% | 3712.39 | 29% | - | 0% |
| More than 10 years and up to 15 years | 1567.17 | 12% | - | 0% | 1528.26 | 12% | - | 0% |
| More than 15 years and up to 20 years | 0.00 | 0% | - | 0% | 0.00 | 0% | - | 0% |
| Above 20 years | 0.00 | 0% | - | 0% | 0.00 | 0% | - | 0% |
| | 13012.62 | 100% | 0.00 | 0% | 12825.94 | 100% | 0.00 | 0% |
| Breakdown by type of the issuer | | | | | | | | |
| a. Central Government | 5437.24 | 42% | - | 0% | 5360.13 | 0% | - | 0% |
| b. State Government | 525.12 | 4% | - | 0% | 501.79 | 0% | - | 0% |
| c. Corporate Securities | 7050.26 | 54% | - | 0% | 6964.02 | 1% | - | 0% |
| | 13012.62 | 100% | 0.00 | 0% | 1282594.05 | 1% | 0.00 | 0% |

Note

1. In case of a debt instrument is rated by more than one agency, then the lowest rating will be taken for the purpose of classification.
2. The detail of ULIP and Non-ULIP will be given separately.
3. Market value of the securities will be in accordance with the valuation method specified by the Authority under Accounting/ Investment regulations.

FORM L-29 : Detail regarding debt securities - Linked

Date: 30-Sep-14

Insurer: DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)

| in Lakhs | MARKET VALUE | | | | Book Value | | | |
|--|---------------------|------------------------------|---------------------|------------------------------|---------------------|------------------------------|---------------------|------------------------------|
| | As at 30th Sep 2014 | as % of total for this class | As at 30th Sep 2013 | as % of total for this class | As at 30th Sep 2014 | as % of total for this class | As at 30th Sep 2013 | as % of total for this class |
| Break down by credit rating | | | | | | | | |
| AAA rated | 2830.93 | 43% | 2210.80 | 40% | 2810.29 | 43% | 2264.52 | 40% |
| AA or better | 967.90 | 15% | 620.78 | 18% | 929.14 | 14% | 629.88 | 18% |
| Rated below AA but above A | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% |
| Rated below A but above B | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% |
| Any other-Sovereign Securities | 2713.10 | 42% | 2519.63 | 42% | 2799.70 | 43% | 2709.34 | 42% |
| | 6511.94 | 100% | 5351.22 | 100% | 6539.12 | 100% | 5603.73 | 100% |
| BREAKDOWN BY RESIDUAL MATURITY | | | | | | | | |
| Up to 1 year | 545.90 | 8% | 294.18 | 5% | 556.22 | 9% | 293.54 | 5% |
| more than 1 year and upto 3 years | 947.39 | 15% | 728.31 | 17% | 920.25 | 14% | 745.96 | 17% |
| More than 3 years and up to 7 years | 1803.01 | 28% | 835.07 | 17% | 1804.05 | 28% | 860.41 | 17% |
| More than 7 years and up to 10 years | 1892.47 | 29% | 2153.68 | 43% | 1868.99 | 29% | 2225.30 | 42% |
| More than 10 years and up to 15 years | 1030.01 | 16% | 1106.82 | 18% | 1068.39 | 16% | 1203.91 | 18% |
| More than 15 years and up to 20 years | 293.16 | 5% | 233.16 | 0% | 321.23 | 5% | 274.61 | 0% |
| Above 20 years | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% |
| | 6511.94 | 100% | 5351.22 | 100% | 6539.12 | 100% | 5603.73 | 100% |
| Breakdown by type of the issuer | | | | | | | | |
| a. Central Government | 2693.03 | 41% | 2500.03 | 41% | 2779.63 | 43% | 2689.28 | 42% |
| b. State Government | 20.07 | 0% | 19.60 | 0% | 20.06 | 0% | 20.06 | 0% |
| c. Corporate Securities | 3798.84 | 58% | 2831.59 | 58% | 3739.43 | 57% | 2894.40 | 58% |
| | 6511.94 | 100% | 5351.22 | 100% | 6539.12 | 100% | 5603.73 | 100% |

Note

1. In case of a debt instrument is rated by more than one agency, then the lowest rating will be taken for the purpose of classification.
2. The detail of ULIP and Non-ULIP will be given separately.
3. Market value of the securities will be in accordance with the valuation method specified by the Authority under Accounting/ Investment regulations.

FORM L-30 : Related Party Transactions

Insurer: DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)

Date: **30-Sep-14**
(Rs in Lakhs)

| Sl.No. | Name of the Related Party | Nature of Relationship with the Company | Description of Transactions / Categories | Consideration paid / received* | | | |
|--------|--|---|--|------------------------------------|--------------------------------------|------------------------------------|--------------------------------------|
| | | | | For the quarter ended Sep 30, 2014 | Up to the Quarter ended Sep 30, 2014 | For the quarter ended Sep 30, 2013 | Up to the Quarter ended Sep 30, 2013 |
| 1 | DLF Limited | Holding Co. | Equity Infusion | - | - | 321 | 428 |
| 2 | DLF Utilities Ltd. | Fellow Subsidiary | maintenance & electricity exps | - | - | - | 2 |
| 3 | DLF Cyber City Developer Ltd. | Fellow Subsidiary | maintenance & electricity exps | - | - | 28 | 57 |
| 4 | DLF Cyber City Developer Ltd. | Fellow Subsidiary | Rent | - | - | 79 | 139 |
| 5 | DLF Cyber City Developer Ltd. | Fellow Subsidiary | Security deposit | - | - | - | 26 |
| 6 | Key Management Personnel | Key Management Personnel | Receiving of services | 93 | 185 | 60 | 104 |
| 7 | Prudential International Insurance Holdings Ltd. | Shareholders with Significant influence | Equity infusion | - | 876 | 113 | 150 |
| 8 | Prudential International Insurance Holdings Ltd. | Shareholders with Significant influence | Share Premium | - | 31,609 | 4,067 | 5,422 |
| 9 | Prudential International Insurance Service Co. LLC | Associated Enterprise | Receiving of services | 7 | 15 | 7 | 15 |
| 10 | Prudential International Insurance Service Co. LLC | Associated Enterprise | Rendering of services | 5 | 50 | 54 | 148 |
| 11 | Dewan Housing Finance Corporation Ltd. | Shareholders with Significant influence | Equity infusion | - | 1,684 | - | - |
| 12 | Yardstick Developers Pvt Ltd. | Fellow Subsidiary | Equity infusion | - | 404 | - | - |
| 13 | Resources Realty Pvt. Ltd. | Fellow Subsidiary | Equity infusion | - | 404 | - | - |
| 14 | Dewan Housing Finance Corporation Limited | Shareholders with Significant influence | Commission Expense | 155 | 407 | - | - |
| 15 | Dewan Housing Finance Corporation Limited | Shareholders with Significant influence | Premium Income | 7,179 | 15,708 | - | - |
| 16 | Aadhar Housing Finance Ltd | Shareholders with Significant influence | Premium Income | 276 | 826 | - | - |
| 17 | Avanse Financial Services Limited | Shareholders with Significant influence | Premium Income | 59 | 110 | - | - |
| 18 | DHFL Vysya Housing Finance Ltd | Shareholders with Significant influence | Premium Income | 117 | 331 | - | - |
| 19 | DHFL Sales & Services Pvt. Ltd | Shareholders with Significant influence | Premium Income | 1 | 2 | - | - |
| 20 | DHFL Vysya Housing Finance Ltd | Shareholders with Significant influence | Security Deposit | - | 10 | - | - |
| 21 | Avanse Financial Services Limited | Shareholders with Significant influence | Security Deposit | 2 | 2 | - | - |
| 22 | Aadhar Housing Finance Ltd | Shareholders with Significant influence | Security Deposit | 12 | 12 | - | - |

Note: Owing to stake sale by DLF Ltd. and its nominees on December 18, 2013, transactions with DLF Ltd. and Associates have been considered for disclosure for previous year reporting period.

FORM L-31 : LNL - 6 : Board of Directors & Key Person

Insurer: DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)

Date: 30-Sep-14

| BOD and Key Person information | | | |
|---------------------------------------|-----------------------------|---|---------------------------------|
| Sl. No. | Name of person | Role/designation | Details of change in the period |
| 1 | Mr. Kapil Wadhawan | Non-Executive Director (Chairman) | |
| 2 | Mr. Anoop Pabby | Managing Director & CEO | |
| 3 | Mr. Anil Baijal | Non-Executive Independent Director | |
| 4 | Mr. Bikram Chintahran Sen | Non-Executive Director | |
| 5 | Mr. Harshil Rajnikant Mehta | Non-Executive Director | |
| 6 | Mr. Jan Van Den Berg | Non-Executive Director | |
| 7 | Mr. Sunjoy Joshi | Non-Executive Independent Director | |
| 8 | Mr. Timothy Edward Feige | Non-Executive Director | |
| 9 | Mr. Anoop Pabby | Managing Director & CEO | |
| 10 | Mr. Pradeep K Thapliyal | Executive Vice President & Appointed Actuary | |
| 11 | Ms. Sonali Athalye | Vice President & Chief Financial Officer | |
| 12 | Mr. Chittaranjan Savadi | Vice President & Head – Products & Marketing | |
| 13 | Mr. K Sridharan | Head - Internal Audit | |
| 14 | Mr. Amit C Patra | Chief Investments Officer | |
| 15 | Ms. Nayana Mitter | Chief Compliance Officer & Chief Risk Officer | |

LIFE INSURANCE

FORM L-32 : SOLVENCY MARGIN - KT3

STATEMENT OF AVAILABLE SOLVENCY MARGIN AND SOLVENCY RATIO

Insurer: DHFL Pramerica Life Insurance Company Limited (erstwhile Registration Number: 140

Date of Registration: June 27, 2008

DLF Pramerica Life Insurance Company Limited)

Classification: Business Within India / Total Business

(Rs in Lakhs)

| Item | Description | Notes No.. | Adjusted Value As at : 30-Sep-14 |
|------|---|---------------|-------------------------------------|
| (1) | (2) | (3) | (4) |
| 01 | Available Assets in Policyholders' Fund: | | 63,876 |
| | Deduct: | | |
| 02 | Mathematical Reserves | | 57,346 |
| 03 | Other Liabilities | | - |
| 04 | Excess in Policyholders' Fund (01 - 02 - 03) | | 6,530 |
| 05 | Available Assets in Shareholders Fund: | | 70,071 |
| | Deduct: | | |
| 06 | Other Liabilities of Shareholders' Fund | | 14,486 |
| 07 | Excess in Shareholders' Fund (05 - 06) | | 55,585 |
| 08 | Total ASM (04) + (07) | | 62,114 |
| 09 | Total RSM | | 5,000 |
| 10 | Solvency Ratio (ASM/RSM) | | 1242.3% |

LIFE INSURANCE

FORM L-33 : NPAs-7A - Life

Company Name: DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)
 CODE: 140 Name of the Fund LIFE
 STATEMENT AS ON: 30-Sep-14
 Periodicity of Submission: Quarterly

| COI | Company Name | Instrument Type | Interest Rate | | Total O/s (Book Value) | Default Principal (Book Value) | Default Interest (Book Value) | Principal Due from | Interest Due from | Deferred Principal | Deferred Interest | Rollover? | Has there been any Principal Waiver? | | Classification | Provision (%) | Provision (Rs) |
|-----|--------------|-----------------|---------------|--------------------------|------------------------|--------------------------------|-------------------------------|--------------------|-------------------|--------------------|-------------------|-----------|--------------------------------------|--------------------|----------------|---------------|----------------|
| | | | % | Has there been revision? | | | | | | | | | Amount | Board Approval Ref | | | |
| | | | | | | | | | | | | | | | | | |
| | | | | | | NIL | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | |

- Note:**
- A. Category of Investment (COI) shall be as per INV/GLN/001/2003-04
 - B. FORM 7A shall be submitted in respect of each 'fund'.
 - C. Classification shall be as per F&A-Circulars-169-Jan-07 Dt.24-01-07.



FORM L-33 : NPAs-7A - Pension & General Annuity And Group Fund

Company Name: DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)
 CODE: 140 Name of the Fund PENSION & GENERAL ANNUITY AND GROUP FUND
 STATEMENT AS ON: 30-Sep-14
 Periodicity of Submission: Quarterly

| COI | Company Name | Instrument Type | Interest Rate | | Total O/s (Book Value) | Default Principal (Book Value) | Default Interest (Book Value) | Principal Due from | Interest Due from | Deferred Principal | Deferred Interest | Rollover? | Has there been any Principal Waiver? | | Classification | Provision (%) | Provision (Rs) |
|-----|--------------|-----------------|---------------|--------------------------|------------------------|--------------------------------|-------------------------------|--------------------|-------------------|--------------------|-------------------|-----------|--------------------------------------|--------------------|----------------|---------------|----------------|
| | | | % | Has there been revision? | | | | | | | | | Amount | Board Approval Ref | | | |
| | | | | | | NIL | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | |

- Note:**
- A. Category of Investment (COI) shall be as per INV/GLN/001/2003-04
 - B. FORM 7A shall be submitted in respect of each 'fund'.
 - C. Classification shall be as per F&A-Circulars-169-Jan-07 Dt.24-01-07.



FORM L-33 : NPAs-7A - Linked Fund

Company Name: **DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)**
 CODE: **140** Name of the Fund LINKED FUND
 STATEMENT AS ON: **30-Sep-14**
 Periodicity of Submission: **Quarterly**

| COI | Company Name | Instrument Type | Interest Rate | | Total O/s (Book Value) | Default Principal (Book Value) | Default Interest (Book Value) | Principal Due from | Interest Due from | Deferred Principal | Deferred Interest | Rollover? | Has there been any Principal Waiver? | | Classification | Provision (%) | Provision (Rs) |
|-----|--------------|-----------------|---------------|--------------------------|------------------------|--------------------------------|-------------------------------|--------------------|-------------------|--------------------|-------------------|-----------|--------------------------------------|--------------------|----------------|---------------|----------------|
| | | | % | Has there been revision? | | | | | | | | | Amount | Board Approval Ref | | | |
| | | | | | | NIL | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | |

- Note:**
- A. Category of Investment (COI) shall be as per INV/GLN/001/2003-04
 - B. FORM 7A shall be submitted in respect of each 'fund'.
 - C. Classification shall be as per F&A-Circulars-169-Jan-07 Dt.24-01-07.



FORM L-34 : YIELD ON INVESTMENTS-1 - Life Fund

Company Name: DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)
 CODE: 140 Name of the Fund LIFE FUND
 STATEMENT AS ON: 30-Sep-14
 Periodicity of Submission: Quarterly

in Lakhs

| No. | Category of Investment | Category Code | Current Quarter | | | | Year to Date | | | | Previous Year | | | | | | |
|----------|---|---------------|------------------|--------------|----------------------------|------------------------------|----------------------------|------------------|--------------|----------------------------|------------------------------|----------------------------|------------------|--------------|----------------------------|------------------------------|----------------------------|
| | | | Investment (Rs.) | | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) | | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) | | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² |
| | | | Book Value | Market Value | | | | Book Value | Market Value | | | | Book Value | Market Value | | | |
| A | CENTRAL GOVERNMENT SECURITIES | | | | | | | | | | | | | | | | |
| | Central Government Bonds | CGSB | 478.21 | 475.74 | 10.40 | 2.22% | 2.22% | 478.21 | 475.74 | 16.50 | 4.39% | 4.39% | 109.47 | 102.82 | 4.64 | 4.98% | 4.98% |
| | Central Government Guaranteed Loans | CGSL | | | | | | | | | | | | | | | |
| | Special Deposits | CSPD | | | | | | | | | | | | | | | |
| | Deposit under Section 7 of Insurance Act, 1938 | CDSS | 5.27 | 4.88 | 0.09 | 1.80% | 1.80% | 5.27 | 4.88 | 0.19 | 3.60% | 3.60% | 5.29 | 4.73 | 0.19 | 3.58% | 3.58% |
| | Treasury Bills | CTRB | 47.45 | 47.45 | 1.20 | 2.11% | 2.11% | 47.45 | 47.45 | 1.46 | 4.17% | 4.17% | 14.93 | 14.93 | 0.24 | 1.30% | 1.30% |
| B | STATE GOVERNMENT / OTHER APPROVED SECURITIES / OTHER GUARANTEED SECURITIES | | | | | | | | | | | | | | | | |
| | State Government Bonds | SGGB | | | | | | | | | | | | | | | |
| | State Government Guaranteed Loans | SGGL | 15.09 | 15.18 | 0.34 | 2.25% | 2.25% | 15.09 | 15.18 | 0.68 | 4.51% | 4.51% | 10.05 | 9.56 | 0.55 | 5.31% | 5.31% |
| | Other Approved Securities (excluding Infrastructure / Social Sector Investments) | SGOA | 5.19 | 4.68 | 0.10 | 1.83% | 1.83% | 5.19 | 4.68 | 0.19 | 3.66% | 3.66% | 5.21 | 4.48 | 0.19 | 3.65% | 3.65% |
| | Guaranteed Equity | SGGE | | | | | | | | | | | | | | | |
| C | HOUSING SECTOR INVESTMENTS | | | | | | | | | | | | | | | | |
| | Debentures / Bonds / CPs / Loans - (Promoter Group) | HDPG | 1.70 | 1.75 | 0.05 | 2.77% | 2.77% | 1.70 | 1.75 | 0.09 | 5.52% | 5.52% | | | | | |
| | Loans to State Government for Housing | HLSH | | | | | | | | | | | | | | | |
| | Loans to State Government for Fire Fighting Equipments | HLSF | | | | | | | | | | | | | | | |
| | Term Loan - HUDCO | HTLH | | | | | | | | | | | | | | | |
| | Term Loan to institutions accredited by NHB | HTLN | | | | | | | | | | | | | | | |
| | TAXABLE BONDS OF | | | | | | | | | | | | | | | | |
| | Bonds / Debentures issued by HUDCO | HTHD | | | | | | | | | | | | | | | |
| | Bonds / Debentures issued by NHB | HTDN | 2.00 | 2.01 | 0.00 | 0.13% | 0.13% | 2.00 | 2.01 | 0.00 | 0.13% | 0.13% | 1.70 | 1.71 | 0.12 | 4.73% | 4.73% |
| | Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act | HTDA | 11.84 | 12.09 | 0.29 | 2.44% | 2.44% | 11.84 | 12.09 | 0.57 | 4.85% | 4.85% | 6.50 | 6.40 | 0.31 | 4.73% | 4.73% |
| | TAX FREE BONDS | | | | | | | | | | | | | | | | |
| | Bonds / Debentures issued by HUDCO | HFHD | | | | | | | | | | | | | | | |
| | Bonds / Debentures issued by NHB | HFDN | | | | | | | | | | | | | | | |
| | Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act | HFDA | | | | | | | | | | | | | | | |
| D | INFRASTRUCTURE / SOCIAL SECTOR INVESTMENTS | | | | | | | | | | | | | | | | |
| | Infrastructure/ Social Sector - Other Approved Securities | ISAS | 1.00 | 0.99 | 0.02 | 2.21% | 2.21% | 1.00 | 0.99 | 0.04 | 4.38% | 4.38% | 1.00 | 0.97 | 0.04 | 4.35% | 4.35% |
| | TAXABLE BONDS OF | | | | | | | | | | | | | | | | |
| | Infrastructure / Social Sector - PSU - Debentures / Bonds | IPTD | 160.03 | 161.57 | 3.11 | 2.33% | 2.33% | 160.03 | 161.57 | 5.19 | 4.64% | 4.64% | 34.20 | 33.36 | 1.63 | 4.66% | 4.66% |
| | Infrastructure/ Social Sector - Other Corporate Securities (Approved investments) - Debentures/ Bonds | ICTD | 14.10 | 14.25 | 0.32 | 2.30% | 2.30% | 14.10 | 14.25 | 0.54 | 4.67% | 4.67% | 9.10 | 9.09 | 0.44 | 4.80% | 4.80% |
| | Infrastructure - PSU - Equity shares - Quoted | ITPE | 0.33 | 0.33 | (0.00) | -0.23% | -0.23% | 0.33 | 0.33 | (0.00) | -0.24% | -0.24% | | | | | |
| | Infrastructure - Corporate Securities - Equity shares-Quoted | ITCE | 0.13 | 0.13 | 0.01 | 3.72% | 3.72% | 0.13 | 0.13 | 0.01 | 3.71% | 3.71% | | | | | |
| | Infrastructure / Social Sector - Term Loans (with Charge) | ILWC | | | | | | | | | | | | | | | |
| | TAX FREE BONDS | | | | | | | | | | | | | | | | |
| | Infrastructure / Social Sector - PSU - Debentures / Bonds | IPFD | | | | | | | | | | | | | | | |
| | Infrastructure - Equity (including unlisted) | IOEQ | 0.27 | 0.27 | 0.01 | 4.56% | 4.56% | 0.27 | 0.27 | 0.01 | 4.61% | 4.61% | | | | | |
| | Infrastructure/ Social Sector - Other Corporate Securities (Approved investments) - Debentures/ Bonds | ICFD | | | | | | | | | | | | | | | |

FORM L-34 : YIELD ON INVESTMENTS-1 - Life Fund

Company Name: DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)
 CODE: 140 Name of the Fund LIFE FUND
 STATEMENT AS ON: 30-Sep-14
 Periodicity of Submission: Quarterly

in Lakhs

| No. | Category of Investment | Category Code | Current Quarter | | | | Year to Date | | | | Previous Year | | | | | | |
|-----|---|---------------|------------------|---------------|----------------------------|------------------------------|----------------------------|------------------|---------------|----------------------------|------------------------------|----------------------------|------------------|---------------|----------------------------|------------------------------|----------------------------|
| | | | Investment (Rs.) | | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) | | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) | | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² |
| | | | Book Value | Market Value | | | | Book Value | Market Value | | | | Book Value | Market Value | | | |
| E | APPROVED INVESTMENTS | | | | | | | | | | | | | | | | |
| | ACTIVELY TRADED | | | | | | | | | | | | | | | | |
| | PSU - (Approved investment) - Equity shares - quoted | EAEQ | 1.05 | 1.05 | 0.00 | 0.05% | 0.05% | 1.05 | 1.05 | 0.00 | 0.20% | 0.20% | | | | | |
| | Corporate Securities (Approved investment) - Equity shares (Ordinary)-quoted | EACE | 3.48 | 3.48 | 0.09 | 2.68% | 2.68% | 3.48 | 3.48 | 0.09 | 2.81% | 2.81% | | | | | |
| | THINLY TRADED/ UNQUOTE | | | | | | | | | | | | | | | | |
| | PSU - (Approved investment) - Equity shares - quoted | ETPE | | | | | | | | | | | | | | | |
| | Corporate Securities (Approved investment) - Equity shares-quoted | ETCE | | | | | | | | | | | | | | | |
| | Corporate Securities (Approved Investment) - Equity - Unquoted | EENQ | | | | | | | | | | | | | | | |
| | Corporate Securities - Bonds - (Taxable) | EPBT | | | | | | | | | | | | | | | |
| | Corporate Securities - Bonds - (Tax Free) | EPBF | | | | | | | | | | | | | | | |
| | Corporate Securities (Approved Investment) - Preference Shares | EPNQ | | | | | | | | | | | | | | | |
| | Corporate Securities (Approved Investment) - Investment in Subsidiaries | ECIS | | | | | | | | | | | | | | | |
| | Corporate Securities (Approved Investment) - Debentures | ECOS | 45.66 | 45.79 | 2.06 | 3.51% | 3.51% | 45.66 | 45.79 | 3.18 | 6.07% | 6.07% | 24.23 | 23.49 | 1.19 | 4.95% | |
| | Corporate Securities (Approved Investment) - Derivative Instruments | ECDI | | | | | | | | | | | | | | | |
| | Investment properties - Immovable | EINP | | | | | | | | | | | | | | | |
| | Loans - Policy Loans | ELPL | | | | | | | | | | | | | | | |
| | Loans - Secured Loans - Mortgage of Property in India (Term Loan) | ELMI | | | | | | | | | | | | | | | |
| | Loans - Secured Loans - Mortgage of Property outside India (Term Loan) | ELMO | | | | | | | | | | | | | | | |
| | Deposits - Deposit with scheduled banks | ECDB | 3.02 | 3.02 | 0.14 | 2.49% | 2.49% | 3.02 | 3.02 | 0.30 | 4.99% | 4.99% | 4.60 | 4.60 | 0.24 | 4.90% | |
| | Deposits - Money at call and short notice with banks /Repo | ECMR | | | | 0.00% | 0.00% | | | 0.22 | 0.13% | 0.13% | | | | | |
| | CCIL (Approved Investment) - CBLO | ECBO | | | | | | | | | | | | | | | |
| | Bills Re-Discounting | ECBR | | | | | | | | | | | | | | | |
| | Commercial Papers issued by All India Financial Institutions rated Very Strong or more | ECCP | | | | | | | | | | | | | | | |
| | Application Money | ECAM | | | | | | | | | | | | | | | |
| | Deposit with Primary Dealers duly recognised by Reserve Bank of India | EDPD | | | | | | | | | | | | | | | |
| | Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks | EUPD | | | | | | | | | | | | | | | |
| | Perpetual Debt Instruments of Tier I & II Capital issued by Non-PSU Banks | EPPD | | | | | | | | | | | | | | | |
| | Perpetual Non-Cum. P. Shares & Redeemable Cumulative P. Shares of Tier I & II Capital issued by PSU Banks | EUPS | | | | | | | | | | | | | | | |
| | Perpetual Non-Cum. P. Shares & Redeemable Cumulative P. Shares of Tier I & II Capital issued by Non-PSU Banks | EPPS | | | | | | | | | | | | | | | |
| | MF - Gilt / G Sec / Liquid Schemes | EGMF | 38.60 | 38.60 | 0.45 | 2.18% | 2.18% | 38.60 | 38.60 | 0.76 | 3.94% | 3.94% | 7.35 | 7.35 | 0.18 | 3.95% | |
| F | OTHER INVESTMENTS | | | | | | | | | | | | | | | | |
| | Bonds - PSU - Taxable | OBPT | | | | | | | | | | | | | | | |
| | Bonds - PSU - Tax Free | OBPF | | | | | | | | | | | | | | | |
| | Equity Shares (Incl Co-op Societies) | OESH | | | 0.01 | 2.26% | 2.26% | | | 0.01 | 1.89% | 1.89% | | | | | |
| | Equity Shares (PSUs & Unlisted) | OEPU | | | - | 0.00% | 0.00% | | | 0.00% | 0.00% | | | | | | |
| | Equity Shares (incl. Equity related Instruments) - Promoter Group | OEPG | | | | | | | | | | | | | | | |
| | Debentures | OLDB | | | | | | | | | | | | | | | |
| | Debentures / Bonds/ CPs / Loans etc. - (Promoter Group) | ODPG | | | | | | | | | | | | | | | |
| | Commercial Papers | OACP | | | | | | | | | | | | | | | |
| | Preference Shares | OPSH | | | | | | | | | | | | | | | |
| | Venture Fund | OVNF | | | | | | | | | | | | | | | |
| | Short term Loans (Unsecured Deposits) | OSLU | 3.77 | 3.77 | 0.17 | 4.27% | 4.27% | 3.77 | 3.77 | 0.58 | 14.98% | 14.98% | 3.54 | 3.54 | 0.26 | 7.26% | |
| | Term Loans (without Charge) | OTLW | | | | | | | | | | | | | | | |
| | Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes | OMGS | 53.05 | 53.05 | 0.71 | 2.46% | 2.46% | 53.05 | 53.05 | 1.08 | 4.16% | 4.16% | 2.03 | 2.03 | 0.29 | 4.09% | |
| | Derivative Instruments | OCDI | | | | | | | | | | | | | | | |
| | Securitized Assets | OPSA | | | | | | | | | | | | | | | |
| | Investment properties - Immovable | OIPI | | | | | | | | | | | | | | | |
| | TOTAL | | 891.23 | 890.08 | 19.57 | 2.34% | 2.34% | 891.23 | 890.08 | 31.71 | 3.69% | 3.69% | 239.20 | 229.07 | 10.51 | 3.78% | |

Note: Category of Investment (COI) shall be as per Guidelines

¹ To be calculated based on Monthly or lesser frequency 'Weighted Agerage' of Investments

² Yield netted for Tax

³ FORM-1 shall be prepared in respect of each fund.

FORM L-34 : YIELD ON INVESTMENTS-1 - Pension & General Annuity Fund And Group Fund

Company Name: DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)
 CODE: 140 Name of the Fund: PENSION & GENERAL ANNUITY AND GROUP FUND
 STATEMENT AS ON: 30-Sep-14
 Periodicity of Submission: Quarterly

in Lakhs

| No. | Category of Investment | Category Code | Current Quarter | | | | Year to Date | | | | Previous Year | | | | | | |
|----------|---|---------------|------------------|--------------|----------------------------|------------------------------|----------------------------|------------------|--------------|----------------------------|------------------------------|----------------------------|------------------|--------------|----------------------------|------------------------------|----------------------------|
| | | | Investment (Rs.) | | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) | | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) | | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² |
| | | | Book Value | Market Value | | | | Book Value | Market Value | | | | Book Value | Market Value | | | |
| A | CENTRAL GOVERNMENT SECURITIES | | | | | | | | | | | | | | | | |
| | Central Government Bonds | CGSB | 53.60 | 54.37 | 0.98 | 2.28% | 2.28% | 53.60 | 54.37 | 1.79 | 4.60% | 4.60% | | | | | |
| | Central Government Guaranteed Loans | CGSL | | | | | | | | | | | | | | | |
| | Special Deposits | CSPD | | | | | | | | | | | | | | | |
| | Deposit under Section 7 of Insurance Act, 1938 | CDSS | | | | | | | | | | | | | | | |
| | Treasury Bills | CTRB | 0.96 | 0.96 | 0.02 | 2.11% | 2.11% | 0.96 | 0.96 | 0.03 | 2.55% | 2.55% | | | | | |
| B | STATE GOVERNMENT / OTHER APPROVED SECURITIES / OTHER GUARANTEED SECURITIES | | | | | | | | | | | | | | | | |
| | State Government Bonds | SGGB | | | | | | | | | | | | | | | |
| | State Government Guaranteed Loans | SGGL | 5.02 | 5.25 | 0.12 | 2.42% | 2.42% | 5.02 | 5.25 | 0.24 | 4.84% | 4.84% | | | | | |
| | Other Approved Securities (excluding Infrastructure / Social Sector Investments) | SGOA | | | | | | | | | | | | | | | |
| | Guaranteed Equity | SGGE | | | | | | | | | | | | | | | |
| C | HOUSING SECTOR INVESTMENTS | | | | | | | | | | | | | | | | |
| | Debentures / Bonds / CPs / Loans - (Promoter Group) | HDPG | | | | | | | | | | | | | | | |
| | Loans to State Government for Housing | HLSH | | | | | | | | | | | | | | | |
| | Loans to State Government for Fire Fighting Equipments | HLSF | | | | | | | | | | | | | | | |
| | Term Loan - HUDCO | HTLH | | | | | | | | | | | | | | | |
| | Term Loan to institutions accredited by NHB | HTLN | | | | | | | | | | | | | | | |
| | TAXABLE BONDS OF | | | | | | | | | | | | | | | | |
| | Bonds / Debentures issued by HUDCO | HTHD | | | | | | | | | | | | | | | |
| | Bonds / Debentures issued by NHB | HTDN | 7.20 | 7.24 | 0.03 | 0.53% | 0.53% | 7.20 | 7.24 | 0.03 | 0.53% | 0.53% | | | | | |
| | Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act | HTDA | 2.93 | 3.02 | 0.07 | 2.49% | 2.49% | 2.93 | 3.02 | 0.14 | 4.95% | 4.95% | | | | | |
| | TAX FREE BONDS | | | | | | | | | | | | | | | | |
| | Bonds / Debentures issued by HUDCO | HFHD | | | | | | | | | | | | | | | |
| | Bonds / Debentures issued by NHB | HFDN | | | | | | | | | | | | | | | |
| | Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act | HFDA | | | | | | | | | | | | | | | |
| D | INFRASTRUCTURE / SOCIAL SECTOR INVESTMENTS | | | | | | | | | | | | | | | | |
| | Infrastructure/ Social Sector - Other Approved Securities | ISAS | | | | | | | | | | | | | | | |
| | TAXABLE BONDS OF | | | | | | | | | | | | | | | | |
| | Infrastructure / Social Sector - PSU - Debentures / Bonds | IPTD | 40.30 | 40.84 | 0.75 | 2.36% | 2.36% | 40.30 | 40.84 | 1.16 | 4.71% | 4.71% | | | | | |
| | Infrastructure/ Social Sector - Other Corporate Securities (Approved investments) - Debentures/ Bonds | ICTD | | | | | | | | | | | | | | | |
| | Infrastructure / Social Sector - Term Loans (with Charge) | ILWC | | | | | | | | | | | | | | | |
| | TAX FREE BONDS | | | | | | | | | | | | | | | | |
| | Infrastructure / Social Sector - PSU - Debentures / Bonds | IPFD | | | | | | | | | | | | | | | |
| | Infrastructure/ Social Sector - Other Corporate Securities (Approved investments) - Debentures/ Bonds | ICFD | | | | | | | | | | | | | | | |

FORM L-34 : YIELD ON INVESTMENTS-1 - Pension & General Annuity Fund And Group Fund

Company Name: DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)
 CODE: 140 Name of the Fund: PENSION & GENERAL ANNUITY AND GROUP FUND
 STATEMENT AS ON: 30-Sep-14
 Periodicity of Submission: Quarterly

in Lakhs

| No. | Category of Investment | Category Code | Current Quarter | | | | Year to Date | | | | Previous Year | | | | | | |
|-----|---|---------------|------------------|---------------|----------------------------|------------------------------|----------------------------|------------------|---------------|----------------------------|------------------------------|----------------------------|------------------|--------------|----------------------------|------------------------------|----------------------------|
| | | | Investment (Rs.) | | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) | | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) | | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² |
| | | | Book Value | Market Value | | | | Book Value | Market Value | | | | Book Value | Market Value | | | |
| E | APPROVED INVESTMENTS | | | | | | | | | | | | | | | | |
| | ACTIVELY TRADED | | | | | | | | | | | | | | | | |
| | PSU - (Approved investment) - Equity shares - quoted | EAEQ | | | | | | | | | | | | | | | |
| | Corporate Securities (Approved investment) - Equity shares (Ordinary)-quoted | EACE | | | | | | | | | | | | | | | |
| | THINLY TRADED/ UNQUOTE | | | | | | | | | | | | | | | | |
| | PSU - (Approved investment) - Equity shares - quoted | ETPE | | | | | | | | | | | | | | | |
| | Corporate Securities (Approved investment) - Equity shares-quoted | ETCE | | | | | | | | | | | | | | | |
| | Corporate Securities (Approved Investment) - Equity - Unquoted | EENQ | | | | | | | | | | | | | | | |
| | Corporate Securities - Bonds - (Taxable) | EPBT | | | | | | | | | | | | | | | |
| | Corporate Securities - Bonds - (Tax Free) | EPBF | | | | | | | | | | | | | | | |
| | Corporate Securities (Approved Investment) - Preference Shares | EPNQ | | | | | | | | | | | | | | | |
| | Corporate Securities (Approved investment) - Investment in Subsidiaries | ECIS | | | | | | | | | | | | | | | |
| | Corporate Securities (Approved investment) - Debentures | ECOS | 19.21 | 19.40 | 0.35 | 2.40% | 2.40% | 19.21 | 19.40 | 0.42 | 4.79% | 4.79% | | | | | |
| | Corporate Securities (Approved Investment) - Derivative Instruments | ECDI | | | | | | | | | | | | | | | |
| | Investment properties - Immovable | EINP | | | | | | | | | | | | | | | |
| | Loans - Policy Loans | ELPL | | | | | | | | | | | | | | | |
| | Loans - Secured Loans - Mortgage of Property in India (Term Loan) | ELMI | | | | | | | | | | | | | | | |
| | Loans - Secured Loans - Mortgage of Property outside India (Term Loan) | ELMO | | | | | | | | | | | | | | | |
| | Deposits - Deposit with scheduled banks | ECDB | | | | | | | | | | | | | | | |
| | Deposits - Money at call and short notice with banks / Repo | ECMR | | | | | | | 0.00 | 0.05% | 0.05% | | | | | | |
| | CCIL (Approved Investment) - CBLO | ECBO | | | | | | | | | | | | | | | |
| | Bills Re-Discounting | ECBR | | | | | | | | | | | | | | | |
| | Commercial Papers issued by All India Financial Institutions rated Very Strong or more | ECCP | | | | | | | | | | | | | | | |
| | Application Money | ECAM | | | | | | | | | | | | | | | |
| | Deposit with Primary Dealers duly recognised by Reserve Bank of India | EDPD | | | | | | | | | | | | | | | |
| | Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks | EUPD | | | | | | | | | | | | | | | |
| | Perpetual Debt Instruments of Tier I & II Capital issued by Non-PSU Banks | EPPD | | | | | | | | | | | | | | | |
| | Perpetual Non-Cum. P. Shares & Redeemable Cumulative P. Shares of Tier I & II Capital issued by PSU Banks | EUPS | | | | | | | | | | | | | | | |
| | Perpetual Non-Cum. P. Shares & Redeemable Cumulative P. Shares of Tier I & II Capital issued by Non-PSU Banks | EPPS | | | | | | | | | | | | | | | |
| | MF - Gilt / G Sec / Liquid Schemes | EGMF | 5.65 | 5.65 | 0.05 | 1.82% | 1.82% | 5.65 | 5.65 | 0.10 | 3.88% | 3.88% | | | | | |
| F | OTHER INVESTMENTS | | | | | | | | | | | | | | | | |
| | Bonds - PSU - Taxable | OBPT | | | | | | | | | | | | | | | |
| | Bonds - PSU - Tax Free | OBPF | | | | | | | | | | | | | | | |
| | Equity Shares (incl. Co-op Societies) | OESH | | | | | | | | | | | | | | | |
| | Equity Shares (PSUs & Unlisted) | OEPU | | | | | | | | | | | | | | | |
| | Equity Shares (incl. Equity related Instruments) - Promoter Group | OEPG | | | | | | | | | | | | | | | |
| | Debentures | OLDB | | | | | | | | | | | | | | | |
| | Debentures / Bonds/ CPs / Loans etc. - (Promoter Group) | ODPG | | | | | | | | | | | | | | | |
| | Commercial Papers | OACP | | | | | | | | | | | | | | | |
| | Preference Shares | OPSH | | | | | | | | | | | | | | | |
| | Venture Fund | OVNF | | | | | | | | | | | | | | | |
| | Short term Loans (Unsecured Deposits) | OSLU | | | | | | | | | | | | | | | |
| | Term Loans (without Charge) | OTLW | | | | | | | | | | | | | | | |
| | Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes | OMGS | | | | | | | | | | | | | | | |
| | Derivative Instruments | OCDI | | | | | | | | | | | | | | | |
| | Securitized Assets | OPSA | | | | | | | | | | | | | | | |
| | Investment properties - Immovable | OIPI | | | | | | | | | | | | | | | |
| | TOTAL | | 134.87 | 136.74 | 2.37 | 2.23% | 2.23% | 134.87 | 136.74 | 3.91 | 4.35% | 4.35% | - | - | - | | |

Note: Category of Investment (COI) shall be as per Guidelines
 1 To be calculated based on Monthly or lesser frequency 'Weighted Agerage' of Investments
 2 Yield netted for Tax
 3 FORM-1 shall be prepared in respect of each fund.

FORM L-34 : YIELD ON INVESTMENTS-1 - Linked Fund

Company Name: DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)
 CODE: 140 Name of the Fund LINKED FUND
 STATEMENT AS ON: 30-Sep-14
 Statement of Investment and Income on Investment
 Periodicity of Submission: Quarterly

in Lakhs

| No. | Category of Investment | Category Code | Current Quarter | | | | | Year to Date | | | | | Previous Year | | | | |
|----------|---|---------------|------------------|--------------|----------------------------|------------------------------|----------------------------|------------------|--------------|----------------------------|------------------------------|----------------------------|------------------|--------------|----------------------------|------------------------------|----------------------------|
| | | | Investment (Rs.) | | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) | | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) | | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² |
| | | | Book Value | Market Value | | | | Book Value | Market Value | | | | Book Value | Market Value | | | |
| A | CENTRAL GOVERNMENT SECURITIES | | | | | | | | | | | | | | | | |
| | Central Government Bonds | CGSB | 26.93 | 26.93 | 0.73 | 2.50% | 2.50% | 26.93 | 26.93 | 1.82 | 6.22% | 6.22% | 25.00 | 25.00 | (0.84) | -3.41% | -3.41% |
| | Central Government Guaranteed Loans | CGSL | | | | | | | | | | | | | | | |
| | Special Deposits | CSPD | | | | | | | | | | | | | | | |
| | Deposit under Section 7 of Insurance Act, 1938 | CDS5 | | | | | | | | | | | | | | | |
| | Treasury Bills | CTRB | 28.61 | 28.61 | 0.60 | 2.15% | 2.15% | 28.61 | 28.61 | 1.10 | 4.25% | 4.25% | 17.23 | 17.23 | 0.50 | 3.91% | 3.91% |
| B | STATE GOVERNMENT / OTHER APPROVED SECURITIES / OTHER GUARANTEED SECURITIES | | | | | | | | | | | | | | | | |
| | State Government Bonds | SGGB | | | | | | | | | | | | | | | |
| | State Government Guaranteed Loans | SGGL | 0.20 | 0.20 | 0.00 | 2.47% | 2.47% | 0.20 | 0.20 | 0.01 | 5.64% | 5.64% | 0.20 | 0.20 | 0.06 | 12.56% | 12.56% |
| | Other Approved Securities (excluding Infrastructure / Social Sector Investments) | SGOA | | | | | | | | | | | | | | | |
| | Guaranteed Equity | SGGE | | | | | | | | | | | | | | | |
| C | HOUSING SECTOR INVESTMENTS | | | | | | | | | | | | | | | | |
| | Debentures / Bonds / CPs / Loans - (Promoter Group) | HDPG | 0.82 | 0.82 | 0.05 | 5.62% | 5.62% | 0.82 | 0.82 | 0.07 | 8.19% | 8.19% | | | | | |
| | Loans to State Government for Housing | HLSH | | | | | | | | | | | | | | | |
| | Loans to State Government for Fire Fighting Equipments | HLSF | | | | | | | | | | | | | | | |
| | Term Loan - HUDCO | HTLH | | | | | | | | | | | | | | | |
| | Term Loan to institutions accredited by NHB | HTLN | | | | | | | | | | | | | | | |
| | TAXABLE BONDS OF | | | | | | | | | | | | | | | | |
| | Bonds / Debentures issued by HUDCO | HTHD | | | | | | | | | | | | | | | |
| | Bonds / Debentures issued by NHB | HTDN | 3.03 | 3.03 | 0.06 | 2.69% | 2.69% | 3.03 | 3.03 | 0.12 | 5.65% | 5.65% | 0.81 | 0.81 | 0.04 | 4.24% | 4.24% |
| | Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act | HTDA | 1.39 | 1.39 | 0.03 | 2.47% | 2.47% | 1.39 | 1.39 | 0.09 | 6.80% | 6.80% | 2.84 | 2.84 | 0.07 | 2.36% | 2.36% |
| | TAX FREE BONDS | | | | | | | | | | | | | | | | |
| | Bonds / Debentures issued by HUDCO | HFHD | | | | | | | | | | | | | | | |
| | Bonds / Debentures issued by NHB | HFDN | | | | | | | | | | | | | | | |
| | Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act | HFDA | | | | | | | | | | | | | | | |
| D | INFRASTRUCTURE / SOCIAL SECTOR INVESTMENTS | | | | | | | | | | | | | | | | |
| | Infrastructure/ Social Sector - Other Approved Securities | ISAS | 0.99 | 0.99 | 0.02 | 2.50% | 2.50% | 0.99 | 0.99 | 0.05 | 5.56% | 5.56% | 0.97 | 0.97 | 0.02 | 1.75% | 1.75% |
| | TAXABLE BONDS OF | | | | | | | | | | | | | | | | |
| | Infrastructure / Social Sector - PSU - Debentures / Bonds | IPTD | 14.14 | 14.14 | 0.33 | 2.39% | 2.39% | 14.14 | 14.14 | 0.87 | 6.35% | 6.35% | 12.64 | 12.64 | 0.05 | 0.39% | 0.39% |
| | Infrastructure/ Social Sector - Other Corporate Securities (Approved investments) - Debentures/ Bonds | ICTD | 0.94 | 0.94 | 0.02 | 2.48% | 2.48% | 0.94 | 0.94 | 0.06 | 7.00% | 7.00% | 0.91 | 0.91 | (0.00) | -0.05% | -0.05% |
| | Infrastructure / Social Sector - Term Loans (with Charge) | ILWC | | | | | | | | | | | | | | | |
| | TAX FREE BONDS | | | | | | | | | | | | | | | | |
| | Infrastructure / Social Sector - PSU - Debentures / Bonds | IPFD | | | | | | | | | | | | | | | |
| | Infrastructure/ Social Sector - Other Corporate Securities (Approved investments) - Debentures/ Bonds | ICFD | | | | | | | | | | | | | | | |
| | Infrastructure - PSU - Equity shares - Quoted | ITPE | 7.64 | 7.64 | (0.16) | -2.06% | -2.06% | 7.64 | 7.64 | 1.33 | 20.65% | 20.65% | 5.70 | 5.70 | (0.83) | -12.06% | -12.06% |
| | Infrastructure - Equity (including unlisted) | IOEQ | 4.28 | 4.28 | 0.19 | 5.79% | 5.79% | 4.28 | 4.28 | 0.22 | 6.53% | 6.53% | | | | | |
| | Infrastructure - Corporate Securities - Equity shares-Quoted | ITCE | 7.78 | 7.78 | (1.15) | -12.16% | -12.16% | 7.78 | 7.78 | 1.52 | 16.31% | 16.31% | 7.19 | 7.19 | (1.43) | -16.32% | -16.32% |

FORM L-34 : YIELD ON INVESTMENTS-1 - Linked Fund

Company Name: DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)
 CODE: 140 Name of the Fund LINKED FUND
 STATEMENT AS ON: 30-Sep-14
 Statement of Investment and Income on Investment
 Periodicity of Submission: Quarterly

in Lakhs

| No. | Category of Investment | Category Code | Current Quarter | | | | | Year to Date | | | | | Previous Year | | | | |
|----------|---|---------------|------------------|---------------|----------------------------|------------------------------|----------------------------|------------------|---------------|----------------------------|------------------------------|----------------------------|------------------|---------------|----------------------------|------------------------------|----------------------------|
| | | | Investment (Rs.) | | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) | | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) | | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² |
| | | | Book Value | Market Value | | | | Book Value | Market Value | | | | Book Value | Market Value | | | |
| E | APPROVED INVESTMENTS | | | | | | | | | | | | | | | | |
| | ACTIVELY TRADED | | | | | | | | | | | | | | | | |
| | PSU - (Approved investment) - Equity shares - quoted | EAEQ | 5.37 | 5.37 | (0.42) | -7.37% | -7.37% | 5.37 | 5.37 | 1.12 | 19.69% | 19.69% | 3.22 | 3.22 | (0.68) | -12.65% | -12.65% |
| | Corporate Securities (Approved investment) - Equity shares (Ordinary)-quoted | EACE | 119.05 | 119.05 | 9.76 | 8.07% | 8.07% | 119.05 | 119.05 | 22.27 | 18.39% | 18.39% | 100.81 | 100.81 | 6.23 | 6.30% | 6.30% |
| | THINLY TRADED/ UNQUOTE | | | | | | | | | | | | | | | | |
| | PSU - (Approved investment) - Equity shares - quoted | ETPE | | | | | | | | | | | | | | | |
| | Corporate Securities (Approved investment) - Equity shares-quoted | ETCE | | | | | | | | | | | | | | | |
| | Corporate Securities (Approved Investment) - Equity - Unquoted | EENQ | | | | | | | | | | | | | | | |
| | Corporate Securities - Bonds - (Taxable) | EPBT | | | | | | | | | | | | | | | |
| | Corporate Securities - Bonds - (Tax Free) | EPBF | | | | | | | | | | | | | | | |
| | Corporate Securities (Approved Investment) - Preference Shares | EPNQ | | | | | | | | | | | | | | | |
| | Corporate Securities (Approved investment) - Investment in Subsidiaries | ECIS | | | | | | | | | | | | | | | |
| | Corporate Securities (Approved investment) - Debentures | ECOS | 16.68 | 16.68 | 0.41 | 2.53% | 2.53% | 16.68 | 16.68 | 1.04 | 6.58% | 6.58% | 10.14 | 10.14 | (0.04) | -0.40% | -0.40% |
| | Corporate Securities (Approved Investment) - Derivative Instruments | ECDI | | | | | | | | | | | | | | | |
| | Investment properties - Immovable | EINP | | | | | | | | | | | | | | | |
| | Loans - Policy Loans | ELPL | | | | | | | | | | | | | | | |
| | Loans - Secured Loans - Mortgage of Property in India (Term Loan) | ELMI | | | | | | | | | | | | | | | |
| | Loans - Secured Loans - Mortgage of Property outside India (Term Loan) | ELMO | | | | | | | | | | | | | | | |
| | Deposits - Deposit with scheduled banks | ECDB | 5.95 | 5.95 | 0.15 | 2.59% | 2.59% | 5.95 | 5.95 | 0.30 | 5.08% | 5.08% | 5.60 | 5.60 | 0.33 | 5.01% | 5.01% |
| | Deposits - Money at call and short notice with banks /Repo | ECMR | | | | | | | | | | | | | | | |
| | CCIL (Approved Investment) - CBLO | ECBO | | | | | | | | | | | | | | | |
| | Bills Re-Discounting | ECBR | | | | | | | | | | | | | | | |
| | Commercial Papers issued by All India Financial Institutions rated Very Strong or more | ECCP | | | | | | | | | | | | | | | |
| | Application Money | ECAM | | | | | | | | | | | | | | | |
| | Deposit with Primary Dealers duly recognised by Reserve Bank of India | EDPD | | | | | | | | | | | | | | | |
| | Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks | EUPD | | | | | | | | | | | | | | | |
| | Perpetual Debt Instruments of Tier I & II Capital issued by Non-PSU Banks | EPPD | | | | | | | | | | | | | | | |
| | Perpetual Non-Cum. P. Shares & Redeemable Cumulative P. Shares of Tier I & II Capital issued by PSU Banks | EUPS | | | | | | | | | | | | | | | |
| | Perpetual Non-Cum. P. Shares & Redeemable Cumulative P. Shares of Tier I & II Capital issued by Non-PSU Banks | EPSP | | | | | | | | | | | | | | | |
| | MF - Gilt / G Sec / Liquid Schemes | EGMF | 4.10 | 4.10 | 0.05 | 2.15% | 2.15% | 4.10 | 4.10 | 0.09 | 4.43% | 4.43% | 2.95 | 2.95 | 0.10 | 4.35% | 4.35% |
| | Net Current Assets (Only in respect of ULIP Business) | ENCA | (1.64) | (1.64) | (0.17) | 10.12% | 10.12% | (1.64) | (1.64) | 0.58 | 25.91% | 25.91% | (0.45) | (0.45) | (0.26) | 56.72% | 56.72% |
| F | OTHER INVESTMENTS | | | | | | | | | | | | | | | | |
| | Bonds - PSU - Taxable | OBPT | | | | | | | | | | | | | | | |
| | Bonds - PSU - Tax Free | OBPF | | | | | | | | | | | | | | | |
| | Equity Shares (incl Co-op Societies) | OESH | 0.73 | 0.73 | (0.03) | -3.05% | -3.05% | 0.73 | 0.73 | 0.29 | 8.42% | 8.42% | 6.87 | 6.87 | (0.38) | -5.41% | -5.41% |
| | Equity Shares (PSUs & Unlisted) | OEPU | | | | 0.00% | 0.00% | | | | 0.00% | 0.00% | 0.63 | 0.63 | (0.02) | -3.51% | -3.51% |
| | Equity Shares (incl. Equity related Instruments) - Promoter Group | OEPG | | | | | | | | | | | | | | | |
| | Debentures | OLDB | | | | | | | | | | | | | | | |
| | Debentures / Bonds/ CPs / Loans etc. - (Promoter Group) | ODPG | | | | | | | | | | | | | | | |
| | Commercial Papers | OACP | | | | | | | | | | | | | | | |
| | Preference Shares | OPSH | | | | | | | | | | | | | | | |
| | Venture Fund | OYNF | | | | | | | | | | | | | | | |
| | Short term Loans (Unsecured Deposits) | OSLU | | | | | | | | | | | | | | | |
| | Term Loans (without Charge) | OTLW | | | | | | | | | | | | | | | |
| | Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes | OMGS | | | 0.02 | 2.05% | 2.05% | | | 0.05 | 4.40% | 4.40% | 0.45 | 0.45 | 0.04 | 4.02% | 4.02% |
| | Mutual Funds - (under Insurer's Promoter Group) | OMPG | | | | | | | | | | | | | | | |
| | Derivative Instruments | OCDI | | | | | | | | | | | | | | | |
| | Securitized Assets | OPSA | | | | | | | | | | | | | | | |
| | Investment properties - Immovable | OIPI | | | | | | | | | | | | | | | |
| | TOTAL | | 246.99 | 246.99 | 10.51 | 4.22% | 4.22% | 246.99 | 246.99 | 33.02 | 13.11% | 13.11% | 203.71 | 203.71 | 2.95 | 1.45% | 1.45% |

Note: Category of Investment (COI) shall be as per Guidelines
 1 To be calculated based on Monthly or lesser frequency 'Weighted Agerage' of Investments
 2 Yield netted for Tax
 3 FORM-1 shall be prepared in respect of each fund.

FORM L-35 : DOWNGRADING OF INVESTMENTS - 2 - Life

Company Name: DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)

CODE: 140 **Name of Fund:** LIFE FUND

Statement as on: 30-Sep-14

Statement of Down Graded Investments

Periodicity of Submission: Quarterly *' in Lakhs*

| No | Name of the Security | COI | Amount | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of Downgrade | Remarks |
|----|---|------|--------|------------------|---------------|----------------|---------------|-------------------|---------|
| A. | <u>During the Quarter ¹</u> | | | | | NA | | | |
| | | | | | | | | | |
| B. | <u>As on Date ²</u> | | | | | | | | |
| | 9.55% Hindalco Inds. Ltd NCD 25-04-2022 | ECOS | 300.00 | 25-Apr-2012 | CRISIL | AA+ | AA | 20-Jun-2014 | |
| | | | | | | | | | |

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

FORM L-35 : DOWNGRADING OF INVESTMENTS - 2 - Pension & General Annuity and Group Fund

Company Name: DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)
CODE: 140 **Name of Fund** PENSION & GENERAL ANNUITY AND GROUP FUND
Statement as on: 30-Sep-14

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

` in Lakhs

| No | Name of the Security | COI | Amount | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of Downgrade | Remarks |
|----|--|-----|--------|------------------|---------------|----------------|---------------|-------------------|---------|
| A. | <u>During the Quarter</u> ¹ | | | | | | | | |
| | | | | | NA | | | | |
| B. | <u>As on Date</u> ² | | | | | | | | |
| | | | | | | | | | |

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

FORM L-35 : DOWNGRADING OF INVESTMENTS - 2 - Linked Fund

Company Name: DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)

CODE: 140 Name of Fund LINKED FUND _____

Statement as on: 30-Sep-14

Statement of Down Graded Investments

Periodicity of Submission: Quarterly in Lakhs

| No | Name of the Security | COI | Amount | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of Downgrade | Remarks |
|----|---|------|--------|------------------|---------------|----------------|---------------|-------------------|---------|
| A. | During the Quarter ¹ | | | | | | | | |
| | | | | | NA | | | | |
| B. | As on Date ² | | | | | | | | |
| | 9.55% Hindalco Inds. Ltd NCD 25-04-2022 | ECOS | 196.07 | 25-Apr-2012 | CRISIL | AA+ | AA | 20-Jun-2014 | |

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

FORM L-36 : Premium and number of lives covered by policy type

Insurer: **DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)**

Date: **30-Sep-14**

Rs. Lakhs

| Sl. No | Particulars | For the Qtr Q2 '2014-15 | | | | For the Qtr Q2 '2013-14 | | | | Upto the period '2014-15 | | | | Upto the period '2013-14 | | | |
|----------|--|-------------------------|-----------------|--------------|----------------------------------|-------------------------|-----------------|--------------|----------------------------------|--------------------------|-----------------|--------------|----------------------------------|--------------------------|-----------------|--------------|----------------------------------|
| | | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable |
| 1 | First year Premium | | | | | | | | | | | | | | | | |
| | i Individual Single Premium- (ISP) | | | | | | | | | | | | | | | | |
| | From 0-10000 | - | - | - | - | 14.40 | 21.00 | 21.00 | 26.58 | 1.50 | 2.00 | 2.00 | 5.55 | 36.76 | 57.00 | 56.00 | 121.33 |
| | From 10,000-25,000 | - | - | - | - | 12.23 | 6.00 | 6.00 | 44.27 | - | - | - | - | 20.05 | 10.00 | 10.00 | 53.79 |
| | From 25001-50,000 | - | - | - | - | 7.85 | 2.00 | 2.00 | 9.81 | - | - | - | - | 16.85 | 4.00 | 3.00 | 21.06 |
| | From 50,001- 75,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 75,000-100,000 | - | - | - | - | 19.40 | 2.00 | 2.00 | 24.25 | - | - | - | - | 19.40 | 2.00 | 2.00 | 24.25 |
| | From 1,00,001 -1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | 11.16 | 1.00 | 1.00 | 13.94 |
| | Above Rs. 1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | ii Individual Single Premium (ISPA)- Annuity | | | | | | | | | | | | | | | | |
| | From 0-50000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001-150,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 150,001- 2,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 2,00,001-250,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 2,50,001 -3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | iii Group Single Premium (GSP) | | | | | | | | | | | | | | | | |
| | From 0-10000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 10,000-25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 25001-50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001- 75,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 75,000-100,000 | 8.62 | 1.00 | 126.00 | 488.11 | - | - | - | - | 8.62 | 1.00 | 126.00 | 488.11 | - | - | - | - |
| | From 1,00,001 -1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 1,25,000 | 7,876.38 | - | 16,221.00 | 192,117.50 | - | - | - | - | 15,228.23 | - | 31,452.00 | 371,811.81 | - | - | - | - |
| | iv Group Single Premium- Annuity- GSPA | | | | | | | | | | | | | | | | |
| | From 0-50000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001-150,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 150,001- 2,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 2,00,001-250,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 2,50,001 -3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | v Individual non Single Premium- INSP | | | | | | | | | | | | | | | | |
| | From 0-10000 | 78.98 | 855.00 | 837 | 2,536.30 | 407.77 | 5,218.00 | 5,150.00 | 12,295.42 | 212.61 | 2,247.00 | 2,195.00 | 6,738.85 | 1,009.09 | 13,091.00 | 12,889.00 | 25,710.47 |
| | From 10,000-25,000 | 1,065.04 | 6,079.00 | 5,961 | 11,429.19 | 913.99 | 6,550.00 | 6,414.00 | 14,646.15 | 2,016.45 | 11,118.00 | 10,917.00 | 21,079.78 | 1,680.10 | 12,325.00 | 12,048.00 | 26,773.04 |
| | From 25001-50,000 | 1,331.16 | 5,624.00 | 5,461 | 12,350.15 | 660.58 | 2,502.00 | 2,455.00 | 7,783.79 | 2,351.00 | 9,249.00 | 9,022.00 | 20,253.18 | 1,154.26 | 4,397.00 | 4,315.00 | 13,585.68 |
| | From 50,001- 75,000 | 234.65 | 534.00 | 509 | 2,208.38 | 78.61 | 155.00 | 153.00 | 1,005.57 | 433.45 | 912.00 | 869.00 | 3,722.92 | 140.15 | 293.00 | 289.00 | 1,886.43 |
| | From 75,000-100,000 | 147.04 | 164.00 | 154 | 1,204.99 | 109.83 | 130.00 | 123.00 | 1,381.82 | 312.68 | 345.00 | 328.00 | 2,451.87 | 195.22 | 223.00 | 210.00 | 2,381.76 |
| | From 1,00,001 -1,25,000 | 67.27 | 66.00 | 66 | 542.22 | 23.88 | 23.00 | 22.00 | 521.19 | 139.52 | 139.00 | 133.00 | 1,067.83 | 40.71 | 39.00 | 36.00 | 711.28 |
| | Above Rs. 1,25,000 | 165.99 | 70.00 | 63 | 1,366.96 | 98.57 | 49.00 | 48.00 | 1,479.28 | 409.60 | 170.00 | 151.00 | 3,452.34 | 152.58 | 78.00 | 77.00 | 2,087.17 |
| | vi Individual non Single Premium- Annuity- INSPA | | | | | | | | | | | | | | | | |
| | From 0-50000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001-150,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 150,001- 2,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 2,00,001-250,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 2,50,001 -3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |

FORM L-36 : Premium and number of lives covered by policy type

| Insurer: DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited) | | Date: 30-Sep-14 | | | | | | | | | | | | Rs. Lakhs | | | |
|---|---|-------------------------|-----------------|--------------|----------------------------------|-------------------------|-----------------|--------------|----------------------------------|--------------------------|-----------------|--------------|----------------------------------|--------------------------|-----------------|--------------|----------------------------------|
| Sl. No | Particulars | For the Qtr Q2 '2014-15 | | | | For the Qtr Q2 '2013-14 | | | | Upto the period '2014-15 | | | | Upto the period '2013-14 | | | |
| | | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable |
| 1 | First year Premium | | | | | | | | | | | | | | | | |
| | vii Group Non Single Premium (GNSP) | | | | | | | | | | | | | | | | |
| | From 0-10000 | - | - | - | - | 0.12 | 2.00 | 151.00 | 0.00 | 0.02 | - | 31.00 | 6.20 | 0.17 | 3.00 | 224.00 | 0.00 |
| | From 10,000-25,000 | - | - | - | - | 0.50 | 3.00 | 746.00 | 0.01 | - | - | - | - | 1.08 | 7.00 | 1,514.00 | 0.02 |
| | From 25001-50,000 | 0.00 | - | 2.00 | 10.00 | 2.53 | 6.00 | 4,044.00 | 0.04 | 2.33 | 1.00 | 3,413.00 | 856.33 | 4.51 | 12.00 | 6,985.00 | 0.07 |
| | From 50,001- 75,000 | 0.58 | 1.00 | 622.00 | 108.08 | 0.54 | 1.00 | 513.00 | 0.01 | 1.91 | 3.00 | 1,970.00 | 415.61 | 1.07 | 2.00 | 1,657.00 | 0.02 |
| | From 75,000-100,000 | 0.81 | 1.00 | 504.00 | 133.56 | 2.53 | 3.00 | 1,789.00 | 0.02 | 1.80 | 2.00 | 1,055.00 | 422.16 | 5.91 | 7.00 | 8,376.00 | 0.08 |
| | From 1,00,001 -1,25,000 | - | - | - | - | 1.23 | 1.00 | 2,683.00 | 0.03 | 1.21 | 1.00 | 881.00 | 242.26 | 2.44 | 2.00 | 5,344.00 | 0.05 |
| | Above Rs. 1,25,000 | 948.30 | 21.00 | 814,759.00 | 224,413.24 | 68.02 | 15.00 | 73,861.00 | 0.74 | 1,192.18 | 39.00 | 1,032,391.00 | 387,117.24 | 95.19 | 24.00 | 111,356.00 | 1.11 |
| | viii Group Non Single Premium- Annuity- GNSPA | | | | | | | | | | | | | | | | |
| | From 0-10000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 10,000-25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 25001-50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001- 75,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 75,000-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001 -1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2 | Renewal Premium | | | | | | | | | | | | | | | | |
| | i Individual | | | | | | | | | | | | | | | | |
| | From 0-10000 | 563.61 | 9,070.00 | 7,889.00 | 18,599.53 | 581.82 | 9,603.00 | 8,350.00 | 22,541.01 | 1,447.05 | 22,482.00 | 19,163.00 | 41,505.46 | 1,270.63 | 20,778.00 | 11,472.00 | 44,301.51 |
| | From 10,000-25,000 | 1,194.94 | 24,201.00 | 12,604.00 | 38,183.93 | 950.82 | 13,413.00 | 8,415.00 | 28,242.73 | 2,394.88 | 45,234.00 | 18,789.00 | 77,205.27 | 1,853.66 | 25,606.00 | 9,112.00 | 55,403.69 |
| | From 25001-50,000 | 919.09 | 14,052.00 | 6,192.00 | 31,004.21 | 625.94 | 5,301.00 | 2,951.00 | 16,263.29 | 1,756.28 | 25,225.00 | 7,666.00 | 58,296.39 | 1,227.21 | 9,663.00 | 2,939.00 | 31,427.58 |
| | From 50,001- 75,000 | 127.49 | 800.00 | 421.00 | 4,144.95 | 102.46 | 531.00 | 303.00 | 3,564.94 | 255.29 | 1,503.00 | 595.00 | 8,585.83 | 201.78 | 1,018.00 | 345.00 | 6,542.26 |
| | From 75,000-100,000 | 212.45 | 404.00 | 286.00 | 3,583.23 | 229.61 | 385.00 | 288.00 | 3,734.90 | 419.41 | 795.00 | 473.00 | 7,413.31 | 436.04 | 750.00 | 351.00 | 8,052.52 |
| | From 1,00,001 -1,25,000 | 44.61 | 105.00 | 63.00 | 1,625.55 | 37.54 | 90.00 | 53.00 | 2,369.43 | 87.20 | 204.00 | 95.00 | 3,768.21 | 68.77 | 182.00 | 66.00 | 4,441.04 |
| | Above Rs. 1,25,000 | 224.61 | 132.00 | 114.00 | 5,141.85 | 254.95 | 146.00 | 114.00 | 5,403.99 | 389.44 | 242.00 | 186.00 | 8,288.72 | 415.11 | 257.00 | 119.00 | 8,219.69 |
| | ii Individual- Annuity | | | | | | | | | | | | | | | | |
| | From 0-10000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 10,000-25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 25001-50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001- 75,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 75,000-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001 -1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | iii Group | | | | | | | | | | | | | | | | |
| | From 0-10000 | 0.06 | 1.00 | 88.00 | 20.40 | - | - | - | - | 0.11 | 2.00 | 152.00 | 35.55 | - | - | - | - |
| | From 10,000-25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 25001-50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001- 75,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 75,000-100,000 | 0.79 | 1.00 | 283.00 | 1,102.00 | - | - | - | - | 0.79 | 1.00 | 283.00 | 1,102.00 | - | - | - | - |
| | From 1,00,001 -1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 1,25,000 | 1.80 | 1.00 | 234.00 | 2,221.78 | - | - | - | - | 1.86 | 2.00 | 324.00 | 2,240.83 | - | - | - | - |
| | iv Group- Annuity | | | | | | | | | | | | | | | | |
| | From 0-10000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 10,000-25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 25001-50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001- 75,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 75,000-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001 -1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |

FORM L-37 : BUSINESS ACQUISITION THROUGH DIFFERENT CHANNELS (GROUP)

Insurer: DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)

Date:

30th Sep 2014

(Rs in Lakhs)

Business Acquisition through different channels (Group)

| Sl.No. | Channels | For the Qtr Q2 '2014-15 | | | For the Qtr Q2 '2013-14 | | | Upto the period '2014-15 | | | Upto the Period '2013-14 | | |
|--------|--------------------------|-------------------------|----------------------|-----------------|-------------------------|----------------------|--------------|--------------------------|----------------------|------------------|--------------------------|----------------------|---------------|
| | | No. of Policies | No. of Lives covered | Premium | No. of Policies | No. of Lives covered | Premium | No. of Policies | No. of Lives covered | Premium | No. of Policies | No. of Lives covered | Premium |
| 1 | Individual agents | - | - | - | - | - | - | - | - | - | - | - | - |
| 2 | Corporate Agents-Banks | - | - | - | - | - | - | - | - | - | - | - | - |
| 3 | Corporate Agents -Others | - | - | - | - | - | - | - | - | - | - | - | - |
| 4 | Brokers | 1 | 1,840 | 13.63 | 2 | 528 | 2.64 | 6 | 9,528 | 89.56 | 2 | 528 | 2.64 |
| 5 | Micro Agents | - | - | - | - | - | - | - | - | - | - | - | - |
| 6 | Direct Business | 23 | 830,394 | 8,821.07 | 29 | 83,259 | 72.83 | 41 | 1,061,791 | 16,346.74 | 55 | 134,928 | 107.74 |
| | Total(A) | 24 | 832,234 | 8,834.69 | 31 | 83,787 | 75.47 | 47 | 1,071,319 | 16,436.31 | 57 | 135,456 | 110.38 |
| 1 | Referral (B) | - | - | - | - | - | - | - | - | - | - | - | - |
| | Grand Total (A+B) | 24 | 832,234 | 8,834.69 | 31 | 83,787 | 75.47 | 47 | 1,071,319 | 16,436.31 | 57 | 135,456 | 110.38 |



FORM L-38 : Business Acquisition through different channels (Individuals)

Insurer: DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)

Date:

30th Sep 2014

(Rs in Lakhs)

Business Acquisition through different channels (Individuals)

| Sl.No. | Channels | For the Qtr Q2'2014-15 | | For the Qtr Q2 '2013-14 | | Upto the period '2014-15 | | Upto the Period '2013-14 | |
|--------|------------------------------|------------------------|-----------------|-------------------------|-----------------|--------------------------|-----------------|--------------------------|-----------------|
| | | No. of Policies | Premium | No. of Policies | Premium | No. of Policies | Premium | No. of Policies | Premium |
| 1 | Individual agents | 3,052 | 830.11 | 5,144 | 1,039.82 | 5,505 | 1,570.21 | 8,979 | 1,793.63 |
| 2 | Corporate Agents-Banks | 123 | 19.57 | 134 | 14.72 | 188 | 30.40 | 327 | 35.96 |
| 3 | Corporate Agents -Others | 3,523 | 970.91 | 2,551 | 282.66 | 5,737 | 1,784.55 | 8,168 | 822.25 |
| 4 | Brokers | 1,721 | 336.53 | 771 | 222.91 | 2,046 | 455.04 | 1,269 | 367.27 |
| 5 | Micro Agents | - | - | - | - | - | - | - | - |
| 6 | Direct Business | 4,620 | 872.39 | 5,451 | 722.50 | 10,105 | 1,934.40 | 10,681 | 1,340.42 |
| | Total (A) | 13,039 | 3,029.52 | 14,051 | 2,282.61 | 23,581 | 5,774.60 | 29,424 | 4,359.54 |
| 1 | Referral (B) | 353 | 60.63 | 607 | 64.50 | 601 | 102.22 | 1,096 | 116.80 |
| | Grand Total (A + B) | 13,392 | 3,090.14 | 14,658 | 2,347.11 | 24,182 | 5,876.81 | 30,520 | 4,476.33 |

LIFE INSURANCE

FORM L-39 : Data on Settlement of Claims

Insurer: **DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)**

Date: **30-Sep-14**

| Ageing of Claims | | | | | | | | | |
|-------------------------|--------------------------------|-----------------------|---------|--------------|--------------|-------------------|----------|--------------------------|-------------------------------------|
| Sl.No. | Types of Claims | No. of claims paid | | | | | | Total No. of claims paid | Total amount of claims paid ('Lakh) |
| | | On or before maturity | 1 month | 1 - 3 months | 3 - 6 months | 6 months - 1 year | > 1 year | | |
| 1 | Maturity Claims | 218 | 218 | - | - | - | - | 218 | 257 |
| 2 | Survival Benefit | - | - | - | - | - | - | - | - |
| 3 | for Annuities / Pension | - | - | - | - | - | - | - | - |
| 4 | For Surrender | 1,136 | 1,134 | 2 | - | - | - | 1,136 | 1,272 |
| 5 | Other benefits | - | - | - | - | - | - | - | - |
| Death Claims | | - | 131 | 4 | 1 | 1 | - | 137 | 417 |

*The ageing of claims, in case of the death of the claims will be computed from the date of completion of all the documentation.

L I F E I N S U R A N C E

FOR L-40 : Quarterly claims data for Life

Insurer: DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)

No. of claims only

Date: 30-Sep-14

| Sl. No. | Claims Experience | For Death | for Maturity | Survival Benefit | For Annuities/ Pension | For Surrender | Other Benefits |
|---------|--|-----------|--------------|------------------|---------------------------|---------------|----------------|
| 1 | Claims O/S at the beginning of the period | 344 | 23 | NIL | | 137 | |
| 2 | Claims reported during the period* | 129 | 238 | NIL | | 1123 | |
| 3 | Claims Settled during the period | 137 | 218 | NIL | | 1136 | |
| 4 | Claims Repudiated during the period | 54 | NIL | NIL | | NIL | |
| a | Less than 2years from the date of acceptance of risk | 54 | NIL | NIL | | NIL | |
| b | Grater than 2 year from the date of acceptance of risk | 0 | NIL | NIL | | NIL | |
| 5 | Claims Written Back | 0 | NIL | NIL | | NIL | |
| 6 | Claims O/S at End of the period | 282 | 43 | NIL | | 124 | |
| a | Less than 3months | 94 | 43 | NIL | | 124 | |
| b | 3 months to 6 months | 69 | NIL | NIL | | NIL | |
| c | 6months to 1 year | 55 | NIL | NIL | | NIL | |
| d | 1year and above | 64 | NIL | NIL | | NIL | |

*in case of death- the claims for which all the documentations have been completed needs to be shown here.

FORM L-41 : GREIVANCE DISPOSAL

Insurer: **DHFL Pramerica Life Insurance Company Limited (erstwhile DLF Pramerica Life Insurance Company Limited)**

Date: **30-Sep-14**

GRIEVANCE DISPOSAL

(Rs in Lakhs)

| SI No. | Particulars | Opening Balance | Additions | Complaints Resolved/ settled | | | Complaints Pending | Total complaints registered upto the quarter during the financial year |
|----------|-------------------------------------|-----------------|------------|------------------------------|------------------|------------|--------------------|--|
| | | | | Fully Accepted | Partial Accepted | Rejected | | |
| 1 | Complaints made by customers | | | | | | | |
| a) | Death Claims | 1 | 6 | 1 | 5 | 2 | - | 11 |
| b) | Policy Servicing | 5 | 25 | 13 | 11 | 2 | 4 | 44 |
| c) | Proposal Processing | 2 | 42 | 21 | 3 | 16 | 4 | 81 |
| d) | Survival Claims | 3 | 11 | 5 | - | 7 | 3 | 56 |
| e) | ULIP Related | - | 395 | - | - | - | - | 395 |
| f) | Unfair Business Practices | 68 | - | 122 | 23 | 282 | 67 | 352 |
| g) | Others | - | 6 | 1 | 3 | - | 1 | 15 |
| | Total Number | 40 | 485 | 163 | - | 309 | 79 | 954 |

| | | |
|---|---|--------|
| 2 | Total No. of policies during previous year: | 62,741 |
| 3 | Total No. of claims during previous year | 951 |
| 4 | Total No. of policies during current year | 24,182 |
| 5 | Total No. of claims during current year | 773 |
| 6 | Total No. of Policy Complaints (current year) per 10, 000 policies (current year) | 390 |
| 7 | Total No. of Claim Complaints (current year) per 10,000 claims registered (current year) : | 142 |

| 2 | Duration wise Pending Status | Complaints made by customers | Complaints made by intermediaries | Total |
|----|------------------------------|------------------------------|-----------------------------------|-----------|
| a) | Upto 7 days | 50 | - | 50 |
| b) | 7-15 Days | 28 | - | 28 |
| c) | 15-30 Days | 1 | - | 1 |
| d) | 30-90 Days | - | - | - |
| e) | 90 days & Beyond | - | - | - |
| | Total Number | 79 | - | 79 |

FORM L-42 : Valuation Basis (Life Insurance)

A. How the policy data needed for valuation is accessed?

Data used for valuation is extracted from the Policy administration system as at the last day of the valuation month using data extraction program. For any new product, a change request form (containing the data required for the new products) is provided to the IT function for inclusion of the new product in extraction program. User acceptance test (UAT) is carried on the data extracted from the modified program before using it for valuation. Valuation data files are provided to the actuarial function in CSV format.

B. How the valuation bases are supplied to the system?

Actuarial valuation software AlgoFM is used to calculate actuarial liabilities. The assumptions are supplied to the system through excel files.

C. Valuation Assumptions

1. Interest Rates (including Margin of Adverse Deviation)

| | Individual Business | Group business |
|--|---------------------|----------------|
| i) Life Participating Business | 6.50% | Not Applicable |
| ii) Life- Non-participating Policies | 6.70% | 7.25%* |
| iii) Annuities- Participating policies | Not Applicable | Not Applicable |
| iv) Annuities – Non-participating policies | Not Applicable | Not Applicable |
| v) Annuities- Individual Pension Plan | Not Applicable | Not Applicable |
| vi) Unit Linked | 6.50% | Not Applicable |
| vii) Health Insurance | Not Applicable | Not Applicable |

* excludes one year term policies.

2. Mortality Rates (including Margin of Adverse Deviation)

(As % of IALM 06-08)

| | Individual Business | Group business |
|--|---------------------|------------------|
| i) Life Participating Business | 103.50% - 120.75% | Not Applicable |
| ii) Life- Non-participating Policies | 97.75%-224.25% | 109.25%-126.50%* |
| iii) Annuities- Participating policies | Not Applicable | Not Applicable |
| iv) Annuities – Non-participating policies | Not Applicable | Not Applicable |
| v) Annuities- Individual Pension Plan | Not Applicable | Not Applicable |
| vi) Unit Linked | 80% - 161% | Not Applicable |
| vii) Health Insurance | Not Applicable | Not Applicable |

* excludes one year term policies.

FORM L-42 : Valuation Basis (Life Insurance)

3. Expenses and Expense Inflation

Best estimate per policy maintenance expenses, claim expenses and investment expenses assumptions have been based on projected expenses when Insurer acquires a stable level of business. The best estimate assumptions are further increased by a MAD of 10% of best estimate.

An assumption of 5.5% p.a. for expense inflation was used for projecting future expenses.

4. Bonus Rates

The future reversionary bonus rates assumed vary from 1.19% to 3.61% of sum assured and previously accrued reversionary bonuses. Future final bonuses assumed vary with duration up to maximum of 300% of sum assured and attaching reversionary bonus.

5. Reasonable Expectation

Provision has been made for future reversionary bonuses and final bonus consistent with the assumed valuation interest rate, benefit illustration, sales literature, terms and conditions in policy document and practices followed by other insurers in the industry.

6. Taxation and Shareholder Transfers

The tax rate assumed for valuation is 14.2% and shareholders share is taken to be 1/9 of cost of bonus i.e. 10% of distributed surplus.

7. Basis of provisions for Incurred but Not Reported (IBNR)

For individual & one year group term business, the run-off triangle method has been used to set appropriate provision for IBNR. However, for Group Credit Life we hold an IBNR equal to expected claims for two months.

D. Change in Valuation Methods or Bases

Interest rate assumptions have been revised.

Place: Gurgaon

Appointed Actuary : Varun Gupta